# STATE OF NEW YORK

S. 8008--A

A. 9008--A

# SENATE - ASSEMBLY

January 19, 2022

- IN SENATE -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read twice and ordered printed, and when printed to be committed to the Committee on Finance -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee
- IN ASSEMBLY -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read once and referred to the Committee on Ways and Means -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee
- AN ACT to amend the penal law and the vehicle and traffic law, in relation to transportation worker safety; and to amend the penal law, in relation to establishing the offense of menacing a highway worker (Subart A); to amend the vehicle and traffic law, in relation to increasing fines payable by a driver of a motor vehicle who causes injury to a pedestrian (Subpart B); to amend the vehicle and traffic law, in relation to leaving the scene of an accident; and to amend the highway law in relation to clearing of vehicles from highways (Subpart C); to amend the vehicle and traffic law, in relation to work zone safety and outreach program (Subpart D); to amend the vehicle and traffic law, in relation to increasing penalties for certain traffic infractions and the use of global positioning system technology; to amend the vehicle and traffic law and the general business law, in relation to notification of parkway prohibitions (Subpart E); and to amend the highway law, in relation to increasing certain fines for violations related to permits for work within the state highway right of way (Subpart F) (Part A); to amend the highway law and the transportation law, in relation to consolidated local highway assistance payments (Part B); to amend the transportation law, in relation to airport improvement and revitalization (Part C); to amend the highway law, in relation to the entry of adjacent lands for the safe functionality of state highway infrastructure (Part D); to amend chapter 413 of the laws of 1999, relating to providing for mass transportation payments, in relation to the amount of payments in the Capitol District Transportation District and adding Montgomery County to such District (Part E); to amend the public authorities law, in relation to the electronic submission and public posting of bids for New York

EXPLANATION--Matter in <u>italics</u> (underscored) is new; matter in brackets
[] is old law to be omitted.

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state thruway authority construction, reconstruction and improvement contracts (Part F); to amend the public authorities law, in relation to procurement contracts (Part G); to amend the public authorities law, in relation to increasing the statutory threshold for mandatory use of design-build by the metropolitan transportation authority (Part H); to amend the public authorities law, in relation to procurements conducted by the metropolitan transportation authority and the New York city transit authority (Part I); to amend chapter 54 of the laws of 2016 amending the general municipal law relating to the New York transit authority and the metropolitan transportation authority, in relation to extending authorization for tax increment financing for the metropolitan transportation authority (Part J); to amend the public authorities law, in relation to MTA capital projects and utility relocations (Part K); to amend the penal law, in relation to assaulting or harassing certain employees of a transit agency or authority (Part L); to amend the vehicle and traffic law, in relation to owner liability for failure of operator to comply with bus operation-related local law or regulation traffic restrictions; and to amend part II of chapter 59 of the laws of 2010, amending the vehicle and traffic law and the public officers law relating to establishing a bus rapid transit demonstration program to restrict the use of bus lanes by means of bus lane photo devices, in relation to the effectiveness thereof (Part M); to amend the penal law, in relation to including the intentional use of any toll highway, parkway, road, bridge or tunnel or entry into or remaining in a tolled central business district without payment of the lawful toll or charge as a theft of services; to amend the vehicle and traffic law, in relation to the penalty imposed upon the operator of a vehicle with an altered or obscured license plate while on a toll highway, bridge or tunnel; to amend the vehicle and traffic law, in relation to deterring fraudulent use of the toll exemption for vehicles transporting persons with disabilities into or remaining in a tolled central business district; and to amend the vehicle and traffic law, in relation to allowing the commissioner of motor vehicles to deny registration, reregistration, renewal, replacement or transfer of the registration of a vehicle and vehicle identification number suspended for toll evasion, or subject to a pending toll authority request for suspension (Part N); to amend chapter 751 of the laws of 2005, amending the insurance law and the vehicle and traffic law relating to establishing the accident prevention course internet technology pilot program, in relation to the effectiveness thereof (Part O); to amend chapter 62 of the laws of 2003, amending the vehicle and traffic law and other laws relating to increasing certain motor vehicle transaction fees, in relation to the effectiveness thereof; and to amend chapter 84 of the laws of 2002, amending the state finance law relating to the costs of the department of motor vehicles, in relation to the effectiveness thereof (Part P); to amend the vehicle and traffic law, in relation to waiving non-driver identification application fees for incarcerated individuals (Part Q); to amend the civil rights law, in relation to requiring all state agencies to update all applicable forms and data systems to include a gender "x" option (Part R); to amend the public officers law, in relation to authorizing the disclosure of records for the public service loan forgiveness program (Part S); to amend chapter 495 of the laws of 2004, amending the insurance law and the public health law relating to the New York state health insurance continuation assistance demonstration project, in relation to the effectiveness thereof



(Part T); to amend the general municipal law, in relation to brownfield opportunity areas; and to amend the public authorities law, relation to funding for certain projects by the dormitory authority (Part U); to amend the agriculture and markets law and chapter 537 of the laws of 1976, relating to paid, free and reduced price breakfast for eligible pupils in certain school districts, in relation to the transfer of the administration of the national school lunch program and related food programs to the Department of Agriculture and Markets; and to provide for the transfer of certain functions and employees with respect thereto (Part V); to amend the general business law, in relation to appearance enhancement professionals (Part W); in relation to authorizing certain health care professionals licensed to practice in other jurisdictions to practice in this state in connection with the Winter World University Games; and providing for the repeal of such provisions upon expiration thereof (Part X); to amend chapter 393 of the laws of 1994 amending the New York state urban development corporation act relating to the powers of the New York state urban development corporation to make loans, in relation to extending loan powers (Part Y); to amend the urban development corporation act, in relation to extending the authority of the New York state urban development corporation to administer the empire state economic development fund (Part Z); to amend the infrastructure investment act, in relation to the effectiveness thereof; and to amend chapter 749 of the laws of 2019 authorizing, for certain public works undertaken pursuant to project labor agreements, use of the alternative delivery method known as design-build contracts, in relation to the effectiveness thereof (Part AA); to amend the state finance law, in relation to the excelsior linked deposit program (Part BB); to amend the New York state urban development corporation act, in relation to creating the small business seed funding grant program amend chapter 584 of the laws of 2011, amending the (Part CC); to public authorities law relating to the powers and duties of the dormitory authority of the state of New York relative to the establishment of subsidiaries for certain purposes, in relation to the effectiveness thereof (Part DD); to amend the public authorities law, in relation to authorizing the dormitory authority to provide its services to not-for-profit corporations (Part EE); to amend the public authorities law, in relation to authorizing the dormitory authority to utilize a prequalification list when seeking to bid or enter into a contract for public work (Part FF); to amend the public authorities law, in relation to authorizing the dormitory authority to provide its services to recipients of grants and loans from the downtown revitalization program (Part GG); to amend the public authorities law, in relation to authorizing the dormitory authority to enter into design and construction management agreements with state authorities (Part HH); to amend the state finance law and the public authorities law, in relation to the cannabis social equity fund (Part II); to amend the highway law and the transportation corporations law, in relation to right of way for fiber optic cable (Part JJ); to amend the environmental conservation law, in relation to removing a program cap and allowing funding of the solid waste mitigation program's inactive landfill initiative (Part KK); to amend the environmental conservation law and the tax law, in relation to eligibility for participation in the brownfield cleanup program, assignment of the brownfield redevelopment tax credits and brownfield opportunity areas; and to amend part H of chapter 1 of the laws of 2003, amending the tax law relating to



brownfield redevelopment tax credits, remediated brownfield credit for real property taxes for qualified sites and environmental remediation insurance credits, in relation to the effectiveness thereof (Part LL); to amend the environmental conservation law, in relation to extending the waste tire management fee for five years and conforming the applicable administrative provisions to article 28 of the tax law (Part MM); to amend part TT of chapter 59 of the laws of 2021 authorizing the creation of state debt in the amount of three billion dollars, in relation to creating the environmental bond act of 2022 "restore mother nature" for the purposes of environmental improvements that preserve, enhance, and restore New York's natural resources and reduce the impact of climate change; and providing for the submission to the people of a proposition or question therefor to be voted upon at the general election to be held in November, 2022, in relation to creating the Clean Water, Clean Air, and Green Jobs Environmental Bond Act of 2022 (Part NN); to amend the environmental conservation law, the state finance law, and part UU of chapter 59 of the laws of 2021 amending the environmental conservation law and the state finance law relating to the implementation of the environmental bond act of 2022 "restore mother nature", in relation to renaming such act "clean water, clean air, and green jobs" (Part OO); to amend the tax law, in relation to increasing the transfer amount from the real estate transfer tax to the environmental protection fund (Part PP); to amend the environmental conservation law, in relation to freshwater wetlands; and to repeal certain provisions of such law relating thereto (Part QQ); amend the environmental conservation law, in relation to enacting the "extended producer responsibility act"; and to amend the state finance law, in relation to creating the stewardship organization fund (Part RR); to amend the environmental conservation law, in relation to enacting the toxics in packaging act to restrict PFAS in all packaging and adding restrictions for phthalates in all packaging; and to repeal title 2 of article 37 of the environmental conservation law relating to hazardous packaging (Part SS); to amend the county law, in relation to enacting the "Suffolk County water quality restoration act", authorizing the county of Suffolk to establish a water quality restoration fund, and extending the authority of the county of Suffolk to form a county-wide sewer and wastewater management district (Part TT); to amend the environmental conservation law, in relation to the water pollution control revolving fund (Part UU); to amend the executive law. in relation to ensuring proper administration and enforcement of the uniform fire prevention and building code and the state energy conservation construction code (Part VV); to amend the vehicle and traffic law and the state finance law, in relation to the vessel surcharge; and to repeal certain provisions of the state finance law relating thereto (Part WW); to amend the environmental conservation law and the real property tax law, in relation to river regulating district payment of taxes on lands owned by the state (Part XX); to amend the parks, recreation and historic preservation law, in relation to the powers, functions and duties of the state council of parks, recreation and historic preservation and the regional park, recreation and historic preservation commissions; and to repeal certain provisions of such law relating thereto (Part YY); to amend the insurance law, the public authorities law and the tax law, in relation to authorizing the power authority of the state of New York to form a pure captive insurance company (Part ZZ); to authorize the energy research and development authority to finance a portion of its



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research, development and demonstration, policy and planning, and Fuel NY program, as well as climate change related expenses of the department of environmental conservation and the department of agriculture and markets' Fuel NY program, from an assessment on gas and electric corporations (Part AAA); to authorize utility and cable television assessments that provide funds to the department of health from cable television assessment revenues and to the department of agriculture and markets, department of environmental conservation, department of state, and the office of parks, recreation and historic preservation from utility assessment revenues; and providing for the repeal of such provisions upon the expiration thereof (Part BBB); to amend the public service law, in relation to the provision of gas service (Part CCC); to amend the public authorities law, in relation to the eligibility of hospitals in the state to receive assistance from the power authority of the state of New York (Part DDD); to amend the energy law, the executive law and the state finance law, in relation to establishing the "advanced building codes, appliance and equipment efficiency standards, and building benchmarking act of 2022" (Part EEE); to amend the public authorities law, in relation to authorizing the power authority of the state of New York to dispose of excess capacity in its broadband technologies and infrastructure (Part FFF); to amend the vehicle and traffic law, in relation to establishing the commercial driver's license (CDL) class A young adult training program; and to repeal subdivision 36 of section 14 of the transportation law relating thereto (Part GGG); and to amend the urban development corporation act, in relation to expanding the Restore New York's Communities Initiative (Part HHH)

# The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act enacts into law major components of legislation necessary to implement the state transportation, economic development 2 and environmental conservation budget for the 2022-2023 state fiscal 3 4 year. Each component is wholly contained within a Part identified as 5 Parts A through HHH. The effective date for each particular provision contained within such Part is set forth in the last section of such 6 Part. Any provision in any section contained within a Part, including 7 8 the effective date of the Part, which makes a reference to a section "of 9 this act", when used in connection with that particular component, shall be deemed to mean and refer to the corresponding section of the Part in 10 which it is found. Section three of this act sets forth the general 11 12 effective date of this act.

#### PART A

14 Section 1. This Part enacts into law major components of legislation 15 relating to safety on highways of the state. Each component is wholly contained within a Subpart identified as Subparts A through F. The 16 17 effective date for each particular provision contained within such 18 Subpart is set forth in the last section of such Subpart. Any provision 19 in any section contained within a Subpart, including the effective date of the Subpart, which makes a reference to a section "of this act", when 20 used in connection with that particular component, shall be deemed to 21 mean and refer to the corresponding section of the Subpart in which it 22



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1 is found. Section three of this Part sets forth the general effective 2 date of this Part.

### SUBPART A

4 Section 1. Subdivisions 3 and 11 of section 120.05 of the penal law, 5 subdivision 3 as amended by chapter 267 of the laws of 2016 and subdivi-6 sion 11 as separately amended by chapters 268 and 281 of the laws of 7 2016, are amended to read as follows:

3. With intent to prevent a peace officer, a police officer, prosecu-8 tor as defined in subdivision thirty-one of section 1.20 of the criminal 9 10 procedure law, registered nurse, licensed practical nurse, public health 11 sanitarian, New York city public health sanitarian, sanitation enforce-12 ment agent, New York city sanitation worker, a firefighter, including a 13 firefighter acting as a paramedic or emergency medical technician admin-14 istering first aid in the course of performance of duty as such fire-15 fighter, an emergency medical service paramedic or emergency medical 16 service technician, or medical or related personnel in a hospital emer-17 gency department, a city marshal, a school crossing guard appointed 18 pursuant to section two hundred eight-a of the general municipal law, а 19 traffic enforcement officer, traffic enforcement agent, highway worker 20 as defined in section one hundred eighteen-a of the vehicle and traffic law, motor vehicle inspector or motor carrier investigator as defined in 21 22 section one hundred eighteen b of the vehicle and traffic law, employee 23 of the New York state department of motor vehicles or a county clerk performing motor vehicle transactions on behalf of such department, or 24 25 employee of any entity governed by the public service law in the course 26 of performing an essential service, from performing a lawful duty, by 27 means including releasing or failing to control an animal under circum-28 stances evincing the actor's intent that the animal obstruct the lawful 29 activity of such peace officer, police officer, prosecutor as defined in subdivision thirty-one of section 1.20 of the criminal procedure law, 30 31 registered nurse, licensed practical nurse, public health sanitarian, New York city public health sanitarian, sanitation enforcement agent, 32 New York city sanitation worker, firefighter, paramedic, technician, 33 34 city marshal, school crossing guard appointed pursuant to section two hundred eight-a of the general municipal law, traffic enforcement offi-35 36 cer, traffic enforcement agent, highway worker as defined in section one 37 hundred eighteen-a of the vehicle and traffic law, motor vehicle inspec-38 tor or motor carrier investigator as defined in section one hundred 39 eighteen-b of the vehicle and traffic law, employee of the New York 40 state department of motor vehicles or a county clerk performing motor vehicle transactions on behalf of such department, or employee of an 41 42 entity governed by the public service law, he or she causes physical 43 injury to such peace officer, police officer, prosecutor as defined in 44 subdivision thirty-one of section 1.20 of the criminal procedure law, 45 registered nurse, licensed practical nurse, public health sanitarian, New York city public health sanitarian, sanitation enforcement agent, 46 47 New York city sanitation worker, firefighter, paramedic, technician or 48 medical or related personnel in a hospital emergency department, city 49 marshal, school crossing guard, traffic enforcement officer, traffic 50 enforcement agent, highway worker as defined in section one hundred 51 eighteen-a of the vehicle and traffic law, motor vehicle inspector or motor carrier investigator as defined in section one hundred eighteen-b 52 of the vehicle and traffic law, employee of the New York state depart-53 ment of motor vehicles or a county clerk performing motor vehicle trans-54



1 <u>actions on behalf of such department</u>, or employee of an entity governed 2 by the public service law; or

11. With intent to cause physical injury to a train operator, ticket 3 inspector, conductor, signalperson, bus operator, station agent, station 4 5 cleaner or terminal cleaner employed by any transit agency, authority or 6 company, public or private, whose operation is authorized by New York 7 state or any of its political subdivisions, a city marshal, a school 8 crossing guard appointed pursuant to section two hundred eight-a of the 9 general municipal law, a traffic enforcement officer, traffic enforce-10 ment agent, highway worker as defined in section one hundred eighteen-a 11 of the vehicle and traffic law, motor vehicle inspector or motor carrier 12 investigator as defined in section one hundred eighteen b of the vehicle 13 and traffic law, employee of the New York state department of motor 14 vehicles or a county clerk performing motor vehicle transactions on 15 behalf of such department, prosecutor as defined in subdivision thirty-16 one of section 1.20 of the criminal procedure law, sanitation enforce-17 ment agent, New York city sanitation worker, public health sanitarian, New York city public health sanitarian, registered nurse, licensed prac-18 19 tical nurse, emergency medical service paramedic, or emergency medical 20 service technician, he or she causes physical injury to such train oper-21 ator, ticket inspector, conductor, signalperson, bus operator, station 22 agent, station cleaner or terminal cleaner, city marshal, school crossing guard appointed pursuant to section two hundred eight-a of the 23 24 general municipal law, traffic enforcement officer, traffic enforcement 25 highway worker as defined in section one hundred eighteen-a of agent. 26 the vehicle and traffic law, motor vehicle inspector or motor carrier 27 investigator as defined in section one hundred eighteen b of the vehicle 28 and traffic law, employee of the New York state department of motor vehicles or a county clerk performing motor vehicle transactions on 29 behalf of such department, prosecutor as defined in subdivision thirty-30 one of section 1.20 of the criminal procedure law, registered nurse, 31 32 licensed practical nurse, public health sanitarian, New York city public 33 health sanitarian, sanitation enforcement agent, New York city sanitation worker, emergency medical service paramedic, or emergency medical 34 35 service technician, while such employee is performing an assigned duty 36 on, or directly related to, the operation of a train or bus, including 37 the cleaning of a train or bus station or terminal, or such city 38 marshal, school crossing guard, traffic enforcement officer, traffic 39 enforcement agent, highway worker as defined in section one hundred 40 eighteen-a of the vehicle and traffic law, motor vehicle inspector or 41 motor carrier investigator as defined in section one hundred eighteen-b 42 of the vehicle and traffic law, employee of the New York state depart-43 ment of motor vehicles or a county clerk performing motor vehicle trans-44 actions on behalf of such department, prosecutor as defined in subdivi-45 sion thirty-one of section 1.20 of the criminal procedure law, 46 registered nurse, licensed practical nurse, public health sanitarian, 47 New York city public health sanitarian, sanitation enforcement agent, New York city sanitation worker, emergency medical service paramedic, or 48 49 emergency medical service technician is performing an assigned duty; or 50 § 2. The penal law is amended by adding a new section 120.19 to read 51 as follows: 52 § 120.19 Menacing a highway worker.

53 <u>A person is guilty of menacing a highway worker when he or she inten-</u> 54 <u>tionally places or attempts to place a highway worker in reasonable fear</u> 55 <u>of death, imminent serious physical injury or physical injury.</u> For



purposes of this subdivision, a highway worker shall be as defined in 1 2 section one hundred eighteen-a of the vehicle and traffic law. 3 Menacing a highway worker is a class E felony. § 3. The vehicle and traffic law is amended by adding two new sections 4 5 118-a and 118-b to read as follows: 6 § 118-a. Highway worker. Any person employed by or on behalf of the 7 state, a county, city, town or village, a public authority, a local 8 authority, or a public utility company, or the agent or contractor of 9 any such entity, who has been assigned to perform work on a highway, 10 including maintenance, repair, flagging, utility work, construction, 11 reconstruction or operation of equipment on public highway infrastruc-12 ture and associated rights-of-way in highway work areas, and shall also 13 include any flagperson as defined in section one hundred fifteen-b of 14 the vehicle and traffic law. 15 § 118-b. Motor vehicle inspector and motor carrier investigator. Any 16 person employed by the New York state department of transportation who 17 has been assigned to perform inspections of any motor vehicles or investigation of any carriers regulated by the commissioner of the New York 18 19 state department of transportation. 20 § 4. Paragraph a of subdivision 2 of section 510 of the vehicle and 21 traffic law is amended by adding a new subparagraph (xiv) to read as 22 follows: 23 (xiv) of menacing a highway worker, or menacing in the first, second 24 or third degree, as defined in article one hundred twenty of the penal 25 law, where such offense was committed against a highway worker. 26 § 5. The vehicle and traffic law is amended by adding a new section 27 1221-a to read as follows: 28 <u>§ 1221-a. Intrusion into an active work zone. 1. No driver of a vehi-</u> 29 cle shall enter or intrude into an active work zone except upon direction from a flagperson, police officer or other visibly designated 30 person in charge of traffic control or direction from a traffic control 31 32 device regulating entry therein. For purposes of this section, the term 33 "active work zone" shall mean the physical area of a highway, street or 34 private road on which construction, maintenance or utility work is being 35 conducted, which area is marked by any signs, channeling devices, barri-36 ers, pavement markings, or work vehicles, and where workers are phys-37 ically present. 38 2. A violation of subdivision one of this section shall constitute a 39 class B misdemeanor punishable by a fine of not less than two hundred 40 fifty dollars nor more than five hundred dollars, or by a period of 41 imprisonment not to exceed three months, or by both such fine and impri-42 sonment. 43 § 6. This act shall take effect on the one hundred eightieth day after 44 it shall have become a law. 45 SUBPART B 46 Paragraph 1 of subdivision (b) of section 1146 of the Section 1.

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46 Section 1. Paragraph 1 of subdivision (b) of section 1146 of the 47 vehicle and traffic law, as amended by chapter 333 of the laws of 2010, 48 is amended to read as follows:

1. A driver of a motor vehicle who causes physical injury as defined in article ten of the penal law to a pedestrian or bicyclist while failing to exercise due care in violation of subdivision (a) of this section, shall be guilty of a traffic infraction punishable by a fine of not more than [five hundred] <u>one thousand</u> dollars or by imprisonment for not more than fifteen days or by both such fine and imprisonment.



§ 2. Paragraph 1 of subdivision (c) of section 1146 of the vehicle
 and traffic law, as amended by chapter 333 of the laws of 2010, is
 amended to read as follows:
 A driver of a motor vehicle who causes serious physical injury as

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defined in article ten of the penal law to a pedestrian or bicyclist 5 while failing to exercise due care in violation of subdivision (a) of 6 this section, shall be guilty of a traffic infraction punishable by a 7 8 fine of not more than [seven hundred fifty] one thousand five hundred dollars or by imprisonment for not more than fifteen days or by required 9 participation in a motor vehicle accident prevention course pursuant to 10 11 paragraph (e-1) of subdivision two of section 65.10 of the penal law or by any combination of such fine, imprisonment or course, and by suspen-12 13 sion of a license or registration pursuant to subparagraph (xiv) or (xv) 14 of paragraph b of subdivision two of section five hundred ten of this 15 chapter.

16 § 3. Subdivision (d) of section 1146 of the vehicle and traffic law, 17 as amended by chapter 333 of the laws of 2010, is amended to read as 18 follows:

(d) A violation of subdivision (b) or (c) of this section committed by a person who has previously been convicted of any violation of such subdivisions within the preceding five years, shall constitute a class B misdemeanor punishable by a fine of not more than [one] two thousand dollars in addition to any other penalties provided by law.

24 § 4. This act shall take effect on the one hundred eightieth day after 25 it shall have become a law.

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## SUBPART C

27 Section 1. Section 600 of the vehicle and traffic law is amended by 28 adding a new subdivision 4 to read as follows:

4. Any person operating a motor vehicle involved in an accident not involving personal injury or death who moves such vehicle to a location off the roadway but as near as possible to the place where the damage occurred, so as not to obstruct the regular flow of traffic, shall not be construed to be in violation of subdivision one of this section because of such movement.

35 § 2. Subdivision 2 of section 15 of the highway law, as amended by 36 chapter 1110 of the laws of 1971, is amended to read as follows:

The commissioner of transportation or a police officer, or any 37 2. 38 person acting at the direction of the commissioner or a police officer, 39 shall have the power to cause the immediate removal, from the right of 40 way of any state highway, of any vehicle, cargo, or debris which 41 obstructs or interferes with the use of such a highway for public trav-42 el; or which obstructs or interferes with the construction, recon-43 struction or maintenance of such a highway; or which obstructs or inter-44 feres with the clearing or removal of snow or ice from such a highway; 45 or which obstructs or interferes with any operation of the department of transportation during a public emergency. The commissioner of transpor-46 47 tation or a police officer, or any person acting at the direction of the 48 commissioner or a police officer, shall not be liable for any damage to such vehicle, cargo, or debris, unless such removal was carried out in a 49 50 reckless or grossly negligent manner.

51 § 3. This act shall take effect immediately.



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1 Section 1. The vehicle and traffic law is amended by adding a new 2 section 1221-b to read as follows: 3 § 1221-b. Work zone safety and outreach. The governor's traffic safety committee, upon consultation with the commissioner of transportation, 4 the superintendent of state police, the commissioner of motor vehicles, 5 6 the chairman of the New York state thruway authority, local law enforcement agencies, and representatives for contractors and laborers, shall 7 8 design and implement a public education and outreach program to increase

9 motorist awareness of the importance of highway work zone safety, to 10 reduce the number of work zone incidents, including speeding, unauthor-11 ized intrusions into work zones, and any conduct resulting in threats or 12 injuries to highway workers, and to increase and promote work zone safe-

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§ 2. This act shall take effect immediately.

## SUBPART E

16 Section 1. Subdivisions (h) and (i) of section 1800 of the vehicle and 17 traffic law, as amended by section 1 of part B of chapter 58 of the laws 18 of 2020, are amended to read as follows:

19 (h) Notwithstanding the provisions of subdivisions (b) and (c) of this 20 section, a person convicted of a traffic infraction for a violation of any ordinance, order, rule, regulation or local law adopted pursuant to 21 22 one or more of the following provisions of this chapter: paragraphs two 23 and nine of subdivision (a) of section sixteen hundred twenty-one; subdivision three of section sixteen hundred thirty; or subdivision five 24 25 of section seventy-one of the transportation law, prohibiting the opera-26 tion on a highway or parkway of a motor vehicle registered as a commer-27 vehicle and having a gross vehicle weight rating of at least ten cial 28 thousand pounds but no more than twenty-six thousand pounds shall, for a first conviction thereof, be punished by a fine of not more than [three 29 30 hundred fifty] one thousand dollars or by imprisonment of not more than 31 fifteen days or by both such fine and imprisonment; for a conviction of a second violation, both of which were committed within a period of 32 eighteen months, such person shall be punished by a fine of not more 33 34 than [seven] <u>fifteen</u> hundred dollars or by imprisonment for not more than forty-five days or by both such fine and imprisonment; upon a 35 36 conviction of a third or subsequent violation, all of which were committed within a period of eighteen months, such person shall be punished by 37 38 a fine of not more than [one] two thousand five hundred dollars or by 39 imprisonment of not more than ninety days or by both such fine and 40 imprisonment; provided, however, the provisions of this subdivision 41 shall not apply to a commercial motor vehicle as such term is defined in 42 paragraph (a) of subdivision four of section five hundred one-a of this 43 chapter.

44 (i) Notwithstanding the provisions of subdivisions (b) and (c) of this 45 section, a person convicted of a traffic infraction for a violation of any ordinance, order, rule, regulation or local law adopted pursuant to 46 47 one or more of the following provisions of this chapter: paragraphs two 48 and nine of subdivision (a) of section sixteen hundred twenty-one; 49 subdivision three of section sixteen hundred thirty; or subdivision five 50 of section seventy-one of the transportation law, prohibiting the opera-51 tion on a highway or parkway of a commercial motor vehicle as defined in 52 paragraph (a) of subdivision four of section five hundred one-a of this 53 chapter, for a first conviction thereof, be punished by a fine of not more than [seven hundred] five thousand dollars or by imprisonment of 54



1 not more than fifteen days or by both such fine and imprisonment; for a 2 conviction of a second violation, both of which were committed within a 3 period of eighteen months, such person shall be punished by a fine of not more than [one] seven thousand five hundred dollars or by imprison-4 5 ment for not more than forty-five days or by both such fine and imprisonment; upon a conviction of a third or subsequent violation, all of 6 7 which were committed within a period of eighteen months, such person 8 shall be punished by a fine of not more than [two] ten thousand dollars or by imprisonment of not more than ninety days or by both such fine and 9 10 imprisonment. In addition to the penalties provided for in this subdivi-11 sion, the registration of the vehicle may be suspended for a period not 12 to exceed one year whether at the time of the violation the vehicle was 13 in charge of the owner or his agent. The provisions of section five 14 hundred ten of this chapter shall apply to such suspension except as 15 otherwise provided herein.

16 § 2. Subdivision 18-a of section 385 of the vehicle and traffic law, 17 as added by section 2 of part B of chapter 58 of the laws of 2020, is 18 amended to read as follows:

19 18-a. A violation of the provisions of subdivisions two or fourteen of this section, where the violation relates to the height of the vehicle, 20 21 including a violation related to the operation, within a city not wholly 22 included within one county, of a vehicle which exceeds the limitations 23 provided for in the rules and regulations of the city department of 24 transportation of such city, shall be punishable by a fine of not more 25 than [one] five thousand dollars, or by imprisonment for not more than 26 thirty days, or by both such fine and imprisonment, for the first 27 offense; by a fine of not more than [two] seven thousand five hundred 28 dollars, or by imprisonment for not more than sixty days, or by both 29 such fine and imprisonment, for the second or subsequent offense; provided that a sentence or execution thereof for any violation under 30 this subdivision may not be suspended. For any violation of the 31 provisions of subdivisions two or fourteen of this section where the 32 33 violation relates to the height of the vehicle, including a violation related to the operation, within a city not wholly included within one 34 county, of a vehicle which exceeds the limitations provided for in the 35 36 rules and regulations of the city department of transportation of such 37 city, the registration of the vehicle may be suspended for a period not 38 to exceed one year whether at the time of the violation the vehicle was 39 in charge of the owner or his agent. The provisions of section five 40 hundred ten of this chapter shall apply to such suspension except as 41 otherwise provided herein.

42 § 3. Subdivision 54 of section 375 of the vehicle and traffic law, as 43 amended by chapter 473 of the laws of 2021, is amended to read as 44 follows:

45 54. Stretch limousine [and], charter bus, and commercial motor vehicle 46 commercial GPS. (a) Every stretch limousine [and], charter bus, and 47 <u>commercial motor vehicle</u> registered in this state shall be equipped with 48 commercial global positioning system (GPS) technology within no later 49 than one year of the date upon which the national highway traffic safety 50 administration promulgates final regulations establishing standards for 51 commercial GPS.

52 (b) It shall be unlawful to operate or cause to be operated a stretch 53 limousine [or], charter bus, or commercial motor vehicle registered in 54 this state on any public highway or private road open to public motor 55 vehicle traffic unless such stretch limousine [or], charter bus, or 56 commercial motor vehicle is equipped with commercial global positioning



1 system (GPS) technology as required by this subdivision and such commer-2 cial global positioning system (GPS) technology is used. The presence in 3 such stretch limousine [or], charter bus, or commercial motor vehicle of commercial global positioning system (GPS) technology connected to a 4 5 power source and in an operable condition is presumptive evidence of its 6 use by any person operating such stretch limousine [or], charter bus, or 7 commercial motor vehicle. Such presumption may be rebutted by any cred-8 ible and reliable evidence which tends to show that such commercial global positioning system (GPS) technology was not in use. 9 (c) For the purposes of this subdivision: 10 11 (i) "Stretch limousine" shall mean an altered motor vehicle having a 12 seating capacity of nine or more passengers, including the driver, 13 commonly referred to as a "stretch limousine" and which is used in the 14 business of transporting passengers for compensation; 15 (ii) "Charter bus" shall mean a bus transporting passengers for 16 compensation in a chartered party; 17 (iii) "Chartered party" shall mean a group of persons who, pursuant to 18 a common purpose and under a single contract and at a fixed charge, have 19 acquired exclusive use of a bus to travel together as a group to a 20 specific destination or for a particular itinerary either agreed upon in 21 advance or modified after having left the place of origin by such group; 22 [and] (iv) "Commercial motor vehicle" shall mean a motor vehicle or combina-23 tion of vehicles having a gross combination weight rating of more than 24 25 ten thousand pounds used in commerce to transport property or persons 26 and shall include a tow truck with a gross vehicle weight rating of at 27 least eighty-six hundred pounds; and 28 (v) "Commercial global positioning system (GPS) technology" shall mean 29 global positioning system (GPS) technology which has been specifically 30 designed to assist in the navigation of commercial motor vehicles. § 4. The vehicle and traffic law is amended by adding a new section 31 32 509-vv to read as follows: 33 § 509-vv. The use of non-commercial global positioning systems. One 34 year following the date upon which the national highway traffic safety administration promulgates final regulations establishing standards for 35 36 commercial global positioning systems (GPS), the use of non-commercial 37 global positioning systems (GPS) by any commercial driver or commercial 38 motor carrier, while engaged in the operation or directing the operation of any commercial vehicle, is prohibited. For purposes of this section, 39 40 non-commercial global position system (GPS) shall mean any global positioning technology which has not been specifically designed to assist in 41 42 the navigation of commercial vehicles. 43 The vehicle and traffic law is amended by adding a new section § 5. 44 509-vvv to read as follows: 45 <u>§ 509-vvv. Parkways notification. Commercial carriers must notify,</u> 46 in writing, all commercial drivers in their employ of the prohibition 47 against operating commercial motor vehicles on parkways. 48 § 6. The vehicle and traffic law is amended by adding a new section 49 509-ii to read as follows: 50 § 509-ii. The use of non-commercial global positioning systems. One 51 year following the date upon which the national highway traffic safety 52 administration promulgates final regulations establishing standards for 53 commercial global positioning systems (GPS), the use of non-commercial global positioning systems (GPS) by any bus driver or motor carrier, 54 55 while engaged in the operation or directing the operation of any bus, is 56 prohibited. For purposes of this section, non-commercial global posi-



vehicles.

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tion system (GPS) shall mean any global positioning technology which has not been specifically designed to assist in the navigation of commercial § 7. The vehicle and traffic law is amended by adding a new section 509-iii to read as follows: § 509-iii. Parkways notification. Motor carriers must notify, in writing, all bus drivers in their employ of the prohibition against operating commercial motor vehicles on parkways. § 8. The general business law is amended by adding a new section 396zz to read as follows: § 396-zz. Commercial vehicle owner notifications of parkway prohibitions. (a) All rental vehicle companies, as defined in section three

13 hundred ninety-six-z of this article, must notify in writing all author-14 ized drivers or renters, as defined in section three hundred 15 ninety-six-z of this article, of the prohibition against commercial 16 motor vehicles operating on parkways for any rentals or leases of commercial motor vehicles. For purposes of this section "commercial 17 18 motor vehicle" shall mean a motor vehicle or combination of vehicles 19 having a gross combination weight rating of more than ten thousand 20 pounds used to transport property or persons and shall include a tow 21 truck with a gross vehicle weight rating of at least eighty-six hundred 22 pounds.

23 conviction for a violation of this section shall be punishable (b) A 24 by a fine of not more than one thousand dollars.

25 § 9. Severability. If any clause, sentence, subdivision, paragraph, 26 section or part of this act be adjudged by any court of competent juris-27 diction to be invalid, or if any federal agency determines in writing 28 that this act would render New York state ineligible for the receipt of 29 federal funds, such judgment or written determination shall not affect, impair or invalidate the remainder thereof, but shall be confined in its 30 operation to the clause, sentence, subdivision, paragraph, section or 31 32 part thereof directly involved in the controversy in which such judgment 33 or written determination shall have been rendered.

34 This act shall take effect on the one hundred eightieth day § 10. 35 after it shall have become a law; provided, however, that if chapter 473 36 of the laws of 2021 shall not have taken effect on or before such date 37 then section three of this act shall take effect on the same date and in 38 the same manner as such chapter of the laws of 2021 takes effect; 39 provided further that this act shall be deemed repealed if any federal 40 agency determines in writing that this act would render New York state 41 ineligible for the receipt of federal funds or any court of competent 42 jurisdiction finally determines that this act would render New York 43 state out of compliance with federal law or regulation; and provided 44 that the commissioner of transportation shall notify the legislative 45 bill drafting commission upon the occurrence of the provisions of this 46 act in order that the commission may maintain an accurate and timelv 47 effective data base of the official text of the laws of the state of New York in furtherance of effectuating the provisions of section 44 of the 48 legislative law and section 70-b of the public officers law; and 49 50 provided further, however, that with respect to sections four and six of 51 this act, the commissioner of transportation shall notify the legisla-52 tive bill drafting commission upon the occurrence of the provisions of 53 sections four and six of this act, in order that the commission may 54 maintain an accurate and timely effective data base of the official text 55 of the laws of the state of New York in furtherance of effectuating the provisions of section 44 of the legislative law and section 70-b of the 56



1 public officers law. Effective immediately, the addition, amendment 2 and/or repeal of any rule or regulation necessary for the implementation 3 of this act on its effective date are authorized to be made and 4 completed on or before such effective date.

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#### SUBPART F

6 Section 1. Section 52 of the highway law, as amended by chapter 297 of 7 the laws of 1972, the fourth undesignated paragraph as amended by chap-8 ter 643 of the laws of 1998 and the closing paragraph as amended by 9 section 14 of part EE of chapter 63 of the laws of 2000, is amended to 10 read as follows:

11 § 52. Permits for work within the state highway right of way. 1. 12 Except in connection with the construction, reconstruction, maintenance 13 or improvement of a state highway, no person, firm, corporation, munici-14 pality, or state department or agency shall construct or improve, within 15 the state highway right of way an entrance or connection to such highway, or construct within the state highway right of way any works, 16 17 structure or obstruction, or any overhead or underground crossing there-18 of, or lay or maintain therein underground wires or conduits or drain-19 sewer or water pipes, except in accordance with the terms and age, 20 conditions of a work permit issued by the commissioner of transportation 21 or his duly designated agent, notwithstanding any consent or franchise 22 granted by any town or county superintendent, or by any other municipal 23 authority. Any municipal corporation may enter upon any state highway 24 for the purpose of widening the pavement or for any other purpose 25 authorized by this section, but only after securing a permit as provided 26 herein. Notwithstanding the limitations in any general or special law, 27 every municipal corporation shall have and is hereby given authority to 28 deposit with the department of transportation, such a sum of money or a 29 security bond as may be required by the commissioner of transportation 30 as a condition precedent to the granting of the permit provided in this 31 section.

(a) The commissioner of transportation shall establish regulations 32 2. 33 governing the issuance of highway work permits, including the fees to be charged therefor, a system of deposits of money or bonds guaranteeing 34 35 the performance of the work and requirements of insurance to protect the 36 interests of the state during performance of the work pursuant to a 37 highway work permit. With respect to driveway entrance permits, the 38 regulations shall take into consideration the prospective character of 39 the development, the traffic which will be generated by the facility 40 within the reasonably foreseeable future, the design and frequency of access to the facility, the effect of the facility upon drainage as 41 42 related to existing drainage systems, the extent to which such facility 43 may impair the safety and traffic carrying capacity of the existing 44 state highway and any proposed improvement thereto within the reasonably 45 foreseeable future, and any standards governing access, non-access or 46 limited access which have been established by the department of trans-47 portation.

(b) Upon completion of the work within the state highway right of way, authorized by the work permit, the person, firm, corporation, municipality, or state department or agency, and his or its successors in interest, shall be responsible for the maintenance and repair of such work or portion of such work as set forth within the terms and conditions of the work permit.



1 3. An advertising sign, display or device, or any part thereof, erected or maintained in violation of this section shall be removed from 2 the state highway right of way by the owner or the party responsible for 3 its erection and maintenance. The commissioner of transportation shall 4 make a demand by mail, to the last known address of the owner, apparent 5 owner or party responsible for the erection and maintenance of such 6 advertising sign, display or device, for its removal and, if it is not 7 8 removed within thirty days from the date of the mailing of such demand, the commissioner of transportation may remove any such advertising sign, 9 display or device, or any part thereof, from the state highway right of 10 11 way. Any such legally permitted, erected and maintained sign, display or 12 device may be maintained by its owner in accordance with the provisions 13 of this section upon the approval of the permit issuing office on the 14 same terms and conditions as may exist for the granting of such 15 approvals generally. Where such approvals are for permits to control 16 vegetation, the permit issuing office shall approve no more than two 17 hundred fifty permits per annum. The commissioner of transportation may 18 also order the approval of additional permits to control vegetation on 19 an individual basis upon demonstration of acute need.

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20 4. The term "state highway right of way" shall, for the purposes of 21 this section, mean the entire width between the boundary line of all 22 property which has been purchased or appropriated by the state for state 23 highway purposes, all property over which the commissioner of transpor-24 tation or his predecessors has assumed jurisdiction for state highway 25 purposes, all property over which the commissioner of transportation has 26 assumed jurisdiction during the period of construction, reconstruction 27 or improvement and all property which has become part of the state high-28 way system through dedication or use.

29 5. Any person, firm or corporation violating this section shall be 30 liable [to] for a fine of not [less than twenty-five dollars nor] more than [one] twenty-five thousand dollars for each day of violation to be 31 recovered by the commissioner of transportation. All fees, fines or 32 33 penalties collected or recovered by the commissioner pursuant to this section shall be deposited by the comptroller into the special obli-34 gation reserve and payment account of the dedicated highway and bridge 35 36 trust fund established pursuant to section eighty-nine-b of the state finance law, excepting monies deposited with the state on account of 37 38 betterments performed pursuant to subdivision twenty-seven or subdivi-39 sion thirty-five of section ten of this chapter.

40 § 2. This act shall take effect immediately.

41 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-42 sion, section or Subpart of this Part shall be adjudged by any court of 43 competent jurisdiction to be invalid, such judgment shall not affect, 44 impair, or invalidate the remainder thereof, but shall be confined in 45 its operation to the clause, sentence, paragraph, subdivision, section 46 Subpart thereof directly involved in the controversy in which such or 47 judgment shall have been rendered. It is hereby declared to be the intent of the legislature that this Part would have been enacted even if 48 49 such invalid provisions had not been included herein.

50 § 3. This act shall take effect immediately; provided, however, that 51 the applicable effective date of Subparts A through F of this Part shall 52 be as specifically set forth in the last section of such Subparts.

PART B



18

1 Section 1. Paragraph (e) of subdivision 4 of section 10-c of the high-2 way law, as amended by section 1 part A of chapter 58 of the laws of 3 2020, is amended to read as follows:

(e) Funds allocated for local street or highway projects under this 4 5 subdivision shall be used to undertake work on a project either with the municipality's own forces or by contract, provided however, that whenev-6 7 er the estimate for the construction contract work exceeds one hundred 8 thousand dollars but does not exceed [three] seven hundred fifty thousand dollars such work must be performed either with the municipality's 9 own forces or by contract let by competitive bid in accordance with the 10 11 provisions of section one hundred three of the general municipal law and 12 provided further, however, that whenever the estimate for the 13 construction contract work exceeds [three] seven hundred fifty thousand 14 dollars such work must be performed by contract let by competitive bid 15 in accordance with the provisions of section one hundred three of the 16 general municipal law.

17 § 2. This act shall take effect immediately.

#### PART C

19 Section 1. Section 14-1 of the transportation law, as added by 20 section 2 of part H of chapter 413 of the laws of 1999, paragraph (f) of 21 subdivision 2 as amended by section 1 of subpart XX of chapter 59 of the 22 laws of 2021, is amended to read as follows:

23 § 14-1. Airport improvement and revitalization. 1. Notwithstanding any other provision of law to the contrary, an airport improvement and revi-24 25 talization grant [and loan] program is established. Such program is 26 established to provide assistance for the revitalization of public use 27 airports through funding of projects or portions thereof, for which sufficient federal capital assistance and required non-federal matching 28 funding is not available and provided the project is consistent with the 29 30 airport layout plan approved by the department or the Federal Aviation Administration. The funding of capital improvements pursuant to this 31 section shall not be used to provide the non-federal matching share for 32 33 federal airport capital improvement grants.

34 2. (a) Assistance may consist of grants [and loans] for capital 35 improvements and technical assistance provided by the department pursu-36 ant to this section.

37 [Loans and grants] <u>Grants</u> pursuant to this section may be made to any 38 municipal corporation, public authority, public benefit corporation or 39 any combination thereof, or to other owners of a public use airport for 40 the purpose of improving a public use airport. A county, pursuant to a 41 written agreement, may act on behalf of one or more cities, towns or 42 villages for the purposes of this section. No such assistance shall be 43 provided to any airport operated by a bi-state authority.

44 (b) Improvements pursuant to this section may be made for the follow-45 ing purposes:

46 (i) construction, reconstruction, improvement, reconditioning and 47 preservation of capital facilities where the service life of the project 48 is at least ten years, and related engineering services provided, howev-49 er, that for pavement management projects the service life of the 50 project shall be at least five years; <u>and</u>

51 (ii) purchase of airport equipment, including navigational aids, 52 acquisition of land and easements[; and

53 (iii) technical assistance for airports including, but not limited to, 54 preparation of studies to attract, retain or improve air carrier or air



1 cargo services including low fare commercial service air carrier 2 services, airport business plans, activities to inform the general 3 public or public and private organizations of the availability and 4 economic impact of the airport and the aviation services at the airport 5 on the community].

(c) Assistance pursuant to this section shall be provided pursuant to 6 contract with the commissioner. Contracts for capital improvements shall 7 insure the availability to the public of any airport improved hereunder 8 for the useful life of such improvement as defined in section sixty-one 9 of the state finance law. The commissioner shall establish standards 10 11 governing the form, content and submission of applications for partic-12 ipation in this program. Such standards shall include, but not be limit-13 ed to, the requirement that, with respect to applications submitted by 14 owners of privately-owned airports, the commissioner shall make a deter-15 mination that a request submitted by such owners will serve a public 16 purpose [and such applications are accompanied by]. Before any funding under this section may be accepted or disbursed, the commissioner must 17 be provided with a resolution from the governing body of the county in 18 19 which such privately-owned airport is located formally endorsing the project for which assistance is requested. The commissioner shall not 20 21 approve an application for a grant [or loan] unless the applicant can 22 demonstrate commitment of sufficient funds to provide the match set 23 forth in paragraph (d) of this subdivision.

[All loans shall be repaid within ten years and bear such rate of interest as shall be established therefor by the commissioner upon the issuance of the loan; provided, however, such rate shall not exceed six percent per annum. Payments on all loans shall be made to the department and credited to the airport improvement and revitalization fund established pursuant to section eighty-eight-d of the state finance law.]

(d) Matching ratios. [(i)] Capital grants [and loans]. State assist-30 ance for the program shall cover the following share of the project 31 cost: for general aviation airports and commercial service airports with 32 less than fifty thousand annual enplanements, up to ninety percent; for 33 commercial service airports with fifty thousand or more but less than 34 seven hundred thousand annual enplanements, up to eighty percent; and 35 36 for commercial service airports with annual enplanements of seven 37 hundred thousand or more, up to seventy percent.

38 [(ii) Technical assistance. Technical assistance may be up to eighty 39 percent of the project cost. Funding for technical assistance shall be 40 limited to general aviation airports and commercial service airports 41 with less than two hundred fifty thousand annual enplanements, provided, 42 however, that such funding may be granted to general aviation airports 43 and commercial service airports, regardless of the number of annual 44 enplanements, for the preparation of studies to attract, retain or 45 improve low fare commercial service air carrier services. The entire 46 cost of regional or statewide studies conducted by or on behalf of the 47 department may be funded.]

(e) Funds for assistance pursuant to this section shall be from the airport improvement and revitalization fund established pursuant to section eighty-eight-d of the state finance law. No funds shall be paid pursuant to this section unless the applicant for assistance provides for the required non-state funded share of the costs of a project.

53 (f) No grant [or loan] to any eligible applicant shall exceed the sum 54 of [two] <u>five</u> million [five hundred thousand] dollars, and no part of 55 any such grant [or loan] shall be used for salaries or for services



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1 regularly provided by the applicant for administrative costs in 2 connection with such grant [or loan].

3 (g) On or before May first each year, the commissioner shall submit a 4 report on the immediately preceding fiscal year to the governor, tempo-5 rary president of the senate and speaker of the assembly showing the 6 total funds available for assistance pursuant to this section, <u>and</u> item-7 ization of assistance provided[, and the repayments of loans].

8 (h) No provision of this section shall be deemed to make any applicant 9 ineligible for assistance otherwise available pursuant to section four-10 teen-h or fourteen-k of this article.

11 (i) The commissioner may promulgate rules and regulations for the 12 implementation of this section.

13 § 2. This act shall take effect immediately.

#### PART D

15 Section 1. Section 45 of the highway law, as amended by chapter 1110 16 of the laws of 1971, is amended to read as follows:

17 § 45. Entry upon adjacent lands and streams. Lands adjacent to a state 18 highway or adjoining or in the bed or beds of any streams or creeks may 19 be entered upon and occupied by the commissioner of transportation, his 20 <u>or her</u> representatives and employees, or by a contractor or any of his 21 <u>or her</u> agents or employees when directed by the commissioner of trans-22 portation or his <u>or her</u> representative:

1. to open, maintain or construct an existing ditch or drain or for making surveys and for digging a new ditch or drain, or a section thereof, for the free passage of water for the drainage of such highways.

26 2. to perform such work of construction, reconstruction, improvement 27 or maintenance in order to keep the waters of such streams or creeks 28 within their proper channels and to prevent their encroachment upon 29 state highways or bridges thereon.

30 3. to remove or change the position of a fence or other obstruction 31 which, in the judgment of the commissioner of transportation, prevents 32 the free flow of water under or through a state highway, bridge or 33 culvert.

34 4. to remove any fence or other obstruction which, in the judgment of 35 the commissioner of transportation, causes snow to drift in and upon a 36 state highway, and to erect snow fences or other devices upon such lands 37 to prevent the drifting of snow in or upon any such highway.

5. to inspect trees for the purpose of determining whether any are in such a condition as to constitute a danger to users of the adjacent highway and to remove or prune those trees or parts thereof which in the judgment of the commissioner constitute such a danger.

6. on a temporary basis, when determined to be necessary in the discretion of the commissioner, to perform emergency repairs to provide for the safe functionality and operation of state highways and bridges when such functionality or operation is impacted by storm damage, landslide, or retaining wall or drainage failure, and may pose a threat to the traveling public.

Notwithstanding the provisions of any general, special or local law or of any inconsistent provision of this chapter, claims for any damage caused by such entry and work and not exceeding three hundred and fifty dollars may be adjusted by agreement by the commissioner of transportation without appropriating any property. Upon making any such agreement and adjustment, and upon the approval thereof by the department of audit and control, the commissioner of transportation shall deliver to the



1 comptroller such agreement and a certificate stating the amount due such 2 owner for damage caused by such entry and work and the amount so fixed 3 shall be paid out of the state treasury from moneys appropriated for the maintenance and repair of state highways. 4 5 § 2. This act shall take effect immediately. PART E 6 7 Section 1. Section 1 of part I of chapter 413 of the laws of 1999, relating to providing for mass transportation payments, as amended by 8 section 1 of part D of chapter 58 of the laws of 2015, is amended to 9 10 read as follows: 11 Section 1. Notwithstanding any other law, rule or regulation to the 12 contrary, payment of mass transportation operating assistance pursuant 13 to section 18-b of the transportation law shall be subject to the 14 provisions contained herein and the amounts made available therefor by 15 appropriation. 16 In establishing service and usage formulas for distribution of mass 17 transportation operating assistance, the commissioner of transportation 18 may combine and/or take into consideration those formulas used to 19 distribute mass transportation operating assistance payments authorized 20 by separate appropriations in order to facilitate program administration 21 and to ensure an orderly distribution of such funds. 22 To improve the predictability in the level of funding for those 23 systems receiving operating assistance payments under service and usage 24 formulas, the commissioner of transportation is authorized with the 25 approval of the director of the budget, to provide service payments 26 based on service and usage statistics of the preceding year. 27 In the case of a service payment made, pursuant to section 18-b of the 28 transportation law, to a regional transportation authority on account of mass transportation services provided to more than one county (consider-29 30 ing the city of New York to be one county), the respective shares of the matching payments required to be made by a county to any such authority 31 shall be as follows: 32 33 Percentage 34 of Matching 35 Local Jurisdiction Payment 36 37 In the Metropolitan Commuter 38 Transportation District: 39 6.40 New York City ..... 40 1.30 Dutchess ..... 39.60 41 Nassau ..... 0.50 42 Orange .....

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43	Putnam	1.30
44	Rockland	0.10
45	Suffolk	25.70
46	Westchester	25.10
47	In the Capital District Trans-	
48	portation District:	
49	Albany	[56.10] <u>55.27</u>
50	Rensselaer	[23.30] <u>22.96</u>
51	Saratoga	[4.10] <u>4.04</u>
52	Schenectady	[16.50] <u>16.26</u>
53	Montgomery	1.47



1	In the Central New York Re-		
2	gional Transportation Dis–		
3	trict:		
4	Cayuga	5.11	
5	Onondaga	75.83	
6	Oswego	2.85	
7	Oneida	16.21	
8	In the Rochester-Genesee Re-		
9	gional Transportation Dis-		
10	trict:		
11	Genesee	1.36	
12	Livingston	.90	
13	Monroe	90.14	
14	Wayne	.98	
15	Wyoming	.51	
16	Seneca	.64	
17	Orleans	.77	
18	Ontario	4.69	
19	In the Niagara Frontier Trans–		
20	portation District: Erie		89.20
21	Niagara	10.80	

22 Notwithstanding any other inconsistent provisions of section 18-b of 23 the transportation law or any other law, any moneys provided to a public 24 benefit corporation constituting a transportation authority or to other 25 public transportation systems in payment of state operating assistance 26 or such lesser amount as the authority or public transportation system 27 shall make application for, shall be paid by the commissioner of trans-28 portation to such authority or public transportation system in lieu, and 29 in full satisfaction, of any amounts which the authority would otherwise 30 be entitled to receive under section 18-b of the transportation law.

31 Notwithstanding the reporting date provision of section 17-a of the 32 transportation law, the reports of each regional transportation authori-33 ty and other major public transportation systems receiving mass trans-34 portation operating assistance shall be submitted on or before July 15 35 of each year in the format prescribed by the commissioner of transporta-36 tion. Copies of such reports shall also be filed with the chairpersons 37 of the senate finance committee and the assembly ways and means commit-38 tee and the director of the budget. The commissioner of transportation 39 may withhold future state operating assistance payments to public trans-40 portation systems or private operators that do not provide such reports. 41 Payments may be made in quarterly installments as provided in subdivi-42 sion 2 of section 18-b of the transportation law or in such other manner 43 and at such other times as the commissioner of transportation, with the 44 approval of the director of the budget, may provide; and where payment 45 is not made in the manner provided by such subdivision 2, the matching 46 payments required of any city, county, Indian tribe or intercity bus 47 company shall be made within 30 days of the payment of state operating 48 assistance pursuant to this section or on such other basis as may be agreed upon by the commissioner of transportation, the director of the 49 50 budget, and the chief executive officer of such city, county, Indian 51 tribe or intercity bus company.

52 The commissioner of transportation shall be required to annually eval-53 uate the operating and financial performance of each major public trans-54 portation system. Where the commissioner's evaluation process has iden-55 tified a problem related to system performance, the commissioner may



1 request the system to develop plans to address the performance deficien-2 cies. The commissioner of transportation may withhold future state oper-3 ating assistance payments to public transportation systems or private 4 operators that do not provide such operating, financial, or other infor-

5 mation as may be required by the commissioner to conduct the evaluation 6 process.

7 Payments shall be made contingent upon compliance with regulations 8 deemed necessary and appropriate, as prescribed by the commissioner of transportation and approved by the director of the budget, which shall 9 10 promote the economy, efficiency, utility, effectiveness, and coordinated 11 service delivery of public transportation systems. The chief executive 12 officer of each public transportation system receiving a payment shall 13 certify to the commissioner of transportation, in addition to informa-14 tion required by section 18-b of the transportation law, such other 15 information as the commissioner of transportation shall determine is 16 necessary to determine compliance and carry out the purposes herein.

17 Counties, municipalities or Indian tribes that propose to allocate 18 service payments to operators on a basis other than the amount earned by 19 the service payment formula shall be required to describe the proposed 20 method of distributing governmental operating aid and submit it one 21 month prior to the start of the operator's fiscal year to the commis-22 sioner of transportation in writing for review and approval prior to the distribution of state aid. The commissioner of transportation shall only 23 24 approve alternate distribution methods which are consistent with the 25 transportation needs of the people to be served and ensure that the system of private operators does not exceed established maximum service 26 27 payment limits. Copies of such approvals shall be submitted to the 28 chairpersons of the senate finance and assembly ways and means commit-29 tees.

30 Notwithstanding the provisions of subdivision 4 of section 18-b of the 31 transportation law, the commissioner of transportation is authorized to continue to use prior quarter statistics to determine current quarter 32 33 payment amounts, as initiated in the April to June quarter of 1981. In the event that actual revenue passengers and actual total number of 34 vehicle, nautical or car miles are not available for the preceding quar-35 36 ter, estimated statistics may be used as the basis of payment upon approval by the commissioner of transportation. In such event, the 37 38 succeeding payment shall be adjusted to reflect the difference between 39 the actual and estimated total number of revenue passengers and vehicle, 40 nautical or car miles used as the basis of the estimated payment. The 41 chief executive officer may apply for less aid than the system is eligi-42 ble to receive. Each quarterly payment shall be attributable to operat-43 ing expenses incurred during the quarter in which it is received, unless 44 otherwise specified by such commissioner. In the event that a public 45 transportation system ceases to participate in the program, operating 46 assistance due for the final quarter that service is provided shall be 47 based upon the actual total number of revenue passengers and the actual 48 total number of vehicle, nautical or car miles carried during that quar-49 ter.

50 Payments shall be contingent on compliance with audit requirements 51 determined by the commissioner of transportation.

52 In the event that an audit of a public transportation system or 53 private operator receiving funds discloses the existence of an overpay-54 ment of state operating assistance, regardless of whether such an over-55 payment results from an audit of revenue passengers and the actual 56 number of revenue vehicle miles statistics, or an audit of private oper-



1 ators in cases where more than a reasonable return based on equity or 2 operating revenues and expenses has resulted, the commissioner of trans-3 portation, in addition to recovering the amount of state operating 4 assistance overpaid, shall also recover interest, as defined by the 5 department of taxation and finance, on the amount of the overpayment.

Notwithstanding any other law, rule or regulation to the contrary, 6 whenever the commissioner of transportation is notified by the comp-7 8 troller that the amount of revenues available for payment from an account is less than the total amount of money for which the public mass 9 transportation systems are eligible pursuant to the provisions of 10 section 88-a of the state finance law and any appropriations enacted for 11 12 these purposes, the commissioner of transportation shall establish a 13 maximum payment limit which is proportionally lower than the amounts set 14 forth in appropriations.

Notwithstanding paragraphs (b) of subdivisions 5 and 7 of section 88-a of the state finance law and any other general or special law, payments may be made in quarterly installments or in such other manner and at such other times as the commissioner of transportation, with the approval of the director of the budget may prescribe.

20 § 2. This act shall take effect immediately and shall be deemed to 21 have been in full force and effect on and after April 1, 2022.

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# PART F

23 Section 1. Subdivision 1 of section 359 of the public authorities law, 24 as amended by section 6 of part TT of chapter 54 of the laws of 2016, is 25 amended to read as follows:

26 On assuming jurisdiction of a thruway section or connection or any 1. 27 part thereof, or of a highway connection, the authority shall proceed the construction, reconstruction or improvement thereof. All such 28 with work shall be done pursuant to a contract or contracts which shall be 29 to the lowest responsible bidder, by sealed proposals publicly 30 let opened, or by electronically secure proposal submission as permitted by 31 the authority and electronically posted for public view, after public 32 33 advertisement and upon such terms and conditions as the authority shall 34 require; provided, however, that the authority may reject any and all 35 proposals and may advertise for new proposals, as herein provided, if in 36 its opinion, the best interests of the authority will thereby be 37 promoted; provided further, however, that at the request of the authori-38 ty, all or any portion of such work, together with any engineering 39 required by the authority in connection therewith, shall be performed by 40 the commissioner and his subordinates in the department of transporta-41 tion as agents for, and at the expense of, the authority.

42 § 2. This act shall take effect immediately.

#### PART G

44 Section 1. Section 359-a of the public authorities law, as amended by 45 section 7 of part TT of chapter 54 of the laws of 2016, is amended to 46 read as follows:

§ 359-a. Procurement contracts. For the purposes of section twentyeight hundred seventy-nine of this chapter as applied to the authority, the term "procurement contract" shall mean any written agreement for the acquisition of goods or services of any kind by the authority in the actual or estimated amount of [fifteen] <u>fifty</u> thousand dollars or more.



1 The authority may utilize a procurement contract let by any department, agency or instrumentality of the United States government and/or any 2 department, agency, office, political subdivision or instrumentality of 3 any state or states. The authority shall document in the procurement 4 record its rationale for the use of such a contract. Such rationale 5 6 shall include, but need not be limited to, a determination of need, a 7 consideration of the procurement method by which the contract was 8 awarded, an analysis of alternative procurement sources including an 9 explanation why a competitive procurement or the use of a centralized contract let by the commissioner of the office of general services is 10 11 not in the best interest of the authority, and the reasonableness of 12 cost. The authority shall accept sole responsibility for any payment 13 due the vendor or contractor as a result of the authority's use of the 14 contract. § 2. This act shall take effect immediately.

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#### PART H

17 Section 1. Subdivision 1 of section 1264 of the public authorities law, as amended by section 2 of subpart B of part ZZZ of chapter 59 of 18 19 the laws of 2019, is amended to read as follows:

20 The purposes of the authority shall be the continuance, further 1. 21 development and improvement of commuter transportation and other 22 services related thereto within the metropolitan commuter transportation 23 district, including but not limited to such transportation by railroad, 24 omnibus, marine and air, in accordance with the provisions of this 25 title. It shall be the further purpose of the authority, consistent with 26 its status as the ex officio board of both the New York city transit 27 authority and the triborough bridge and tunnel authority, to develop and 28 implement a unified mass transportation policy for such district in an 29 efficient and cost-effective manner that includes the use of designbuild contracting on all projects over [twenty-five] two hundred million 30 31 dollars in cost for new construction and all projects over four hundred million dollars in cost for projects that are predominantly rehabili-32 tation or replacement of existing assets except where a waiver is grant-33 34 ed by the New York state budget director pursuant to a request in writ-35 ing from the metropolitan transportation authority. For purposes of 36 granting a waiver pursuant to this section, such review shall consider 37 whether the design build contracting method is appropriate for the 38 project that such waiver is sought for, and the amount of savings and 39 efficiencies that could be achieved using such method. The determination 40 for such waiver shall be made in writing within forty-five days from 41 request or shall be deemed granted.

42 § 2. This act shall take effect immediately.

# 43

#### PART I

44 Section 1. Paragraph (b) of subdivision 7 of section 1209 of the 45 public authorities law, as amended by section 3 of subpart C of part ZZZ of chapter 59 of the laws of 2019, is amended to read as follows: 46

47 (b) Section twenty-eight hundred seventy-nine of this chapter shall 48 apply to the authority's acquisition of goods or services of any kind, in the actual or estimated amount of fifteen thousand dollars or more, 49 provided that (i) a contract for services in the actual or estimated 50 51 amount of one million dollars or less shall not require approval by the board of the authority regardless of the length of the period over which 52



1 the services are rendered, and provided further that a contract for 2 services in the actual or estimated amount in excess of one million dollars shall require approval by the board of the authority regardless 3 of the length of the period over which the services are rendered unless 4 such a contract is awarded to the lowest responsible bidder after 5 obtaining sealed bids and (ii) the board of the authority may by resol-6 7 ution adopt guidelines that authorize the award of contracts to small business concerns, to service disabled veteran owned businesses certi-8 fied pursuant to article seventeen-B of the executive law, or minority 9 or women-owned business enterprises certified pursuant to article 10 11 fifteen-A of the executive law, or purchases of goods or technology that 12 are recycled or remanufactured, in an amount not to exceed one million 13 five hundred thousand dollars without a formal competitive process and 14 without further board approval. The board of the authority shall adopt 15 guidelines which shall be made publicly available for the awarding of 16 such contract without a formal competitive process.

17 § 2. Paragraph (a) of subdivision 8 of section 1209 of the public 18 authorities law, as amended by chapter 725 of the laws of 1993, is 19 amended to read as follows:

20 (a) Advertisement for bids, when required by this section, shall be 21 published at least once in a newspaper of general circulation in the 22 area served by the authority and in the procurement opportunities news-23 letter published pursuant to article four-C of the economic development 24 law provided that, notwithstanding the provisions of article four-C of 25 the economic development law, an advertisement shall only be required 26 when required by this section. Publication in a newspaper of general 27 circulation in the area served or in the procurement opportunities news-28 letter shall not be required if bids for contracts for supplies, materi-29 als or equipment are of a type regularly purchased by the authority and are to be solicited from a list of potential suppliers, if such list is 30 or has been developed consistent with the provisions of subdivision 31 eleven of this section. Any such advertisement shall contain a statement 32 of: (i) the time and place where bids received pursuant to any notice 33 requesting sealed bids will be publicly opened and read; (ii) the name 34 35 of the contracting agency; (iii) the contract identification number; a brief description of the public work, supplies, materials, or 36 (iv) 37 equipment sought, the location where work is to be performed, goods are 38 to be delivered or services provided and the contract term; (v) the 39 address where bids or proposals are to be submitted; (vi) the date when 40 bids or proposals are due; (vii) a description of any eligibility or 41 qualification requirement or preference; (viii) a statement as to wheth-42 er the contract requirements may be fulfilled by a subcontracting, joint 43 venture, or co-production arrangement; (ix) any other information deemed 44 useful to potential contractors; and (x) the name, address, and tele-45 phone number of the person to be contacted for additional information. 46 At least [fifteen] ten business days shall elapse between the first 47 publication of such advertisement or the solicitation of bids, as the case may be, and the date of opening and reading of bids provided that 48 49 at least fifteen business days shall elapse between the first publica-50 tion of such advertisement or the solicitation of bids, as the case may 51 be, and the date of opening and reading of bids for public work 52 contracts.

53 § 3. Paragraph (e) of subdivision 9 of section 1209 of the public 54 authorities law, as added by chapter 929 of the laws of 1986, is amended 55 to read as follows:



1 the item is available through an existing contract [between a (e) 2 vendor and (i) another public authority provided that such other authority utilized a process of competitive bidding or a process of compet-3 itive requests for proposals to award such contract or (ii) the state of 4 New York or the city of New York, provided that in any case when the 5 authority under this paragraph determines that obtaining such item 6 7 thereby would be in the public interest and sets forth the reasons for 8 such determination] let by any department, agency or instrumentality of 9 the United States government and/or any department, agency, office, political subdivision or instrumentality of any state or states. The 10 11 authority shall document in the procurement record its rationale for the use of such a contract. Such rationale shall include, but need not be 12 13 limited to, a determination of need, a consideration of the procurement 14 method by which the contract was awarded, an analysis of alternative 15 procurement sources including an explanation why a competitive procure-16 ment or the use of a centralized contract let by the commissioner of the 17 office of general services is not in the best interest of the authority, 18 and the reasonableness of cost. The authority shall accept sole respon-19 sibility for any payment due the vendor as a result of the authority's 20 order; or

21 4. Subdivision 10 of section 1209 of the public authorities law, as S 22 added by chapter 929 of the laws of 1986, is amended to read as follows: 23 10. Upon the adoption of a resolution by the authority stating, for 24 reasons of efficiency, economy, compatibility or maintenance reliability, that there is a need for standardization, the authority may estab-25 26 lish procedures whereby particular supplies, materials or equipment are 27 identified on a qualified products list. Such procedures shall provide 28 for products or vendors to be added to or deleted from such list and 29 shall include provisions for public advertisement of the manner in which such lists are compiled. The authority shall review such list no less 30 [twice] once a year for the purpose of making modifications there-31 than Contracts for particular supplies, materials or equipment identi-32 to. 33 fied on a qualified products list may be awarded by the authority to the lowest responsible bidder after obtaining sealed bids in accordance with 34 35 this section or without competitive sealed bids in instances when the 36 item is available from only a single source, except that the authority 37 may dispense with advertising provided that it mails copies of the invi-38 tation to bid to all vendors of the particular item on the qualified 39 products list.

40 § 5. Paragraph (b) of subdivision 2 of section 1265-a of the public 41 authorities law, as amended by section 3-a of subpart C of part ZZZ of 42 chapter 59 of the laws of 2019, is amended to read as follows:

43 (b) Section twenty-eight hundred seventy-nine of this chapter shall 44 apply to the authority's acquisition of goods or services of any kind, 45 in the actual or estimated amount of fifteen thousand dollars or more, 46 provided (i) that a contract for services in the actual or estimated 47 amount of one million dollars or less shall not require approval by the board of the authority regardless of the length of the period over which 48 49 the services are rendered, and provided further that a contract for 50 services in the actual or estimated amount in excess of one million dollars shall require approval by the board of the authority regardless 51 52 of the length of the period over which the services are rendered unless such a contract is awarded to the lowest responsible bidder after 53 obtaining sealed bids, and (ii) the board of the authority may by resol-54 ution adopt guidelines that authorize the award of contracts to small 55 business concerns, to service disabled veteran owned businesses certi-56



1 fied pursuant to article seventeen-B of the executive law, or minority or women-owned business enterprises certified pursuant to article 2 fifteen-A of the executive law, or purchases of goods or technology that 3 are recycled or remanufactured, in an amount not to exceed one million 4 5 five hundred thousand dollars without a formal competitive process and without further board approval. The board of the authority shall adopt 6 7 guidelines which shall be made publicly available for the awarding of 8 such contract without a formal competitive process.

9 § 6. Paragraph (a) of subdivision 3 of section 1265-a of the public 10 authorities law, as amended by chapter 494 of the laws of 1990, is 11 amended to read as follows:

12 (a) Advertisement for bids, when required by this section, shall be 13 published at least once in a newspaper of general circulation in the 14 area served by the authority and in the procurement opportunities news-15 letter published pursuant to article four-C of the economic development 16 law provided that, notwithstanding the provisions of article four-C of 17 the economic development law, an advertisement shall only be required 18 for a purchase contract for supplies, materials or equipment when 19 required by this section. Publication in a newspaper of general circulation in the area served or in the procurement opportunities newsletter 20 21 shall not be required if bids for contracts for supplies, materials or 22 equipment are of a type regularly purchased by the authority and are to 23 be solicited from a list of potential suppliers, if such list is or has 24 been developed consistent with the provisions of subdivision six of this section. Any such advertisement shall contain a statement of: 25 (i) the 26 time and place where bids received pursuant to any notice requesting 27 sealed bids will be publicly opened and read; (ii) the name of the 28 contracting agency; (iii) the contract identification number; (iv) a brief description of the public work, supplies, materials, or equipment 29 sought, the location where work is to be performed, goods are to be 30 delivered or services provided and the contract term; (v) the address 31 where bids or proposals are to be submitted; (vi) the date when bids or 32 33 proposals are due; (vii) a description of any eligibility or qualification requirement or preference; (viii) a statement as to whether the 34 35 contract requirements may be fulfilled by a subcontracting, joint 36 venture, or co-production arrangement; (ix) any other information deemed 37 useful to potential contractors; and (x) the name, address, and tele-38 phone number of the person to be contacted for additional information. 39 At least [fifteen] ten business days shall elapse between the first 40 publication of such advertisement or the solicitation of bids, as the 41 case may be, and the date of opening and reading of bids provided that 42 at least fifteen business days shall elapse between the first publica-43 tion of such advertisement or the solicitation of bids, as the case may be, and the date of opening and reading of bids for public work 44 45 contracts.

46 § 7. Paragraph (e) of subdivision 4 of section 1265-a of the public 47 authorities law, as added by chapter 929 of the laws of 1986, is amended 48 to read as follows:

(e) the item is available through an existing contract [between a 49 vendor and (i) another public authority provided that such other author-50 51 ity utilized a process of competitive bidding or a process of competitive requests for proposals to award such contracts or (ii) Nassau 52 53 county, or (iii) the state of New York or (iv) the city of New York, provided that in any case when under this paragraph the authority deter-54 55 mines that obtaining such item thereby would be in the public interest and sets forth the reasons for such determination] let by any depart-56



ment, agency or instrumentality of the United States government and/or 1 2 any department, agency, office, political subdivision or instrumentality 3 of any state or states. The authority shall document in the procurement record its rationale for the use of such a contract. Such rationale 4 shall include, but need not be limited to, a determination of need, a 5 consideration of the procurement method by which the contract was 6 7 awarded, an analysis of alternative procurement sources including an 8 explanation why a competitive procurement or the use of a centralized contract let by the commissioner of the office of general services is 9 not in the best interest of the authority, and the reasonableness of 10 cost. The authority shall accept sole responsibility for any payment due 11 12 the vendor as a result of the authority's order; or

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13 § 8. Subdivision 5 of section 1265-a of the public authorities law, as 14 added by chapter 929 of the laws of 1986, is amended to read as follows: 15 5. Upon the adoption of a resolution by the authority stating, for 16 reasons of efficiency, economy, compatibility or maintenance reliabil-17 ity, that there is a need for standardization, the authority may estab-18 lish procedures whereby particular supplies, materials or equipment are 19 identified on a qualified products list. Such procedures shall provide 20 for products or vendors to be added to or deleted from such list and 21 shall include provisions for public advertisement of the manner in which 22 such lists are compiled. The authority shall review such list no less [twice] <u>once</u> a year for the purpose of making such modifications. 23 than 24 Contracts for particular supplies, materials or equipment identified on 25 a qualified products list may be awarded by the authority to the lowest responsible bidder after obtaining sealed bids in accordance with this 26 27 section or without competitive sealed bids in instances when the item is 28 available from only a single source, except that the authority may 29 dispense with advertising provided that it mails copies of the invitation to bid to all vendors of the particular item on the qualified 30 31 products list.

32 § 9. This act shall take effect immediately; provided, however, that 33 the amendments to paragraph (b) of subdivision 7 of section 1209 of the public authorities law made by section one of this act shall not affect 34 the expiration of such subdivision and shall be deemed to expire there-35 36 with; and provided further, however, that the amendments to paragraph 37 (b) of subdivision 2 of section 1265-a of the public authorities law 38 made by section five of this act shall not affect the expiration of such 39 paragraph and shall be deemed to expire therewith.

#### 40

#### PART J

41 Section 1. Section 3 of part PP of chapter 54 of the laws of 2016, 42 amending the general municipal law relating to the New York transit 43 authority and the metropolitan transportation authority, as amended by 44 section 1 of part K of chapter 58 of the laws of 2020, is amended to 45 read as follows:

46 § 3. This act shall take effect immediately; provided that the amend-47 ments to subdivision 1 of section 119 r of the general municipal law 48 made by section two of this act shall expire and be deemed repealed 49 April 1, [2022] 2032, and provided further that such repeal shall not 50 affect the validity or duration of any contract entered into before that 51 date pursuant to paragraph f of such subdivision.

52 § 2. This act shall take effect immediately.

PART K



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to

1 Section 1266 of the public authorities law is amended by Section 1. 2 adding a new subdivision 12-b to read as follows: 3 12-b. Whenever in connection with the improvement, construction, reconstruction or rehabilitation of a transportation facility the 4 authority determines that the pipes, mains, conduits or other infras-5 6 tructure of any public service corporation and any fixtures and appli-7 ances connected therewith or attached thereto must be removed, relocated 8 or otherwise protected or replaced, either temporarily or permanently 9 ("the required work"), the following provisions shall apply. 10 (a) The design for the required work may be prepared by the authority 11 or the authority's contractor. Such designs shall be subject to the 12 review and approval of the public service corporation, which shall not 13 be unreasonably withheld. Such review and approval shall be completed 14 within a reasonable period of time as may be determined by the authority 15 after consultation with the public service corporation. 16 (b) In reviewing and approving designs for the required work, a public 17 service corporation may not require the authority to provide for anticipated future service increases or other betterments, other than to 18 19 comply with current standards or ensure reliability as determined by the 20 department of public service, without the authority's agreement, which 21 shall not be unreasonably withheld. 22 (c) Where the public service corporation determines that it will 23 perform any portion of the required work, that portion of the required 24 work shall be performed according to a schedule determined by the 25 authority after consultation with the public service corporation, 26 provided that the schedule is reasonable and practicable. 27 § 2. This act shall take effect immediately. 28 PART L 29 Section 1. Subdivision 11 of section 120.05 of the penal law, as sepa-30 rately amended by chapters 268 and 281 of the laws of 2016, is amended to read as follows: 31 11. With intent to cause physical injury to a train operator, ticket 32 33 inspector, conductor, signalperson, bus operator, station agent, station 34 cleaner [or], terminal cleaner, station customer assistant; person whose 35 official duties include the sale or collection of tickets, passes, 36 vouchers, or other fare payment media for use on a train or bus; a 37 person <u>whose official duties include the maintenance, repair,</u> 38 inspection, troubleshooting, testing or cleaning of a transit signal 39 system, elevated or underground subway tracks, transit station struc-40 ture, commuter rail tracks or stations, train yard, revenue train in 41 passenger service, bus while on the road, or a train or bus station or 42 terminal; or a supervisor of such personnel, employed by any transit or 43 commuter railroad agency, authority or company, public or private, whose 44 operation is authorized by New York state or any of its political subdi-45 visions, a city marshal, a school crossing guard appointed pursuant to section two hundred eight-a of the general municipal law, a traffic 46 47 enforcement officer, traffic enforcement agent, prosecutor as defined in subdivision thirty-one of section 1.20 of the criminal procedure law, 48 49 sanitation enforcement agent, New York city sanitation worker, public 50 health sanitarian, New York city public health sanitarian, registered 51 nurse, licensed practical nurse, emergency medical service paramedic, or

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emergency medical service technician, he or she causes physical injury

operator, station agent, station cleaner [or], terminal cleaner, station

such train operator, ticket inspector, conductor, signalperson, bus

1 customer assistant; person whose official duties include the sale or 2 collection of tickets, passes, vouchers or other fare payment media for use on a train or bus; a person whose official duties include the main-3 tenance, repair, inspection, troubleshooting, testing or cleaning of a 4 transit signal system, elevated or underground subway tracks, transit 5 6 station structure, commuter rail tracks or stations, train yard, revenue train in passenger service, bus while on the road, or a train or bus 7 8 station or terminal; or a supervisor of such personnel, city marshal, school crossing guard appointed pursuant to section two hundred eight-a 9 of the general municipal law, traffic enforcement officer, traffic 10 enforcement agent, prosecutor as defined in subdivision thirty-one of 11 12 section 1.20 of the criminal procedure law, registered nurse, licensed 13 practical nurse, public health sanitarian, New York city public health 14 sanitarian, sanitation enforcement agent, New York city sanitation work-15 er, emergency medical service paramedic, or emergency medical service 16 technician, while such employee is performing an assigned duty on, or 17 directly related to, the operation of a train or bus, [including the] 18 cleaning of a train or bus station or terminal, assisting customers, the 19 sale or collection of tickets, passes, vouchers, or other fare media for 20 use on a train or bus, or maintenance of a train or bus station or 21 terminal, signal system, elevated or underground subway tracks, transit 22 station structure, commuter rail tracks or stations, train yard, revenue train in passenger service or bus while on the road, or such city 23 marshal, school crossing guard, traffic enforcement officer, traffic enforcement agent, prosecutor as defined in subdivision thirty-one of 24 25 section 1.20 of the criminal procedure law, registered nurse, licensed 26 27 practical nurse, public health sanitarian, New York city public health 28 sanitarian, sanitation enforcement agent, New York city sanitation work-29 er, emergency medical service paramedic, or emergency medical service 30 technician is performing an assigned duty; or 31 § 2. Section 240.30 of the penal law is amended by adding a new subdi-32 vision 3-a to read as follows: 33 3-a. Strikes, shoves, kicks, or otherwise subjects another person to 34 physical contact, which includes spitting on such other person, and such other person is an on-duty train operator; ticket inspector; conductor; 35 36 signalperson; bus operator; station agent; station cleaner; terminal 37 cleaner; station customer assistant; person whose official duties 38 include the sale or collection of tickets, passes, vouchers or other 39 fare payment media for use on a train or bus; person whose official 40 duties include the maintenance, repair, inspection, troubleshooting, 41 testing or cleaning of a transit signal system, elevated or underground 42 subway tracks, transit station structure, commuter rail tracks or 43 stations, train yard, revenue train in passenger service, bus while on 44 the road, or train or bus station or terminal, or a supervisor of such 45 personnel, employed by any transit or commuter railroad agency, authori-46 ty or company, public or private, whose operation is authorized by New 47 York state or any of its political subdivisions; or 48 § 3. This act shall take effect on the ninetieth day after it shall 49 have become a law.

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#### PART M

51 Section 1. The vehicle and traffic law is amended by adding a new 52 section 1111-c-1 to read as follows: 53 § 1111-c-1. Owner liability for failure of operator to comply with bus

54 <u>operation-related local law or regulation traffic restrictions. (a)</u>



Notwithstanding any other provision of law, in accordance with the 1 2 provisions of this section, the city of New York is hereby authorized and empowered to impose monetary liability on the owner of a vehicle for 3 failure of an operator thereof to comply with the applicable local laws 4 5 and regulations of the city of New York regarding bus operation-related 6 traffic restrictions. The department of transportation of the city of 7 New York and/or an applicable mass transit agency, shall operate photo 8 devices that may be stationary or mobile and shall be activated at 9 locations determined by such department of transportation and/or on buses selected by the applicable mass transit agency. 10 (b) Any image or images captured by photo devices shall be inadmissi-11 12 ble in any disciplinary proceeding convened by the applicable mass tran-13 sit agency or any subsidiary thereof and any proceeding initiated by the 14 department involving licensure privileges of bus operators. Any mobile 15 bus photo device mounted on a bus shall be directed outwardly from such 16 bus to capture images of vehicles operated in violation of the local 17 laws and regulations relating to bus operation traffic restrictions, and images produced by such device shall not be used for any other purpose 18 19 in the absence of a court order requiring such images to be produced. 20 (c) The city of New York shall adopt and enforce measures to protect 21 the privacy of drivers, passengers, pedestrians and cyclists whose iden-22 tity and identifying information may be captured by a photo device 23 pursuant to this section. Such measures shall include: 1. utilization of necessary technologies to ensure, 24 to the extent 25 practicable, that images produced by such photo devices shall not 26 include images that identify the driver, the passengers, or the contents 27 of a vehicle, provided, however, that no notice of liability issued 28 pursuant to this section shall be dismissed solely because an image 29 allows for the identification of the driver, the passengers or other 30 contents of a vehicle; 2. a prohibition on the use or dissemination of vehicles' license 31 32 plate information and other information and images captured by photo 33 devices except: 34 (i) as required to establish liability under this section or collect 35 payment of penalties; 36 (ii) as required by court order; 37 (iii) as required pursuant to a search warrant issued in accordance 38 with the criminal procedure law or a subpoena; or 39 (iv) as otherwise required by law; 40 3. the installation of signage that is clearly visible to drivers at 41 regular intervals along and adjacent to bus lanes stating that mobile 42 and stationary photo devices are used to enforce restrictions relating 43 to bus operation traffic restrictions including stopping, standing, 44 parking and turning movements; and 45 4. oversight procedures to ensure compliance with the privacy 46 protection measures under this subdivision. 47 (d) Warning notices of violation shall be issued during the first 48 sixty days that photo device enforcement pursuant to this section is 49 active. (e) The owner of a vehicle shall be liable for a penalty imposed 50 pursuant to this section if such vehicle was used or operated with the 51 52 permission of the owner, express or implied, in violation of any appli-53 cable bus operation-related local law or regulation traffic restrictions and such violation is evidenced by information obtained from a photo 54 device; provided however that no owner of a vehicle shall be liable for 55 a penalty imposed pursuant to this section where the operator of such 56



vehicle has been convicted of the underlying violation of such applica-1 2 ble local law or regulation. 3 (f) For purposes of this section the following terms shall have the 4 following meanings: 5 1. "owner" shall have the meaning provided in article two-B of this 6 chapter. 7 "photo device" shall mean a mobile or stationary device that is 2. 8 capable of operating independently of an enforcement officer and produc-9 es one or more images of each vehicle at the time it is in violation of 10 an applicable local law or regulation. "applicable bus operation-related local law or regulation traffic 11 3. 12 restrictions" shall mean the restrictions set forth in chapter four of 13 title thirty-four of the rules of the city of New York affecting bus 14 operations including but not limited to the following: 4-08(f)(4), 15 general no standing zones, bus lanes; 4-08(c)(3), violation of posted no 16 standing rules prohibited, bus stop; 4-08(f)(1), general no standing zones, double parking; 4-08(k)(2), special rules for commercial vehi-17 18 cles, no standing except trucks loading and unloading; 4-07(b)(1) and 19 4-08(e)(11), stopping prohibited; 4-08(e)(4), general no stopping zones, 20 intersections; 4-08(e)(5), general no stopping zones, crosswalks; 21 4-08(e)(12), general no stopping zones, obstructing traffic at inter-22 section; and 4-05 and 4-07(h)(2), turns. 23 4. "lessor" means any person, corporation, firm, partnership, agency, 24 association or organization engaged in the business of renting or leas-25 ing vehicles to any lessee or bailee under a rental agreement, lease or otherwise, wherein the said lessee or bailee has the exclusive use of 26 27 said vehicle for any period of time. 28 5. "lessee" means any person, corporation, firm, partnership, agency, 29 association or organization that rents, bails, leases or contracts for 30 the use of one or more vehicles and has the exclusive use thereof for 31 any period of time. 32 (g) A certificate, sworn to or affirmed by a technician employed by 33 the city in which the charged violation occurred, or a facsimile there-34 of, based upon inspection of photographs, microphotographs, videotape or 35 other recorded images produced by a photo device, shall be prima facie 36 evidence of the facts contained therein. Any photographs, microphoto-37 graphs, videotape or other recorded images evidencing such a violation 38 shall be available for inspection in any proceeding to adjudicate the 39 <u>liability for such violation pursuant to this section.</u> 40 (h) An owner liable for a violation under this section shall be liable 41 for monetary penalties in accordance with a schedule of fines and penal-42 ties promulgated by the parking violations bureau of the city of New 43 York; provided, however, that the monetary penalty for violating a 44 provision of local law or regulation of the city of New York relating to 45 stopping, standing, parking and turning movement violations pursuant to 46 this section shall not exceed fifty dollars for a first offense, one 47 hundred dollars for a second offense within a twelve-month period, one 48 hundred fifty dollars for a third offense within a twelve-month period, 49 two hundred dollars for a fourth offense within a twelve-month period, 50 and two hundred fifty dollars for each subsequent offense within a 51 twelve-month period; and provided, further, that an owner shall be 52 liable for an additional penalty not to exceed twenty-five dollars for 53 each violation for the failure to respond to a notice of liability with-54 in the prescribed time period. 55 (i) An imposition of liability pursuant to this section shall not be

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56 deemed a conviction of an operator and shall not be made part of the



operating record of the person upon whom such liability is imposed, nor 1 2 shall it be used for insurance purposes in the provision of motor vehi-3 cle insurance coverage. (j) 1. A notice of liability pursuant to this section shall be sent by 4 first class mail to each person alleged to be liable as an owner for a 5 6 violation under this section. Personal delivery to the owner shall not 7 be required. A manual or automatic record of mailing prepared in the 8 ordinary course of business shall be prima facie evidence of the facts 9 contained in such record of mailing. 10 2. A notice of liability pursuant to this section shall contain the 11 name and address of the person alleged to be liable as an owner for a 12 violation, the registration number of the vehicle involved in such 13 violation, the location where such violation took place including the 14 street address or cross streets, one or more images identifying the 15 violation, the date and time of such violation, the identification 16 number of the photo device which recorded the violation or other docu-17 ment locator number, and whether the device was stationary or mobile. If the photo device was mobile, an identity of the vehicle containing such 18 19 photo device shall be included in the notice. 20 3. A notice of liability pursuant to this section shall contain infor-21 mation advising the person charged of the manner and the time in which 22 he or she may contest the liability alleged in the notice. Such notice 23 of liability shall also contain a warning to advise the persons charged that failure to contest in the manner and time provided shall be deemed 24 25 an admission of liability and that a default judgment may be entered 26 thereon. 27 4. A notice of liability pursuant to this section shall be prepared 28 and mailed by the agency or agencies designated by the city of New York, 29 or any other entity authorized by such city to prepare and mail such notification of violation. 30 (k) Adjudication of the liability imposed upon owners by this section 31 32 shall be conducted by the New York city parking violations bureau. 33 (1) If an owner of a vehicle receives a notice of liability pursuant 34 to this section for any time period during which such vehicle was reported to the police department as having been stolen, it shall be a 35 36 valid defense to an allegation of liability that the vehicle had been 37 reported to the police as stolen prior to the time the violation 38 occurred and had not been recovered by such time. For purposes of asserting the defense under this subdivision, it shall be sufficient 39 40 that a certified copy of the police report on the stolen vehicle be sent 41 by first class mail to the parking violations bureau of the city of New 42 York. 43 1. An owner who is a lessor of a vehicle to which a notice of (m) 44 liability was issued pursuant to this section shall not be liable for 45 the violation of an applicable bus operation-related local law or regu-46 lation traffic restriction, provided that: 47 (i) prior to such violation, the lessor has filed with the parking violations bureau of the city of New York in accordance with the 48 49 provisions of section two hundred thirty-nine of this chapter; and 50 (ii) within thirty-seven days after receiving notice from the parking 51 violations bureau of the city of New York of the date and time of a 52 liability, together with the other information contained in the original 53 notice of liability, the lessor submits to such bureau the correct name and address of the lessee of the vehicle identified in the notice of 54 55 liability at the time of such violation, together with such other addi-56 tional information contained in the rental, lease or other contract



document, as may be reasonably required by such bureau pursuant to requ-1 2 lations that may be promulgated for such purpose. Failure to timely 3 submit such information shall render the lessor liable for the penalty prescribed in this section. 4 5 2. Where the lessor complies with the provisions of subparagraph (i) 6 of paragraph one of this subdivision, the lessee of such vehicle on the date of such violation shall be deemed to be the owner of such vehicle 7 8 for purposes of this section, shall be subject to liability for such 9 violation pursuant to this section and shall be sent a notice of liability pursuant to subdivision (j) of this section. 10 11 (n) If the owner liable for a violation under this section was not the operator of the vehicle at the time of such violation, such owner may 12 13 maintain an action for indemnification against the operator of the vehi-14 cle at the time of such violation. 15 (o) Nothing in this section shall be construed to limit the liability 16 of an operator of a vehicle for any violation of an applicable local law 17 or regulation. (p) The city of New York and the applicable mass transit agency shall 18 19 submit a report on the results of the use of photo devices pursuant to 20 this section to the governor, the temporary president of the senate, and 21 the speaker of the assembly by April first, within twelve months of 22 operation of such photo devices and every two years thereafter. Such 23 report shall include, but not be limited to: 24 1. a description of the locations and/or buses where photo devices 25 were used under this section; 26 2. the total number of violations under this section recorded on a 27 monthly and annual basis; 28 3. the total number of notices of liability issued under this section; 29 4. the number of fines and total amount of fines paid after the first notice of liability under this section; 30 31 5. the number of violations under this section adjudicated and results 32 of such adjudications including breakdowns of dispositions made; 33 6. the total amount of revenue realized by the city of New York and 34 any participating mass transit agency under this section; 35 7. the quality of the adjudication process under this section and its 36 results; 37 8. the total number of cameras by type of camera used under this 38 section; and 39 9. the total cost to the city of New York and the total cost to any 40 participating mass transit agency under this section. 41 (q) Any revenue from fines and penalties collected pursuant to this 42 section from mobile bus photo devices shall be remitted by the city of 43 New York to the applicable mass transit agency on a quarterly basis to 44 be deposited in the general transportation account of the New York city 45 transportation assistance fund established pursuant to section twelve 46 hundred seventy-i of the public authorities law. 47 2. The opening paragraph of section 14 of part II of chapter 59 of S 48 the laws of 2010, amending the vehicle and traffic law and the public officers law relating to establishing a bus rapid transit demonstration 49 50 program to restrict the use of bus lanes by means of bus lane photo 51 devices, as amended by section 2 of part D of chapter 39 of the laws of 52 2019, is amended to read as follows: 53 This act shall take effect on the ninetieth day after it shall have become a law [and shall expire 15 years after such effective date when 54 55 upon such date the provisions of this act shall be deemed repealed]; and



1 provided that any rules and regulations related to this act shall be 2 promulgated on or before such effective date, provided that:

§ 3. This act shall take effect immediately; provided that section one of this act shall take effect on the one hundred eightieth day after it shall have become a law. Effective immediately, the addition, amendment and/or repeal of any rule or regulation necessary for the implementation of this act on its effective date are authorized to be made and completed on or before such effective date.

9

PART N

10 Section 1. Subdivision 3 of section 165.15 of the penal law is amended 11 to read as follows:

12 3. With intent to obtain railroad, subway, bus, air, taxi or any other 13 public transportation service or to use any toll highway, parkway, road, 14 bridge or tunnel or to enter or remain in the tolled central business 15 district described in section seventeen hundred four of the vehicle and traffic law without payment of the lawful charge or toll therefor, or to 16 17 avoid payment of the lawful charge or toll for such transportation 18 service which has been rendered to him or her or for such use of any 19 toll highway, parkway, road, bridge or tunnel or for such entering or 20 remaining in such tolled central business district, he or she obtains or attempts to obtain such service or to use any toll highway, parkway, 21 22 road, bridge or tunnel or to enter or remain in a tolled central busi-23 ness district or avoids or attempts to avoid payment therefor by force, 24 intimidation, stealth, deception or mechanical tampering, or by unjusti-25 fiable failure or refusal to pay; or

26 § 2. Paragraph (b) of subdivision 1 of section 402 of the vehicle and 27 traffic law, as amended by chapter 451 of the laws of 2021, is amended 28 and a new paragraph (c) is added to read as follows:

(b) (i) Number plates shall be kept clean and in a condition so as to 30 be easily readable and shall not be covered by glass or any plastic 31 material.

(ii) Number plates shall not be knowingly covered or coated with any artificial or synthetic material or substance that conceals or obscures such number plates or that distorts a recorded or photographic image of such number plates.

(iii) The view of such number plates shall not be obstructed by any part of the vehicle or by anything carried thereon[, except for a receiver-transmitter issued by a publicly owned tolling facility in connection with electronic toll collection when such receiver-transmitter is affixed to the exterior of a vehicle in accordance with mounting instructions provided by the tolling facility].

42 (c) It shall be unlawful for any person to operate, drive or park a 43 motor vehicle on a toll highway, bridge and/or tunnel facility or enter 44 or remain in the tolled central business district described in section 45 seventeen hundred four of this chapter, under the jurisdiction of the tolling authority, if such number plate is not easily readable, nor 46 47 shall any number plate be covered by glass or any plastic material, and 48 shall not be knowingly covered or coated with any artificial or synthet-49 ic material or substance that conceals or obscures such number plates or 50 that distorts a recorded or photographic image of such number plates, 51 and the view of such number plates shall not be obstructed by any part of the vehicle or by anything carried thereon, except for a receiver-52 transmitter issued by a publicly owned tolling authority in connection 53 with electronic toll collection when such receiver-transmitter is 54



affixed to the exterior of a vehicle in accordance with mounting 1 2 instructions provided by the tolling authority. For purposes of this paragraph, "tolling authority" shall mean every public authority which 3 operates a toll highway, bridge and/or tunnel or a central business 4 district tolling program, as well as the port authority of New York and 5 6 New Jersey, a bi-state agency created by compact set forth in chapter one hundred fifty-four of the laws of nineteen hundred twenty-one, as 7 8 amended. 3. Subdivision 8 of section 402 of the vehicle and traffic law, as 9 ş amended by chapter 451 of the laws of 2021, is amended to read as 10 11 follows: 12 8. A violation of this section shall be punishable by a fine of not 13 less than twenty-five nor more than two hundred dollars, except that: 14 (a) a violation of subparagraph (ii) or subparagraph (iii) of paragraph 15 (b) of subdivision one of this section shall be punishable by a fine of 16 not less than fifty nor more than three hundred dollars; and (b) a violation of paragraph (c) of subdivision one of this section shall be 17 punishable by a fine of not less than one hundred nor more than five 18 19 hundred dollars. § 4. Subdivision 5-a of section 401 of the vehicle and traffic law 20 is 21 amended by adding a new paragraph d to read as follows: 22 d. It shall be unlawful for any person to register, reregister, renew, 23 replace or transfer the registration, change the name, address or other 24 information of the registered owner, or change the registration classi-25 fication of any vehicle whose vehicle identification number is associ-26 ated with a vehicle whose registration has been suspended, or is subject 27 to a pending request from a tolling authority to suspend the registra-28 tion, under paragraph d of subdivision three of section five hundred ten 29 of this chapter and 15 NYCRR 127.14. The commissioner or the commissioner's agent shall impose a vehicle identification number block and deny 30 31 the registration, reregistration, renewal, replacement or transfer of the registration for such vehicle and vehicle identification number 32 33 until the tolling authority advises, in such form and manner as the commissioner shall prescribe, that notices of violation have been 34 responded to and any unpaid tolls, fees or other charges associated with 35 36 the vehicle and the vehicle identification number have been paid to the 37 tolling authority. Where an application is denied pursuant to this 38 paragraph, the commissioner may, in the commissioner's discretion, deny 39 a registration, reregistration, renewal, replacement or transfer of the 40 registration for any other motor vehicle registered in the name of the 41 applicant where the commissioner has determined that such registrant's 42 intent has been to evade the purposes of this paragraph and where the 43 commissioner has reasonable grounds to believe that such registration, 44 reregistration, renewal, replacement or transfer of registration will 45 have the effect of defeating the purposes of this paragraph. Such vehi-46 cle identification number block and denial shall only remain in effect 47 until the tolling authority advises, in such form and manner as the commissioner shall prescribe, that notices of violation have been 48 49 responded to and any unpaid tolls, fees or other charges associated with 50 the vehicle and the vehicle identification number have been paid to the 51 tolling authority. § 5. Subdivision 4-d of section 510 of the vehicle and traffic law, as 52

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53 added by chapter 379 of the laws of 1992, is amended to read as follows: 54 4-d. Suspension of registration for failure to answer or pay penalties 55 with respect to certain violations. Upon the receipt of a notification 56 from a court or an administrative tribunal that an owner of a motor



1 vehicle failed to appear on the return date or dates or a new subsequent 2 adjourned date or dates or failed to pay any penalty imposed by a court or failed to comply with the rules and regulations of an administrative 3 tribunal following entry of a final decision or decisions, in response 4 to [five] three or more notices of liability or other process, issued 5 6 within [an eighteen month] a five year period charging such owner with a 7 violation of toll collection regulations in accordance with the 8 provisions of section two thousand nine hundred eighty-five of the public authorities law or sections sixteen-a, sixteen-b and sixteen-c of 9 chapter seven hundred seventy-four of the laws of nineteen hundred 10 fifty, the commissioner or his agent shall suspend the registration of 11 12 the vehicle or vehicles involved in the violation or the privilege of 13 operation of any motor vehicle owned by the registrant. Such suspension 14 shall take effect no less than thirty days from the date on which notice 15 thereof is sent by the commissioner to the person whose registration or 16 privilege is suspended and shall remain in effect until such registrant 17 has appeared in response to such notices of liability or has paid such penalty or in the case of an administrative tribunal, the registrant has 18 19 complied with the rules and regulations following the entry of a final 20 decision or decisions.

21 § 6. Section 1704-a of the vehicle and traffic law is amended by 22 adding a new subdivision 5 to read as follows:

23 5. (a) Any person who knowingly makes a false statement, or falsifies 24 or permits to be falsified any record or records of the central business 25 district tolling program, for the purpose of fraudulently obtaining an 26 exemption from the central business district toll under subdivision two 27 of this section for a qualifying vehicle transporting a person with 28 disabilities, shall be guilty of a misdemeanor, and shall also be subject to a civil penalty not to exceed five thousand dollars and the 29 stated value of the claim for each such violation. 30

31 (b) Any violation of subdivision one of this section that results in a 32 person receiving exemptions from central business district tolls under 33 subdivision two of this section for a qualifying vehicle transporting a 34 person with disabilities with a value in excess of one thousand dollars more than they would have been entitled to shall be a class E felony. 35 36 Any such violation that results in a person receiving such exemptions with a value in excess of five thousand dollars more than they would 37 38 have been entitled to shall be a class D felony.

39 § 7. This act shall take effect on the ninetieth day after it shall 40 have become a law.

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#### PART O

42 Section 1. Section 5 of chapter 751 of the laws of 2005, amending the 43 insurance law and the vehicle and traffic law relating to establishing 44 the accident prevention course internet technology pilot program, as 45 amended by section 4 of part ZZ of chapter 58 of the laws of 2020, is 46 amended to read as follows:

§ 5. This act shall take effect on the one hundred eightieth day after it shall have become a law and shall expire and be deemed repealed April 1, [2022] <u>2024</u>; provided that any rules and regulations necessary to implement the provisions of this act on its effective date are authorized and directed to be completed on or before such date.

52 § 2. This act shall take effect immediately.



1 Section 1. Section 13 of part U1 of chapter 62 of the laws of 2003, 2 amending the vehicle and traffic law and other laws relating to increas-3 ing certain motor vehicle transaction fees, as amended by section 1 of part YY of chapter 58 of the laws of 2020, is amended to read as 4 5 follows: This act shall take effect immediately; provided however that 6 § 13. sections one through seven of this act, the amendments to subdivision 2 7 8 of section 205 of the tax law made by section eight of this act, and section nine of this act shall expire and be deemed repealed on April 1, 9 [2022] 2024; provided further, however, that the provisions of section 10 11 eleven of this act shall take effect April 1, 2004 and shall expire and 12 be deemed repealed on April 1, [2022] 2024. 13 § 2. Section 2 of part B of chapter 84 of the laws of 2002, amending 14 the state finance law relating to the costs of the department of motor 15 vehicles, as amended by section 2 of part YY of chapter 58 of the laws 16 of 2020, is amended to read as follows: 17 § 2. This act shall take effect April 1, 2002; provided, however, if 18 this act shall become a law after such date it shall take effect imme-19 diately and shall be deemed to have been in full force and effect on and after April 1, 2002; provided further, however, that this act shall 20 21 expire and be deemed repealed on April 1, [2022] 2024. 22 § 3. This act shall take effect immediately. 23 PART Q Section 1. Subdivision 3 of section 491 of the vehicle and traffic 24 25 as added by section 1 of part H of chapter 58 of the laws of 2017, law, 26 is amended to read as follows: 27 3. Waiver of fee. The commissioner may waive the payment of fees 28 required by subdivision two of this section if the applicant is (a) an incarcerated individual in an institution under the jurisdiction of a 29 state department or agency, or (b) a victim of a crime and the identifi-30 31 cation card applied for is a replacement for one that was lost or destroyed as a result of the crime. 32 § 2. This act shall take effect immediately. 33 34 PART R Section 1. The civil rights law is amended by adding a new section 35 36 79-q to read as follows: 37 <u>§ 79-q. Collection of gender or sex designation information by state</u> 38 agencies. 1. All New York state agencies that collect demographic infor-39 mation about a person's gender or sex shall make available to the person 40 at the point of data collection an option to mark their gender or sex as 41 <u>"x".</u> 42 2. Where applicable federal law requires a state agency to collect sex 43 or gender data as either "m" or "f", the state agency shall create a separate field for state purposes so that a person has the option to 44 45 mark their gender or sex as "x" to be collected by the state. 46 3. All state agencies shall update any applicable forms or data 47 systems by January first, two thousand twenty-three, except the depart-48 ment of labor, the office of children and family services, the office of 49 temporary and disability assistance and the division of criminal justice 50 services, which shall update any applicable forms or data systems by 51 January first, two thousand twenty-four.



1	4. A state agency that cannot comply with the requirements of this
2	section shall post publicly on its website a written report of the steps
3	the agency has taken to comply with this section and the time frame for
4	compliance at least sixty days before the date required by this section.
5	The written report shall be updated every six months from the date of
6	the original posting.
7	§ 2. Subdivision 3 of section 62 of the civil rights law, as added by
8	chapter 158 of the laws of 2021, is amended to read as follows:
9	3. Except as provided in subdivisions one and two of this section, the
10	court shall not require any other pre-hearing notice. [The court shall
11	not condition the entry of an order on notice to any other party or to
12	any city, state or federal agency except by written order detailing the
13	court's reasoning for requiring such notice and showing cause why such
14	notice should be served.] Under no circumstances shall the court require
15	notice to United States immigration and customs enforcement, United
16	States customs and border protection, United States citizenship and
17	immigration services, or any successor agencies, or any agencies having
18	similar duties.
19	§ 3. This act shall take effect immediately.
20	PART S

21 Section 1. Paragraph (o) of subdivision 1 of section 96 of the public 22 officers law, as added by chapter 319 of the laws of 2014, is amended to 23 read as follows:

24 (o) to officers or employees of a public retirement system of the city 25 of New York if the information sought to be disclosed is necessary for 26 the receiving public retirement system to process benefits under the 27 retirement and social security law, the administrative code of the city 28 of New York, or the education law or any other applicable provision of law. A written request or consent from the data subject pursuant to 29 paragraph (a) of this subdivision shall not be required for the disclo-30 31 sure of records pursuant to this paragraph; or

(p) to officers or employees of the United States department of education for such department to process credit for qualifying employment and loan forgiveness under the public service loan forgiveness program. A written request or consent from the data subject pursuant to paragraph (a) of this subdivision shall not be required for the disclosure of records pursuant to this paragraph.

38 § 2. This act shall take effect immediately.

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#### PART T

40 Section 1. Section 4 of chapter 495 of the laws of 2004, amending the 41 insurance law and the public health law relating to the New York state 42 health insurance continuation assistance demonstration project, as 43 amended by section 1 of part KK of chapter 57 of the laws of 2021, is 44 amended to read as follows:

45 § 4. This act shall take effect on the sixtieth day after it shall 46 have become a law; provided, however, that this act shall remain in 47 effect until July 1, [2022] 2023 when upon such date the provisions of 48 this act shall expire and be deemed repealed; provided, further, that a 49 displaced worker shall be eligible for continuation assistance retroac-50 tive to July 1, 2004.

51 § 2. This act shall take effect immediately.



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PART U

39

Section 1. Subparagraph 7 of paragraph b of subdivision 2 of section 970-r of the general municipal law, as amended by section 1 of part U of chapter 58 of the laws of 2018, is amended to read as follows: (7) preliminary descriptions of possible remediation strategies, reuse

6 opportunities, necessary infrastructure improvements and other public or
7 private measures needed to stimulate investment, promote revitalization,
8 [and] support job growth, reduce greenhouse gas emissions, increase
9 climate resilience, enhance community health and environmental condi10 tions, and achieve environmental justice.

11 § 2. Subparagraph 11 of paragraph d of subdivision 3 of section 970-r 12 of the general municipal law, as amended by section 1 of part U of chap-13 ter 58 of the laws of 2018, is amended to read as follows:

(11) descriptions of possible remediation strategies, reuse opportunities, brownfield redevelopment, necessary infrastructure improvements
and other public or private measures needed to stimulate investment,
promote revitalization, [and] <u>support job growth, reduce greenhouse gas</u>
<u>emissions, increase climate resilience, enhance community health and</u>
environmental conditions, and achieve environmental justice;

S 3. Paragraph a of subdivision 3-a of section 970-r of the general municipal law, as added by section 1 of part U of chapter 58 of the laws of 2018, is amended to read as follows:

Within amounts appropriated therefor, the secretary is authorized 23 a. 24 to provide, on a competitive basis, financial assistance to munici-25 palities, to community based organizations, to community boards, or to 26 community based organizations acting in cooperation with a municipality, 27 to conduct predevelopment activities within a designated brownfield 28 opportunity area to advance the goals and priorities of the brownfield 29 opportunity area program set forth in the nomination of such area. Such 30 financial assistance shall not exceed ninety percent of the costs of 31 such activities. Activities eligible to receive such assistance shall 32 include: development and implementation of marketing strategies; development of plans and specifications; real estate services; building 33 condition studies; infrastructure analyses; zoning and regulatory 34 updates; environmental, housing and economic studies, analyses and 35 reports; renewable energy feasibility studies, legal and financial 36 37 services; impact analyses; demolition; site preparation; asbestos 38 removal; and public outreach.

39 § 4. Paragraphs c, d, f, g, and h of subdivision 6 of section 970-r of 40 the general municipal law, as amended by section 1 of part U of chapter 41 58 of the laws of 2018, are amended to read as follows:

42 c. Brownfield site assessment activities eligible for funding include, 43 but are not limited to, testing of properties to determine the nature 44 and extent of the contamination (including soil and groundwater), envi-45 ronmental assessments, the development of a proposed remediation strate-46 gy to address any identified contamination, and any other activities deemed appropriate by the [commissioner] secretary of state in consulta-47 48 tion with the [secretary of state] commissioner. Any environmental 49 assessment shall be subject to the review and approval of such secretary 50 in consultation with such commissioner.

51 d. Applications for such assistance shall be submitted to the [commis-52 sioner] <u>secretary</u> in a format, and containing such information, as 53 prescribed by the [commissioner] <u>secretary</u> in consultation with the 54 [secretary of state] <u>commissioner</u>.



f. The [commissioner] <u>secretary</u>, upon the receipt of an application for such assistance from a community based organization not in cooperation with the local government having jurisdiction over the proposed brownfield opportunity area, shall request the municipal government to review and state the municipal government's support or lack of support. The municipal government's statement shall be considered a part of the application.

g. Prior to making an award for assistance, the [commissioner] <u>secre-</u>
<u>tary</u> shall notify the temporary president of the senate and the speaker
of the assembly.

11 h. Following notification to the applicant that assistance has been 12 awarded, and prior to disbursement of funds, a contract shall be 13 executed between the department and the applicant or co-applicants. The 14 [commissioner] secretary of state shall establish terms and conditions 15 for such contracts as the [commissioner] secretary deems appropriate in 16 consultation with the [secretary of state] <u>commissioner</u>, including 17 provisions to define: applicant's work scope, work schedule, and deliverables; fiscal reports on budgeted and actual use of funds expended; 18 19 and requirements for submission of a final fiscal report. The contract 20 shall also require the distribution of work products to the department, 21 and, for community based organizations, to the applicant's municipality. 22 Applicants shall be required to make the results publicly available. Such contract shall further include a provision providing that if any 23 24 responsible party payments become available to the applicant, the amount 25 of such payments attributable to expenses paid by the award shall be 26 paid to the department by the applicant; provided that the applicant may 27 first apply such responsible party payments towards actual project costs 28 incurred by the applicant.

29 § 5. Subdivision 8 of section 970-r of the general municipal law, as 30 amended by section 1 of part U of chapter 58 of the laws of 2018, is 31 amended to read as follows:

32 8. [Applications] <u>Community participation requirements</u>. a. All appli-33 cations <u>for financial assistance</u> for pre-nomination <u>or nomination</u> study 34 [assistance] or applications for designation of a brownfield opportunity 35 area shall demonstrate that the following community participation activ-36 ities have been or will be performed by the applicant:

37 (1) identification of the interested public and preparation of a 38 contact list;

39 (2) identification of major issues of public concern;

40 (3) [public access to (i) the draft and final application for pre-no41 mination assistance and brownfield opportunity area designation, and
42 (ii) any supporting documents in a manner convenient to the public;

(4)] public notice and newspaper notice of (i) the intent of the municipality and/or community based organization to undertake a pre-nomination [process] or nomination study or [prepare] apply for designation of a brownfield opportunity area [plan], and (ii) the availability of such application and any supporting documents in a manner convenient to the public.

49 b. Application for [nomination] <u>designation</u> of a brownfield opportu-50 nity area shall provide the following minimum community participation 51 activities:

52 (1) a comment period of at least thirty days on a draft [application] 53 <u>nomination;</u>

54 (2) a public meeting on [a brownfield opportunity area draft] <u>an</u> 55 application.



1 § 6. Section 970-r of the general municipal law is amended by adding a 2 new subdivision 11 to read as follows: 3 11. All applicants for financial assistance and participation in any other activity authorized under this section, as determined by the 4 secretary, may contract with the dormitory authority of the state of New 5 6 York in use of such financial assistance and in completion of such other 7 activities that the secretary determines and requires under this 8 section. The dormitory authority of the state of New York is authorized 9 to provide planning, design and construction services and to contract 10 for and render any such services the secretary determines and requires 11 to such applicants under this section. 12 § 7. Paragraph (b) of subdivision 2 of section 1676 of the public 13 authorities law is amended by adding a new undesignated paragraph to 14 read as follows: 15 Applicants for financial assistance for pre-nomination or nomination 16 study of a brownfield opportunity area or for pre-development activities 17 or site assessments within a brownfield opportunity area designated by the secretary that has been awarded pursuant to section nine hundred 18 19 seventy-r of the general municipal law, as determined by the secretary 20 and for the purposes authorized by section nine hundred seventy-r of the 21 general municipal law. 22 § 8. Subdivision 1 of section 1680 of the public authorities law is 23 amended by adding a new undesignated paragraph to read as follows: 24 Applicants for financial assistance for pre-nomination or nomination 25 study of a brownfield opportunity area or for pre-development activities or site assessments within a brownfield opportunity area designated by 26 27 the secretary that has been awarded pursuant to section nine hundred 28 seventy-r of the general municipal law, as determined by the secretary 29 and for the purposes authorized by section nine hundred seventy-r of the general municipal law. 30 31 § 9. This act shall take effect immediately. 32 PART V

33 Section 1. Paragraph (a) of subdivision 5-b of section 16 of the agri-34 culture and markets law, as amended by chapter 530 of the laws of 2013, 35 is amended to read as follows:

36 (a) [Establish] Administer, in cooperation with the commissioner of 37 education, a farm-to-school program to facilitate and promote the 38 purchase of New York farm products by schools, universities and other 39 educational institutions [under the jurisdiction of the education 40 department] and the National School Lunch Act and related food programs. 41 The department shall solicit information from the education department 42 regarding school districts and other educational institutions interested in purchasing New York farm products, including but not limited to, the 43 44 type and amount of such products schools wish to purchase and the name 45 of the appropriate contact person from the interested school district. 46 The department shall make this information readily available to inter-47 ested New York farmers, farm organizations and businesses that market New York farm products. The department shall provide information to the 48 49 education department and interested school districts and other educa-50 tional institutions about the availability of New York farm products, including but not limited to, the types and amount of products, and the 51 names and contact information of farmers, farm organizations and busi-52 nesses marketing such products. The commissioner shall report to the 53



1 legislature on the need for changes in law to facilitate the purchases
2 of such products by schools and educational institutions.

The department shall also coordinate with the education department, and school food service, education, health and nutrition, farm, and other interested organizations in establishing a promotional event, to be known as New York Harvest For New York Kids Week, in early October each year, that will promote New York agriculture and foods to children through school meal programs and the classroom, at farms and farmers' markets and other locations in the community.

10 § 2. Subdivision 32 of section 16 of the agriculture and markets law, 11 as added by chapter 297 of the laws of 1961, is amended to read as 12 follows:

13 32. Receive and disburse federal moneys allotted to the state by or 14 pursuant to the federal agricultural marketing act of nineteen hundred 15 forty-six as amended, or any other act of the congress making appropri-16 ation for the allocation among the states for research into basic laws 17 and principles relating to agriculture  $[and]_{\perp}$  to improve and facilitate 18 the marketing and distribution of agricultural products, [and] or for 19 any other purpose relating to agriculture or marketing agricultural 20 products; on behalf of the state, to adopt, execute and administer plans 21 and programs and to put into effect such measures as may be necessary 22 for such research [into basic laws and principles], plans, or programs 23 relating to agriculture and to improve and facilitate the marketing and 24 distribution of agricultural products; on behalf of the state, to make 25 and execute such contracts, agreements, covenants or conditions, not 26 inconsistent with law, as may be necessary or required by any duly 27 constituted agency of the federal government as a condition precedent to 28 receiving such funds or in connection with such research; to cooperate 29 with all federal, state or local authorities, or other agencies, author-30 ized under such acts of congress to carry out the purposes thereof; to adopt and from time to time to amend such rules and regulations and to 31 prescribe such conditions, not inconsistent with law, as may be neces-32 33 sary to make available to the people of the state the benefits afforded by such acts of congress; and to enforce all the provisions of this 34 35 subdivision and the rules adopted pursuant hereto. The department of taxation and finance is designated as custodian of all federal-aid funds 36 37 allotted to the state for the purposes of this subdivision by the United 38 States and such funds shall be payable only on the audit and warrant of 39 the comptroller on certificate of the commissioner as provided in 40 section one hundred ten of the state finance law.

41 § 3. Paragraph (v) of subdivision c of section 1 of chapter 537 of the laws of 1976, relating to paid, free and reduced price breakfast for 42 43 eligible pupils in certain school districts, as separately amended by 44 chapters 260 and 615 of the laws of 1993, is amended to read as follows: 45 (v) Any school not offering a breakfast program on the dates specified 46 in this section, which would be required under the provisions of para-47 graph (i), (ii), (iii) or (iv) of this subdivision to implement such program in September of the same year, may apply to the commissioner of 48 49 [education] agriculture and markets for an exemption from the provisions 50 of this act. Such an exemption shall not be granted by such commission-51 er unless a school demonstrates with good cause: (1) that there is no 52 need for such breakfast program because of low enrollment or documented projections of low participation or (2) that economic hardship or other 53 good cause makes the establishment of such a program impractical. Such 54 55 commissioner shall establish explicit good cause criteria in regulations pursuant to this act and annually review the basis for such exemptions. 56



Such commissioner may also grant a waiver for up to one year from the 1 2 provisions of this subdivision to allow adequate time for planning and 3 implementation of a breakfast program § 4. Subdivisions d and e of section 1 of chapter 537 of the laws of 4 5 1976, relating to paid, free and reduced price breakfast for eligible pupils in certain school districts, are amended to read as follows: 6 d. In accordance with subsections (c) and (d) of section seventeen 7 8 hundred seventy-three of title forty-two of the United States Code and derivative regulations, the commissioner of [education] agriculture and 9 markets shall determine which participating school facilities are finan-10 11 cially unable to support the service of free and reduced price break-12 fasts and therefore are considered "especially needy" school facilities. 13 Such school facilities subsequently shall be assigned the appropriate 14 increased "especially needy" per meal reimbursement calculated pursuant 15 to such code and regulations in support of the cost of free and reduced 16 price breakfasts. 17 e. In the provision of free and reduced price meals for the school 18 breakfast programs, the [state commissioner of education] commissioner 19 of agriculture and markets shall prescribe maximum eligibility standards permissible under section nine of the National School Lunch Act and 20 21 section four of the Child Nutrition Act. 22 § 5. Section 3 of chapter 537 of the laws of 1976, relating to paid, 23 free and reduced price breakfast for eligible pupils in certain school 24 districts, is amended to read as follows: 25 § 3. The [state commissioner of education] commissioner of agriculture and markets hereby is directed to request the bureau of school food 26 27 management to provide any additional information and assistance which 28 may be required by the schools and school districts to aid them in 29 developing and implementing the various school food programs. § 6. Subdivisions a, d and e of section 4 of chapter 537 of the laws 30 31 of 1976, relating to paid, free and reduced price breakfast for eligible pupils in certain school districts, as added by section 2 of part B of 32 chapter 56 of the laws of 2018, are amended to read as follows: 33 a. All public elementary or secondary schools in this state, not 34 including a charter school authorized by article 56 of the education 35 36 law, with at least seventy percent or more of its students eligible for 37 free or reduced-price meals under the federal National School Lunch 38 Program as determined by the [State Education Department] Department of Agriculture and Markets based upon data submitted by schools through the 39 40 basic educational data system (BEDS) and provided by the State Education 41 Department to the Department of Agriculture and Markets for the prior 42 school year and, shall be required to offer all students a school break-43 fast after the instructional day has begun. 44 d. Any school identified pursuant to this section may apply to the 45 commissioner of [education] agriculture and markets for a waiver from 46 establishing a school breakfast program after the instructional day has 47 Such waiver may be granted by the commissioner of [education] begun. agriculture and markets upon the school demonstrating: 48 49 i. A lack of need for a school breakfast program after the instructional day has begun because of a successful existing breakfast program; 50 51 or 52 ii. Providing a school breakfast program after the instructional day 53 has begun would cause economic hardship for the school. The commissioner of [education] agriculture and markets shall annually 54

55 review the basis for waivers granted to schools.



1 e. The [State Education Department] Department of Agriculture and 2 Markets shall: 3 i. [on or before May 1, 2018] commencing on the first May 1 following the department's receipt of authority to administer the programs herein, 4 and on or before May 1 of each year thereafter preceding each school 5 year, publish on its website a list of the public schools that meet the 6 7 requirements for operating such programs, and provide notification to 8 such schools; develop and distribute guidelines for the implementation of such 9 ii. programs, which shall be in the compliance with all applicable federal 10 11 and state laws governing the School Breakfast Program; 12 iii. provide technical assistance relating to the implementation of 13 such program and submission of claims for reimbursement under the School 14 Breakfast Program; and 15 iv. [annually publish by December 2019] commencing on the first 16 December 1 following the department's receipt of authority to administer 17 the programs herein, and each December thereafter, on its website information relating to each school subject to this requirement, as well as 18 19 any other schools operating such program which are not subject to this 20 requirement, in the prior school year. Such information shall include, 21 but not be limited to: the school name, service delivery models imple-22 mented, student enrollment, the free and reduced-price lunch percentage, 23 the average daily breakfast participation rate. § 7. Subdivisions a, b and c of section 5 of chapter 537 of the laws 24 of 1976, relating to paid, free and reduced price breakfast for eligible 25 26 pupils in certain school districts, as added by section 2 of part B of 27 chapter 56 of the laws of 2018, are amended to read as follows: 28 a. Notwithstanding any monetary limitations with respect to school 29 lunch programs contained in any law or regulation, for school lunch meals served in the school year commencing [July 1, 2019 and] on the 30 first July 1 following the department's receipt of authority to adminis-31 ter the programs herein and each July 1 thereafter, a school food 32 33 authority shall be eligible for a lunch meal State subsidy of twentyfive cents, which shall include any annual State subsidy received by 34 35 such school food authority under any other provision of State law, for 36 any school lunch meal served by such school food authority; provided 37 that the school food authority certifies to the [State Education Department] Department of Agriculture and Markets through the application 38 39 submitted pursuant to subdivision b of this section that such food 40 authority has purchased at least thirty percent of its total cost of 41 food products for its school lunch service program from New York state 42 farmers, growers, producers or processors in the preceding school year. 43 The [State Education Department, in cooperation with the] Departb. 44 ment of Agriculture and Markets[,] shall develop an application for 45 school food authorities to seek an additional State subsidy pursuant to 46 this section in a timeline and format prescribed by [the commissioner of 47 education] such department. Such application shall include, but not be limited to, documentation demonstrating the school food authority's 48 49 total food purchases for its school lunch service program, and documen-50 tation demonstrating its total food purchases and percentages for such 51 program from New York State farmers, growers, producers or processors in 52 the preceding school year. The application shall also include an attestation from the school food authority's chief operating officer that it 53 purchased at least thirty percent of its total cost of food products for 54 55 its school lunch service program from New York State farmers, growers, producers or processors in the preceding school year in order to meet 56



1 the requirements for this additional State subsidy. School food authori-2 ties shall be required to annually apply for this subsidy.

[The State Education Department] Commencing on the first September 3 c. 1 following the Department of Agriculture and Markets' receipt of 4 authority to administer the programs herein and on or before each 5 September 1 thereafter, the department shall annually publish informa-6 tion on its website [commencing on September 1, 2019 and each September 7 8 1 thereafter,] relating to each school food authority that applied for and received this additional State subsidy, including but not limited 9 to: the school food authority name, student enrollment, average daily 10 lunch participation, total food costs for its school lunch service 11 program, total cost of food products for its school lunch service 12 13 program purchased from New York State farmers, growers, producers or 14 processors, and the percent of total food costs that were purchased from 15 New York State farmers, growers, producers or processors for its school 16 lunch service program.

17 § 8. 1. Transfer of functions. All of the functions and powers 18 possessed by and the obligations and duties of the State Department of 19 Education in connection with the administration of the National School 20 Lunch Program and related programs are hereby transferred to the Depart-21 ment of Agriculture and Markets.

22 2. Transfer of employees. (a) Upon the transfer of functions, powers, 23 duties and obligations of the State Department of Education's Child 24 Nutrition Program Administration relating to the National School Lunch Program and related programs to the Department of Agriculture and 25 Markets pursuant to this section, provisions shall be made for the 26 27 transfer to the Department of Agriculture and Markets such employees of 28 the State Education Department who are substantially engaged in the 29 performance of the functions herein. Employees so transferred shall be transferred without further examination or qualification and shall 30 retain their respective civil service classifications and status. For 31 the purpose of determining the employees holding permanent appointments 32 33 in competitive class positions to be transferred, such employees shall be selected within each class of positions in the order of their 34 original appointment, with due regard to the right of preference in 35 36 retention of disabled and non-disabled veterans. Any such employee who, at the time of such transfer, has a temporary or provisional appointment 37 38 shall be transferred subject to the same right of removal, examination 39 or termination as though such transfer had not been made. Employees 40 holding permanent appointments in competitive class positions who are 41 not transferred pursuant to this section shall have their names entered 42 upon an appropriate preferred list for reinstatement pursuant to the 43 civil service law.

44 (b) A transferred employee shall remain in the same collective 45 bargaining unit as was the case prior to his or her transfer; successor 46 employees to the positions held by such transferred employees shall, consistent with the provisions of article fourteen of the civil service 47 law, be included in the same unit as their predecessors. Employees other 48 49 than management or confidential persons as defined in article fourteen 50 of the civil service law serving positions in newly created titles shall 51 be assigned to the appropriate bargaining unit. Nothing contained in 52 this section shall be construed to affect:

53 (i) the rights of employees pursuant to a collective bargaining agree-54 ment;



22

(ii) the representational relationships among employee organizations
 or the bargaining relationships between the state and an employee organ ization; or

4 (iii) existing law with respect to an application to the public 5 employment relations board; provided, however, that the merger of such 6 negotiating units of employees shall be effected only with the consent 7 of the recognized and certified representative of such units and of the 8 department of law.

§ 9. This act shall take effect on the one hundred eightieth day after 9 the Department of Agriculture and Markets is notified by the United 10 11 States Department of Agriculture of the approval of the authority of the 12 Department of Agriculture and Markets to administer the National School 13 Lunch Program, provided that the commissioner of the Department of Agri-14 culture and Markets shall notify the legislative bill drafting commis-15 sion upon receipt of approval from the United States Department of Agri-16 culture of the authority of the Department of Agriculture and Markets to 17 administer the National School Lunch Program in order that the commission may maintain an accurate and timely effective database of the offi-18 19 cial text of laws of the state of New York in furtherance of effecting the provisions of section 44 of the legislative law and section 70-b of 20 21 the public officers law.

23 Section 1. Subdivisions 3, 5, 8 and 11 of section 400 of the general 24 business law, subdivisions 3 and 8 as added by chapter 509 of the laws 25 of 1992, subdivision 5 as amended by chapter 343 of the laws of 1998, 26 and subdivision 11 as added by chapter 80 of the laws of 2015, are 27 amended to read as follows:

PART W

3. "Licensee" means a person licensed pursuant to this article to engage in the practice of [natural hair styling] <u>waxing</u>, esthetics, nail specialty or cosmetology, or to operate an appearance enhancement business in which such practice, as herein defined, is provided to the public, or to provide the services of a salon assistant, as herein <u>defined</u>.

34 The [practice] services of ["natural hair styling"] "salon assist-5. 35 ant" means providing for a fee, or any consideration or exchange, wheth-36 er direct or indirect, any of the following services to the hair of a 37 human being: shampooing, arranging, dressing, [twisting, wrapping, weav-38 ing, extending, locking or braiding] or blow drying the hair [or beard] 39 by either hand or mechanical appliances, including but not limited to, 40 curling irons and mechanical hair straighteners. Such practice shall not 41 include twisting, wrapping, weaving, extending, locking, braiding, 42 cutting, shaving or trimming hair except that such activities are permissible to the extent that such activities are incidental to the 43 44 [practice] services of [natural hair styling] a salon assistant. Such [practice] services shall not include the application of dyes, reactive 45 chemicals, or other preparations to alter the color or to straighten, 46 curl, or alter the structure of the hair. [Techniques] Nothing contained 47 48 in this subdivision shall be deemed to require a license for services 49 which result in tension on hair roots such as certain types of braiding, 50 weaving, wrapping, and locking [and extending of the hair may only be performed by a natural hair styling or cosmetology licensee who has 51 52 successfully completed an approved course of study in such techniques]\_ 53 or incidental services attended thereto.



1 "Appearance enhancement business" means the business of providing 8. 2 any or all of the services licensed pursuant to this article at a fixed 3 location. In addition, any business which offers, demands, collects, or receives a fee, or any consideration or exchange, whether direct or 4 indirect, for any of the following services to the hair of a human 5 6 being: shampooing, arranging, dressing, twisting, wrapping, weaving, 7 extending, locking or braiding the hair or beard by either hand or 8 mechanical appliances shall also be required to obtain a license pursu-9 ant to this article. "Trainee" means a person pursuing in good faith a course of study 10 11. 11 [in the practice of nail specialty] to become a licensee under the 12 tutelage, supervision and direction of a licensed [nail] practitioner of the same license type, as herein defined. Such trainee shall be employed 13 14 by a licensed appearance enhancement business. 15 § 2. Subdivisions 1 and 3 of section 401 of the general business law, 16 subdivision 1 as amended by chapter 80 of the laws of 2015, and subdivi-17 sion 3 as amended by chapter 341 of the laws of 1998, are amended to 18 read as follows: 19 1. No person shall engage in the practice of nail specialty, waxing, 20 [natural hair styling,] esthetics or cosmetology, as defined in section 21 four hundred of this article, or offer the services of a salon assist-22 ant, as defined in section four hundred of this article, without having 23 received a license to engage in such practice in the manner prescribed 24 in this article. No person shall act as a trainee or perform any service as such unless he or she has obtained a certificate of registration 25 pursuant to this article. 26 27 3. A person licensed by any other state or country to practice nail 28 specialty, waxing, [natural hair styling,] esthetics or cosmetology, or 29 who is licensed to offer the services of a salon assistant, shall be allowed to practice in New York state for three months or less within 30 any calendar year for the purpose of giving to, or receiving from, 31 persons who are licensed under this article training in current styles, 32 33 techniques or materials, provided however, that no such unlicensed person may provide services to the public for any fee, or other compen-34 sation, whether direct or indirect. 35 36 § 3. Subdivision 1 of section 403 of the general business law, as 37 amended by chapter 339 of the laws of 2017, is amended to read as 38 follows: 39 1. There shall be established within the department an advisory 40 committee which shall consist of nine members broadly representative of 41 the appearance enhancement industry; including one person engaged in the 42 practice of either nail specialty or waxing; [two persons engaged in 43 natural hair styling; one of whom shall be knowledgeable in the practice 44 of styling techniques which place tension on the hair roots, and one of 45 whom shall ensure strict adherence to quality services for all clients 46 of all hair types, including, but not limited to, curl pattern, hair 47 strand thickness, and volume of hair;] one person engaged in esthetics; 48 [two] four persons engaged in cosmetology; two persons engaged in train-49 ing of persons for such practices and one person licensed as a dermatol-50 ogist. The secretary shall appoint such persons to serve on the advisory 51 committee, provided, that two shall be appointed by the secretary on the 52 recommendation of the temporary president of the senate and two shall be 53 appointed by the secretary on the recommendation of the speaker of the assembly. Each member of the committee shall be appointed for terms of 54 55 two years. Any member may be reappointed for additional terms. The



1 secretary shall designate from among the members of the committee a
2 chairperson who shall serve at the pleasure of the secretary.

3 § 4. Section 404 of the general business law, as amended by chapter 80 4 of the laws of 2015, is amended to read as follows:

§ 404. Rules and regulations. The secretary shall promulgate rules and 5 regulations which establish standards for practice and operation by 6 licensees and trainees under this article in order to ensure the health, 7 8 safety and welfare of the public including licensees and trainees when they are working within such establishments. Such rules and regulations 9 shall include, but not be limited to, the sanitary conditions and proce-10 11 dures required to be maintained, a minimum standard of training appro-12 priate to the duties of nail specialists, trainees, waxers, [natural 13 hair stylists] salon assistants, estheticians, and cosmetologists and 14 the provision of service by nail specialists, trainees, waxers, [natural 15 hair stylists] salon assistants, estheticians or cosmetologists at 16 remote locations other than the licensee's home provided that such prac-17 titioner holds an appearance enhancement business license to operate at a fixed location or is employed by the holder of an appearance enhance-18 19 ment business license. Regulations setting forth the educational requirements for nail specialists and trainees shall include education 20 21 in the area of causes of infection and bacteriology. In promulgating 22 such rules and regulations the secretary shall consult with the state education department, the advisory committee established pursuant to 23 24 this article, any other state agencies and private industry represen-25 tatives as may be appropriate in determining minimum training require-26 ments.

S 5. Paragraphs a and f of subdivision 1, subdivision 2 and paragraph b of subdivision 4 of section 406 of the general business law, paragraph a of subdivision 1, subdivision 2 and paragraph b of subdivision 4 as amended by chapter 341 of the laws of 1998, paragraph f of subdivision 1 as added by chapter 80 of the laws of 2015, and paragraph c of subdivision 2 as amended by section 3 of part D of chapter 328 of the laws of 2014, are amended to read as follows:

a. Any person intending to practice nail specialty, waxing, [natural
hair styling,] esthetics or cosmetology as defined in this article, or
to own or operate an appearance enhancement business, or to offer
<u>services as a salon assistant or to practice as a trainee</u>, shall first
make application to the secretary for a license therefor.

f. Notwithstanding the educational requirements of this section, a trainee may [obtain a license to practice nail specialty] <u>submit an</u> <u>application to become a licensee</u> if such trainee provides satisfactory evidence to the secretary that such trainee has been actively engaged in a traineeship for a period of one year and has completed a course of study set forth by the secretary. Such course of study may be delivered by electronic means.

46 2. a. Any person seventeen years of age or older may apply to the 47 secretary for a license to practice nail specialty, waxing, [natural 48 hair styling,] esthetics or cosmetology, or to offer services as a salon 49 assistant, or to practice as a trainee.

50 b. Each such application shall also be accompanied by satisfactory 51 evidence of having taken and passed the appropriate examination or exam-52 inations offered by the secretary pursuant to this article for the 53 license sought and evidence of the successful completion of an approved 54 course of study in nail specialty, waxing, [natural hair styling] <u>salon</u> 55 <u>assistant services</u>, esthetics or cosmetology in a school duly licensed 56 pursuant to the education law.



1 c. Any applicant for a license to practice nail specialty, waxing, 2 [natural hair styling] or to provide salon assistant services, esthetics 3 or cosmetology may submit satisfactory evidence of licensure to practice an equivalent occupation issued by any other state, territory, protec-4 torate or dependency of the United States or any other country in lieu 5 of the evidence of schooling and examination required by this subdivi-6 7 sion, provided that such license was granted in compliance with stand-8 ards which were, in the judgment of the secretary, not lower than those this state and provided that such state, territory, protectorate, 9 of dependency, or country extends similar reciprocity to the licensees of 10 11 this state, or the applicant practiced an equivalent occupation in such 12 state, territory, protectorate, dependency or country for a minimum of 13 five years, or the applicant is a member of the household of a member of 14 the armed forces of the United States, national guard or reserves and 15 was a member of such household before such member relocated to the 16 state.

17 d. Notwithstanding the educational requirements of this section and 18 the testing requirements of this section, an applicant who otherwise has 19 met the licensing requirements of this article for a nail specialist, [natural hair stylist,] esthetician or cosmetologist who shall 20 waxer, 21 provide satisfactory evidence he or she has been actively and continuously engaged in the practice of nail specialty, waxing, [natural hair 22 23 styling,] esthetics or cosmetology for at least one year prior to the 24 effective date of this article, may be issued a license for nail specialty, waxing, [natural hair styling,] esthetics or cosmetology 25 26 pursuant to this article. Notwithstanding the educational and testing 27 requirements of this section, a person licensed to practice barbering 28 under article twenty-eight of this chapter who otherwise has met the 29 licensing requirements of this article may be issued a license to [practice natural hairstyling] provide salon assistant services. Other than 30 applicants licensed under article twenty-eight of this chapter, those 31 persons who apply after a twelve month period from the effective date of 32 33 this article will be required to provide evidence of training and to 34 take the examination or examinations as required for other licenses 35 pursuant to this article.

e. Upon acceptance by the secretary of a proper application for an operator's license to practice nail [speciality] <u>specialty</u>, waxing, [natural hair styling] <u>or to provide salon assistant services</u>, esthetics or cosmetology, the secretary may issue a temporary operator's license which shall expire six months from issuance. Upon good cause shown, the secretary may renew a temporary operator's license for one additional six-month period upon filing the appropriate application and fee.

43 In the case of persons who are called to active military service b. 44 and will be discharged from active military service, the period of two 45 years specified in paragraph d of subdivision two of this section need 46 not be continuous. The length of time such person was engaged in the 47 practice of nail specialty, waxing, [natural hair styling,] esthetics or cosmetology, or to provide salon assistant services, before entering 48 49 active military service may be added to any period of time during which 50 such person was or is engaged in the practice of nail specialty, waxing, 51 [natural hair styling,] esthetics or cosmetology after the termination 52 of active military service.

53 § 6. Subdivision 1 of section 407 of the general business law, as 54 amended by section 1 of chapter 255 of the laws of 1999, is amended to 55 read as follows:



1 1. The examinations for the license to practice [natural hair styling,] esthetics, nail specialty and cosmetology shall be practical and 2 written. The examinations for the license to practice waxing shall be 3 limited to a written examination only. The examinations to provide salon 4 5 assistant services shall be limited to a practical examination only. The secretary shall determine reasonable standards of performance for each 6 7 license and shall evaluate the prospective applicants and applicants on 8 the basis of such standards. The objectives of the examinations shall be to insure that prospective applicants and applicants have sufficient 9 basic skills to safeguard the health and safety of the public and to 10 11 insure that prospective applicants and applicants have attained adequate 12 levels of skill to competently engage in the activities authorized by 13 the license. 14 § 7. Subdivision 1 of section 409 of the general business law, as 15 amended by section 2 of part Y of chapter 60 of the laws of 2011, is 16 amended to read as follows: 17 1. The non-refundable fee for an application for a license to engage 18 in the practice of nail specialty, waxing, [natural hair styling,] 19 esthetics or cosmetology, shall be forty dollars initially and for each 20 renewal thereof the fee shall be forty dollars; the fee for a temporary 21 license and each renewal shall be ten dollars. 22 § 8. Paragraph a of subdivision 2 of section 410 of the general busi-23 ness law, as amended by chapter 80 of the laws of 2015, is amended to 24 read as follows: 25 a. The secretary may issue an order directing the cessation of any activity related to nail specialty, waxing, [natural hair styling,] 26 27 esthetics or cosmetology, or to services relating to salon assistants, 28 for which a license is required by this article upon a determination 29 that a person, partnership, limited liability company or business corporation, engaging in the business or occupation of, or holding himself, 30 herself or itself out as or acted, temporarily or otherwise, as a nail 31 32 specialist, [natural hair stylist] salon assistant, esthetician or cosmetologist within this state without a valid license being in effect. 33 The secretary shall, before making such determination and order, afford 34 such person, partnership, limited liability company or business corpo-35 36 ration an opportunity to be heard in person or by counsel in reference 37 thereto in an adjudicatory proceeding held pursuant to section four 38 hundred eleven of this article as applicable. 39 9. Subdivision 1 of section 412 of the general business law, as S 40 amended by chapter 80 of the laws of 2015, is amended to read as 41 follows: 42 The practice of nail specialty, waxing, [natural hair styling,] 1. 43 esthetics or cosmetology, or providing salon assistant services, without 44 a license or while under suspension or revocation, or in violation of an 45 order directing the cessation of unlicensed activity issued by the 46 secretary pursuant to section four hundred ten or four hundred eleven of 47 article, is a violation and is subject to a civil penalty of up to this five hundred dollars for the first violation; one thousand dollars for a 48 second such violation; and two thousand five hundred dollars for a third 49 50 violation and any subsequent violation. 51 § 10. This act shall take effect on the one hundred eightieth day 52 after it shall have become a law. Effective immediately, the addition,

52 after it shall have become a law. Effective immediately, the addition, 53 amendment and/or repeal of any rule or regulation by the secretary of 54 state necessary for the implementation of this act on its effective date 55 are authorized to be made and completed on or before such effective 56 date.



1

# PART X

Section 1. Notwithstanding any other provision of law to the contrary, 2 any person who is licensed or certified as a physician, physician's 3 assistant, massage therapist, physical therapist, chiropractor, dentist, 4 optometrist, nurse, nurse practitioner, emergency medical technician, 5 podiatrist or athletic trainer by a foreign government may provide 6 7 professional services within this state without first being licensed pursuant to the provisions of title 8 of the education law or certified 8 pursuant to the provisions in the public health law, as may be applica-9 10 ble, to the team athletes, coaches, staff and delegations originating from such foreign government, in connection with the Winter World 11 12 University Games, Lake Placid 2023. Such services shall be limited to 13 athletes and personnel in relation to the Winter World University Games, 14 Lake Placid 2023, between the dates of January 5, 2023 and January 25, 15 2023.

16 § 2. Any person who is licensed or certified to practice as a physi-17 cian, physician's assistant, massage therapist, physical therapist, 18 chiropractor, dentist, optometrist, nurse, nurse practitioner, emergency medical technician, podiatrist or athletic trainer in another state or 19 20 territory, who is in good standing in such state or territory, and who 21 has been appointed by the Adirondack North Country Sports Council to 22 provide professional services at an event in this state sanctioned by 23 the Adirondack North Country Sports Council, may provide such professional services to team athletes, coaches, staff and delegations from 24 25 such state or territory registered to train at a location in this state 26 or registered to compete in an event conducted under the sanction of the 27 Adirondack North Country Sports Council in this state without first 28 being licensed pursuant to the provisions of title 8 of the education law or certified pursuant to the provisions of the public health law, as 29 may be applicable. Such services shall be limited to team athletes, 30 coaches, staff and delegations in relation to the Winter World Universi-31 32 ty Games, Lake Placid 2023, between the dates of January 5, 2023 and 33 January 25, 2023.

34 § 3. This act shall take effect January 5, 2023 and shall expire and 35 be deemed repealed January 25, 2023.

# 36

## PART Y

37 Section 1. Section 2 of chapter 393 of the laws of 1994, amending the 38 New York state urban development corporation act, relating to the powers 39 of the New York state urban development corporation to make loans, as 40 amended by section 1 of part J of chapter 58 of the laws of 2021, is 41 amended to read as follows:

42 § 2. This act shall take effect immediately provided, however, that 43 section one of this act shall expire on July 1, [2022] 2025, at which 44 time the provisions of subdivision 26 of section 5 of the New York state urban development corporation act shall be deemed repealed; provided, 45 46 however, that neither the expiration nor the repeal of such subdivision as provided for herein shall be deemed to affect or impair in any manner 47 48 any loan made pursuant to the authority of such subdivision prior to 49 such expiration and repeal.

50 § 2. This act shall take effect immediately and shall be deemed to 51 have been in full force and effect on and after July 1, 2021.



1 Section 1. Subdivision 3 of section 16-m of section 1 of chapter 174 of the laws of 1968 constituting the New York state urban development 2 corporation act, as amended by section 1 of part K of chapter 58 of the 3 laws of 2021, is amended to read as follows: 4 The provisions of this section shall expire, notwithstanding any 5 3. inconsistent provision of subdivision 4 of section 469 of chapter 309 of 6 7 the laws of 1996 or of any other law, on July 1, [2022] 2025. 8 § 2. This act shall take effect immediately and shall be deemed to have been in full force and effect on and after July 1, 2021. 9 10 PART AA 11 Section 1. Section 17 of part F of chapter 60 of the laws of 2015 12 constituting the infrastructure investment act, as amended by section 7 13 of part DD of chapter 58 of the laws of 2020, is amended to read as 14 follows: 15 § 17. This act shall take effect immediately and shall expire and be deemed repealed December 31, [2022] 2027, provided that, projects with 16 17 requests for qualifications issued prior to such repeal shall be permitted to continue under this act notwithstanding such repeal. 18 19 § 2. Section 14 of chapter 749 of the laws of 2019 authorizing, for 20 certain public works undertaken pursuant to project labor agreements, use of the alternative delivery method known as design-build contracts, 21 22 is amended to read as follows: § 14. This act shall take effect immediately and shall expire and be 23 24 deemed repealed [three] eight years after such date, provided that, 25 public works with requests for qualifications issued prior to such 26 repeal shall be permitted to continue under this act notwithstanding 27 such repeal. § 3. This act shall take effect immediately. 28 29 PART BB 30 Section 1. Subparagraph 6 of paragraph (g) of subdivision 11 of section 213 of the state finance law, as added by section 1 of part HH 31 32 of chapter 59 of the laws of 2013, is amended and a new paragraph (h) is 33 added to read as follows: 34 (6) small scale systems integration and packaging[.]; or 35 (h) a community development financial institution. 36 § 2. Paragraph (e) of subdivision 12 of section 213 of the state 37 finance law, as added by chapter 705 of the laws of 1993, is amended and 38 a new paragraph (f) is added to read as follows: 39 (e) for certified minority-and women-owned businesses, projects to 40 provide financing necessary to carry out a procurement contract with an 41 agency or authority or other entity of the state or federal govern-42 ment[.]; or 43 (f) projects in which community development financial institutions 44 make loans. 45 § 3. Section 213 of the state finance law is amended by adding a new 46 subdivision 25 to read as follows: 47 25. "Community development financial institution" means an organiza-48 tion as defined in 12 U.S.C. 4702(5)(a). § 4. This act shall take effect immediately. 49

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PART CC



1 Section 1. Section 1 of chapter 174 of the laws of 1968, constituting 2 the New York state urban development corporation act, is amended by 3 adding a new section 16-gg to read as follows: § 16-gg. Small business seed funding grant program. 1. Definitions. 4 As used in this section, the following terms shall have the following 5 6 meanings: 7 (a) "Small business" shall mean a business which is resident in this 8 state, independently owned and operated, not dominant in its field, and 9 employs one hundred or less persons, was started on March 1, 2019 or 10 later and has been operational for a minimum of six months prior to 11 <u>application.</u> 12 (b) "Micro-business" shall mean a business which is a resident in this 13 state, independently owned and operated, not dominant in its field, and 14 employs ten or less persons. 15 (c) "The program" shall mean the small business seed funding grant 16 program established pursuant to subdivision two of this section. 17 (d) "Applicant" shall mean a small business or for-profit independent arts and cultural organization submitting an application for a grant 18 19 award to the program. 20 2. Small business seed funding grant program established. The small 21 business seed funding grant program is hereby created to provide assistance to early-stage small businesses to succeed in a recovering New York 22 23 state economy. 24 Authorization. The corporation is hereby authorized, using avail-3. 25 able funds, to issue grants and provide technical assistance and 26 outreach to small businesses and technical assistance partners for the 27 purpose of aiding the recovery of the New York state economy, and may 28 promulgate guidelines to effectuate the purposes herein. 29 4. Selection criteria and application process. (a) In order to be 30 eligible for a grant or additional form of support under the program, an 31 eligible small business shall: 32 (i) be incorporated in New York state or licensed or registered to do 33 business in New York state and must be resident in the state of New 34 York; (ii) be a currently viable small business that started business on 35 36 March 1, 2019 or later and has been operational for at least six months 37 before application; 38 (iii) have between five thousand and one million dollars in gross 39 receipts or be able to demonstrate ten thousand dollars in business 40 expenses; 41 (iv) be in substantial compliance with applicable federal, state and 42 local laws, regulations, codes and requirements; and 43 (v) not owe any federal, state or local taxes, or have an approved 44 repayment, deferral plan, or agreement with appropriate federal, state, 45 and local taxing authorities. 46 (b) Grants awarded from this program shall be available to eligible 47 micro-businesses and small businesses that do not qualify for business 48 assistance grant programs under the federal American Rescue Plan Act of 49 2021 or any other available federal COVID-19 economic recovery or busi-50 ness assistance grant programs, including loans forgiven under the 51 federal Paycheck Protection Program, or are unable to obtain sufficient 52 business assistance from such federal programs, with priority given to 53 socially and economically disadvantaged business owners including, but 54 not limited to, minority and women-owned business enterprises, service-55 disabled veteran-owned businesses, and veteran-owned businesses, or



1	businesses located in communities that were economically distressed
2	prior to March 1, 2020, as determined by the most recent census data.
3	5. Eligible costs. (a) Eligible costs considered for micro-businesses
4	and small businesses under this program must have been incurred between
5	<u>March 1, 2019 and January 1, 2022.</u>
6	(b) (i) The following costs incurred by a micro-business and small
7	businesses, shall be considered eligible under the program at a minimum:
8	payroll costs; costs of rent or mortgage as provided for in subparagraph
9	(ii) of this paragraph; costs of repayment of local property or school
10	taxes associated with such small business's location as provided for in
11	subparagraph (iii) of this paragraph; insurance costs; utility costs;
12	costs of personal protection equipment (PPE) necessary to protect worker
13	and consumer health and safety; heating, ventilation, and air condition-
14	ing (HVAC) costs, or other machinery or equipment costs, or supplies and
15	materials necessary for compliance with COVID-19 health and safety
16	protocols, and other documented COVID-19 costs as approved by the corpo-
17	ration.
18	(ii) Mortgage payments or commercial rent shall be considered eligible
19	<u>costs.</u>
20	(iii) Payment of local property taxes and school taxes shall be
21	considered eligible costs.
22	(c) Grants awarded under the program shall not be used to re-pay or
23	pay down any portion of a loan obtained through a federal coronavirus
24	relief package for business assistance or any New York state business
25	assistance programs.
26	6. Application and approval process. (a) An eligible micro-business,
27	small business shall submit a complete application in a form and manner
28	prescribed by the corporation.
29	(b) The corporation shall establish the procedures and time period for
30	micro-businesses and small businesses to submit applications to the
31	program. As part of the application each micro-business and small busi-
32	ness shall provide sufficient documentation in a manner prescribed by
33	the corporation to demonstrate hardship, and prevent fraud, waste, and
34	abuse.
35	7. Technical assistance and outreach. The corporation may offer or
36	make available to all applicants, regardless of approval status, direct
37	or indirect access to financial and business planning, legal consulta-
38	tion, language assistance services, mentoring services for post-pandemic
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40	planning, reopening planning assistance and other assistance and support
	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and
41	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and other services may be provided by or through partner organizations,
41 42	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and other services may be provided by or through partner organizations, including but not limited to chambers of commerce, local business devel-
41 42 43	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and other services may be provided by or through partner organizations, including but not limited to chambers of commerce, local business devel- opment corporations, trade associations and other community organiza-
41 42 43 44	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and other services may be provided by or through partner organizations, including but not limited to chambers of commerce, local business devel- opment corporations, trade associations and other community organiza- tions that have expertise and background in providing technical assist-
41 42 43	planning, reopening planning assistance and other assistance and support as determined by the corporation. Assistance, support, outreach and other services may be provided by or through partner organizations, including but not limited to chambers of commerce, local business devel- opment corporations, trade associations and other community organiza-

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# PART DD

48 Section 1. Section 2 of chapter 584 of the laws of 2011, amending the 49 public authorities law relating to the powers and duties of the dormito-50 ry authority of the state of New York relative to the establishment of 51 subsidiaries for certain purposes, as amended by section 1 of part CC of 52 chapter 58 of the laws of 2020, is amended to read as follows:

53 § 2. This act shall take effect immediately and shall expire and be 54 deemed repealed on July 1, [2022] <u>2025</u>; provided however, that the expi-



1 2 3 4 5	<pre>ration of this act shall not impair or otherwise affect any of the powers, duties, responsibilities, functions, rights or liabilities of any subsidiary duly created pursuant to subdivision twenty-five of section 1678 of the public authorities law prior to such expiration. § 2. This act shall take effect immediately.</pre>
6	PART EE
7	Section 1. Paragraph (b) of subdivision 2 of section 1676 of the
8	public authorities law is amended by adding a new undesignated paragraph
9	to read as follows:
10	Any not-for-profit corporation or collaboration of not-for-profit
11	corporations, for capital projects located in New York state related to
12	physical infrastructure with a total cost of not less than five million
13	dollars. For the purposes of this paragraph "not-for-profit corporation"
14	shall mean a domestic corporation or authorized foreign corporation as defined in section one hundred two of the not-for-profit corporation
15 16	law. Any such not-for-profit corporation shall possess the requisite
17	credit standing to secure such funding in the private or public capital
18	markets to be eligible to obtain a loan from the authority pursuant to
19	subdivision three of section sixteen hundred eighty of this title.
20	§ 2. Subdivision 1 of section 1680 of the public authorities law is
21	amended by adding a new undesignated paragraph to read as follows:
22	Any not-for-profit corporation or collaboration of not-for-profit
23	corporations, for capital projects located in New York state related to
24	physical infrastructure with a total cost of not less than five million
25	dollars. For the purposes of this paragraph "not-for-profit corpo-
26	ration" shall mean a domestic corporation or authorized foreign corpo-
27	ration as defined in section one hundred two of the not-for-profit
28	corporation law. Any such not-for-profit corporation shall possess the requisite credit standing to secure such funding in the private or
29 30	public capital markets to be eligible to obtain a loan from the authori-
31	ty pursuant to subdivision three of this section.
32	§ 3. Nothing in this act is intended to limit, impair, or affect the
33	legal authority of the Dormitory Authority of the state of New York
34	under any other provision of law.
35	§ 4. This act shall take effect immediately.
36	PART FF
37	Section 1. Section 1678 of the public authorities law is amended by
38	adding a new subdivision 30 to read as follows:
39	30. (a) Notwithstanding any law, rule or regulation to the contrary,
40	when awarding a contract for public work, the authority may establish
41	guidelines governing the qualifications of bidders seeking to bid or
42	enter into such contracts. If the authority maintains an appropriate
43	list of qualified bidders, the bidding shall be restricted to those who
44	have qualified prior to the receipt of bids according to standards fixed
45 46	by the authority. In determining whether a prospective bidder qualifies
40 47	for inclusion on a list of prequalified bidders, the authority shall consider the experience and record of performance of the prospective
47 48	bidder in the particular type of work, as well as: (i) the prospective
49	bidder's ability to undertake the particular type and complexity of
50	work; (ii) the financial capability, responsibility and reliability of
51	the prospective bidder for such type and complexity of work; (iii) the
52	record of the prospective bidder in complying with existing labor stand-

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ards and maintaining harmonious labor relations; (iv) the prospective 1 2 bidder's compliance with equal employment opportunity requirements and 3 anti-discrimination laws, and demonstrated commitment to working with minority and women-owned businesses through joint ventures or subcon-4 tractor relationships; and (v) the record of the prospective bidder in 5 6 protecting the health and safety of workers on public works projects and 7 job sites as demonstrated by the prospective bidder's experience modifi-8 cation rate for each of the last three years.

(b) The authority shall, not less than annually, publish in a newspa-9 10 per of general circulation or post in the New York State Contract Repor-11 ter an advertisement requesting prospective bidders to submit qualification statements. Lists of prequalified bidders may be established on a 12 13 project-specific basis. Prequalified lists shall include all bidders 14 that qualify; provided, however, that any such list shall have no less 15 than five bidders but shall remain open for all additional qualified 16 bidders. The authority's procedures for prequalifying bidders shall 17 include an appeals process for those denied a place on a prequalified list. Any denial must be based upon substantial evidence, cannot be 18 19 arbitrary or capacious, and shall be subject to judicial review pursuant 20 to article seventy-eight of the civil practice law and rules. The 21 authority may move forward on the contract award during such appeals. 22 § 2. This act shall take effect immediately.

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# PART GG

24 Section 1. Paragraph (b) of subdivision 2 of section 1676 of the 25 public authorities law is amended by adding a new undesignated paragraph 26 to read as follows:

27 Any recipient of loans or grants awarded pursuant to the downtown 28 revitalization program designed and executed by the department of state 29 and the division of housing and community renewal for transformative 30 housing, economic development, transportation, and community projects. 31 is §2. Subdivision 1 of section 1680 of the public authorities law 32 amended by adding a new undesignated paragraph to read as follows: Any recipient of loans or grants awarded pursuant to the downtown 33 34 revitalization program designed and executed by the department of state 35 and the division of housing and community renewal for transformative 36 housing, economic development, transportation, and community projects. § 3. This act shall take effect immediately. 37

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#### PART HH

39 Section 1. Section 1678 of the public authorities law is amended by 40 adding a new subdivision 30 to read as follows:

41 30. To enter into a design and construction management agreement with any state authority, pursuant to which one or more facilities are to be 42 43 planned, designed, constructed, reconstructed, rehabilitated, improved, furnished, or equipped for such state authority. Any such design and 44 construction management agreement entered into pursuant to this subdivi-45 46 shall provide for the following; the scope of design and sion 47 construction management services to be provided, the fees to be charged 48 by the dormitory authority and the sources of funds for the projects. No 49 design-build contract as defined in part F of chapter fifty-six of the 50 laws of two thousand eleven shall be awarded pursuant to this subdivision except if the state authority is otherwise authorized to utilize a 51 52 design-build contract. For the purposes of this subdivision the term



1 2	"state authority" shall have the same meaning as defined pursuant to section two of this chapter.
3	§ 2. This act shall take effect immediately.
4	PART II
5	Section 1. Section 99-ii of the state finance law is amended by adding
6	a new subdivision 2-a to read as follows:
7	2-a. Revenues deposited into this fund pursuant to section fifteen of
8	the cannabis law shall first be used to reimburse the state for any
9	funds deposited into this fund from the state general fund and used to
10 11	support expenditures authorized under paragraph (c) of subdivision three
12	of this section. § 2. Subparagraph (c) of subdivision 3 of section 99-ii of the state
13	finance law, as added by chapter 92 of the laws of 2021, is amended to
14	read as follows:
15	(c) Actual and necessary costs incurred by the office of cannabis
16	management and the cannabis control board, and the urban development
17	corporation, related to the administration of incubators and other
18	assistance to qualified social and economic equity applicants including
19	the administration, capitalization, and provision of low and zero inter-
20	est loans to such applicants pursuant to section sixteen ee of the urban
21	development corporation act[. Such] and the funding of, whether directly
22 23	or indirectly by investment in a private debt or equity fund formed for the limited purpose of funding the fixed capital costs associated with
24	establishing adult-use cannabis retail dispensaries for operation by
25	social and economic equity applicants duly licensed pursuant to article
26	four of the cannabis law. Such fixed capital costs shall include, but
27	are not limited to, all costs related to the acquisition, leasing,
28	purchasing, planning, design, construction, reconstruction, rehabili-
29	tation, improvement, furnishing, or equipping of such adult-use cannabis
30	retail dispensaries, whether such work has been undertaken or costs for
31 32	such work incurred by (i) the office of cannabis management and the cannabis control board, (ii) the dormitory authority of the state of New
3∡ 33	York, or any subsidiary thereof, under agreement with the office of
34	cannabis management and the cannabis control board, or with the private
35	debt or equity fund formed for the limited purpose of funding the fixed
36	capital costs associated with establishing such adult-use cannabis
37	retail dispensaries, or (iii) the private debt or equity fund formed for
38	the limited purpose of funding the fixed capital costs associated with
39	establishing such adult-use cannabis retail dispensaries. Payments for
40	the fixed capital costs to establish such adult-use cannabis retail
41 42	dispensaries, including any investment in a private debt or equity fund formed for the limited purpose of funding such fixed capital costs, and
43	any repayments of these amounts may be deposited in the New York state
44	cannabis revenue fund or such other account as determined by the direc-
45	tor of the division of the budget. All above referenced costs shall be
46	paid out of revenues received, including, but not limited to, from
47	special one-time fees paid by registered organizations pursuant to
48	section sixty-three of the cannabis law.
49	§ 3. Section 1678 of the public authorities law is amended by adding
50 51	two new subdivisions 30 and 31 to read as follows: <u>30. To enter into one or more agreements with the office of cannabis</u>
51 52	management, the cannabis control board, or any private debt or equity
53	fund, in which the state or any state agency, public authority, public
54	benefit corporation, or division thereof has invested and is formed for



1 the limited purpose of funding the fixed capital costs associated with 2 establishing adult-use cannabis retail dispensaries for operation by 3 social and economic equity applicants duly licensed pursuant to article four of the cannabis law, for the following purposes: 4 (a) To acquire by purchase, condemnation, gift, devise, lease, or 5 6 other agreement such real property or an interest therein as may be 7 necessary or convenient for the acquisition, construction, recon-8 struction, rehabilitation, improvement, or provision of adult-use canna-9 bis retail dispensaries for operation by social and economic equity 10 <u>licensees;</u> 11 (b) To prepare or cause to be prepared plans, specifications, designs, 12 and estimates of costs for the design, construction, reconstruction, 13 rehabilitation, improvement, furnishing or equipping of adult-use canna-14 bis retail dispensaries for operation by social and economic equity 15 licensees; 16 (c) To design, construct, reconstruct, rehabilitate, or improve adult-use cannabis retail dispensaries for operation by social and 17 economic equity licensees and to enter into contracts to cause such 18 19 facilities to be designed, constructed, reconstructed, rehabilitated, 20 improved, furnished, or equipped; 21 (d) To enter, as lessor or as agent for the lessor, into leases, 22 subleases, or other agreements with the social and economic equity licensees operating the adult-use cannabis retail dispensaries; 23 24 (e) To enter, as lender or as agent for the lender, into loan or other 25 agreements with the social and economic equity licensees operating the adult-use cannabis retail dispensaries; and 26 27 (f) To sell, convey, lease, sublease or otherwise transfer any real 28 property or interest therein held by the authority to any person, firm, association, corporation, or agency, including a public body, for the 29 purpose of constructing an adult-use cannabis retail dispensary, 30 31 provided that, simultaneously therewith, the authority enters into an 32 agreement for the reconveyance, purchase, lease, sublease, or other 33 acquisition of such dispensary. 34 31. (a) To form one or more subsidiaries for the purpose of limiting 35 the potential liability of the authority when exercising the powers and 36 duties conferred upon the authority by subdivision thirty of this 37 section in connection with certain work performed on behalf of the 38 office of cannabis management, the cannabis control board, or any 39 private debt or equity fund in which the state or any state agency, 40 public authority, public benefit corporation, or division thereof has 41 invested and is formed for the limited purpose of funding the fixed 42 capital costs associated with establishing adult-use cannabis retail 43 dispensaries for operation by social and economic equity applicants duly 44 licensed pursuant to article four of the cannabis law. Such subsidiary 45 created pursuant to this subdivision may exercise and perform one or 46 more of the purposes, powers, duties, functions, rights and responsibil-47 ities of the authority other than the issuance of indebtedness, in connection with real and personal property with respect to which the 48 49 authority holds title or a leasehold interest including, but not limited 50 to: (i) bidding for, taking, holding, selling, conveying, assigning or 51 transferring title to such property; (ii) entering into leases, 52 subleases, or other arrangements with regard to such property and acting 53 in a manner consistent with the rights, obligations or responsibilities of the owner, landlord or tenant of such property pursuant to such lease 54 55 or sublease agreements; (iii) servicing loan payments; (iv) furnishing

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1	property management services; and (v) providing general operational and
2	administrative support services.
3	(b) Such subsidiary authorized by paragraph (a) of this subdivision
4	shall be established in the form of a public benefit corporation by
5	executing and filing with the secretary of state a certificate of incor-
6	poration which shall identify the authority as the entity organizing
7	such subsidiary and set forth the name of such subsidiary public benefit
8	corporation, its duration, the location of its principal office and its
9	corporate purposes as provided in this subdivision and which certificate may be amended from time to time by the filing of amendments thereto
10	with the secretary of state. Such subsidiary shall be organized as a
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12 13	public benefit corporation, shall be a body politic and corporate, and shall have all the privileges, immunities, tax exemptions and other
$14^{13}$	exemptions of the authority. The members of such subsidiary shall be the
$14 \\ 15$	same as the members of the authority and the provisions of subdivision
16	two of section sixteen hundred ninety-one of this title shall in all
17	respects apply to such members when acting in such capacity.
18	(c) Nothing in this subdivision shall be construed to impose any
19	<u>liabilities, obligations, or responsibilities of such subsidiary upon</u>
20	the authority and the authority shall have no liability or responsibil-
21	ity therefor unless the authority expressly agrees to assume the same.
22	(d) Such subsidiary created pursuant to this subdivision shall be
23	subject to any other provision of this chapter pertaining to subsid-
24	iaries of public authorities.
25	§ 4. Paragraph (b) of subdivision 2 of section 1676 of the public
26	authorities law is amended by adding three undesignated paragraphs to
27	read as follows:
28	the office of cannabis management.
29	the cannabis control board.
30	any private debt or equity fund in which the state or any state agen-
31	cy, public authority or public benefit corporation, or division thereof,
32	has invested and is formed for the limited purpose of funding the fixed
33	capital costs associated with establishing adult-use cannabis retail
34	dispensaries for operation by social and economic equity applicants duly
35	licensed pursuant to article four of the cannabis law.
36	§ 5. Subdivision 1 of section 1680 of the public authorities law is
37	amended by adding three undesignated paragraphs to read as follows:
38	the office of cannabis management.
39	the cannabis control board.
40	any private debt or equity fund in which the state or any agency,
41	authority or division thereof has invested and is formed for the limited
42	purpose of funding the fixed capital costs associated with establishing
43	adult-use cannabis retail dispensaries for operation by social and
44	economic equity applicants, duly licensed pursuant to article four of
45	the cannabis law.
46	§ 6. This act shall take effect immediately.
47	PART JJ
48	Section 1. Subdivision 24-e of section 10 of the highway law, as added
49	by section 1 of part RRR of chapter 59 of the laws of 2019, is amended

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50 to read as follows:

51 24-e. The commissioner of transportation is hereby authorized to enter 52 into an agreement with any fiber optic utility for use and occupancy of 53 the state right of way for the purposes of installing, modifying, relo-



1 cating, repairing, operating, or maintaining fiber optic facilities. 2 Such agreement may include a fee for use and occupancy of the right of 3 way, provided, however, such fee shall not be greater than fair market value. Any provider using or occupying a right of way in fulfillment of 4 5 a state grant award through either the New NY Broadband Program or any 6 successor office shall not be subject to a fee for such use or occupan-7 cy. Such exemption shall be applied to the entirety of an award recipi-8 ent's built footprint, and no portion of such footprint, notwithstanding 9 current status as it relates to access to broadband and other connectivity infrastructure, shall be subject to a fee for use and occupancy. Any 10 11 fee for use or occupancy charged to a fiber optic utility shall not be passed through in whole or in part as a fee, charge, increased service 12 13 cost, or by any other means by a fiber optic utility to any person or 14 entity that contracts with such fiber optic utility for service. Any 15 compensation received by the state pursuant to such agreement shall be 16 deposited by the comptroller into the special obligation reserve and 17 payment account of the dedicated highway and bridge trust fund estab-18 lished pursuant to section eighty-nine-b of the state finance law. Noth-19 ing herein shall impair, inhibit, or otherwise affect the ability of any 20 municipality to regulate zoning, land use, or any other power or author-21 ity granted under the law. For purposes of this subdivision, "munici-22 pality" shall include a county, city, village, or town.

23 § 2. Section 7 of the transportation corporations law, as added by 24 section 2 of part RRR of chapter 59 of the laws of 2019, is amended to 25 read as follows:

26 § 7. Agreement for fiber optic utility use and occupancy of state 27 right of way. The commissioner of transportation is hereby authorized to 28 enter into an agreement with any fiber optic utility for use and occu-29 pancy of the state right of way for the purposes of installing, modify-30 ing, relocating, repairing, operating, or maintaining fiber optic facilities. Such agreement may include a fee for use and occupancy of the 31 right of way, provided, however, such fee shall not be greater than fair 32 33 market value. Any provider using or occupying a right of way in fulfill-34 ment of a state grant award through either the New NY Broadband Program 35 or any successor office shall not be subject to a fee for such use or 36 occupancy. Such exemption shall be applied to the entirety of an award 37 recipient's built footprint, and no portion of such footprint, notwith-38 standing current status as it relates to access to broadband and other 39 connectivity infrastructure, shall be subject to a fee for use and occu-40 pancy. Any fee for use or occupancy charged to a fiber optic utility 41 shall not be passed through in whole or in part as a fee, charge, 42 increased service cost, or by any other means by a fiber optic utility 43 to any person or entity that contracts with such fiber optic utility for 44 service. Any compensation received by the state pursuant to such agree-45 ment shall be deposited by the comptroller into the special obligation 46 reserve and payment account of the dedicated highway and bridge trust 47 fund established pursuant to section eighty-nine-b of the state finance law. Nothing herein shall impair, inhibit, or otherwise affect the abil-48 49 ity of any municipality to regulate zoning, land use, or any other power or authority granted under the law. For purposes of this section, "muni-50 51 cipality" shall include a county, city, village, or town.

52 § 3. This act shall take effect immediately; provided that the amend-53 ments to subdivision 24-e of section 10 of the highway law and section 7 54 of the transportation corporations law made by this act shall not affect 55 the repeal of such subdivision and section and shall expire and be 56 deemed repealed therewith.



1 PART KK Section 1. Subdivision 2 of section 27-1207 of the environmental 2 conservation law, as amended by section 7 of part AA of chapter 58 of 3 the laws of 2018, is amended to read as follows: 4 5 [The] Appropriations for the solid waste mitigation program [shall 2. 6 receive no more than twenty-five million dollars] from the clean water infrastructure act of 2017 [and] shall be made available to the depart-7 ment and the department of health, as applicable, for the following 8 9 purposes: a. enumeration and assessment of solid waste sites; 10 11 h. investigation and environmental characterization of solid waste 12 sites, including environmental sampling; 13 c. mitigation and remediation of solid waste sites; 14 d. monitoring of solid waste sites; and 15 e. administration and enforcement of the requirements of section 16 27-1203 of this title. 17 § 2. This act shall take effect immediately. 18 PART LL Section 27-1405 of the environmental conservation law is 19 Section 1. 20 amended by adding three new subdivisions 32, 33 and 34 to read as 21 follows: 22 "Conforming BOA site" shall mean a site located within an area 32. 23 designated by the secretary of state as a brownfield opportunity area 24 pursuant to section nine hundred seventy-r of the general municipal law 25 and for which the secretary of state has issued an affirmative conform-26 ance determination pursuant to subdivision ten of such section. 27 33. "Disadvantaged community" shall mean a community that is identi-28 fied pursuant to section 75-0111 of this chapter. 29 34. "Renewable energy facility site" shall mean real property: (a) 30 that is primarily used for any renewable energy system, as defined in section sixty-six-p of the public service law; (b) any co-located system 31 storing energy generated from such a renewable energy system prior to 32 33 delivering it to the bulk transmission, sub-transmission, or distrib-34 ution system; or (c) any standalone system storing energy interconnected 35 into New York's bulk transmission system or an Investor Owned Utility's 36 transmission or distribution system providing distribution (IOU) 37 services, wholesale market energy, ancillary services, and/or capacity 38 services, including all associated appurtenances to electric plants as 39 defined under section two of the public service law. 40 § 2. The opening paragraph of subdivision 1-a of section 27-1407 of 41 the environmental conservation law, as added by section 3 of part BB of 42 chapter 56 of the laws of 2015, is amended to read as follows: 43 If the person is also seeking a determination that the site is eligi-44 ble for the tangible property credit component of the brownfield redevelopment tax credit pursuant to paragraph three of subdivision (a) of 45 46 section twenty-one of the tax law for a site located in a city having a 47 population of one million or more, such person shall submit information sufficient to demonstrate that: (a) at least half of the site area is 48 49 located in an environmental zone as defined in section twenty-one of the tax law; (b) the property is upside down or underutilized; [or] (c) the 50 51 project is an affordable housing project; (d) the project is a conforming BOA site; or (e) the project is being developed as a renewable ener-52 gy facility site. An applicant may request an eligibility determination 53



1 for tangible property credits at any time from application until the 2 site receives a certificate of completion pursuant to section 27-1419 of this title except for sites seeking eligibility under the underutilized 3 4 category. § 3. Section 27-1409 of the environmental conservation law is amended 5 6 by adding a new subdivision 13 to read as follows: 13. After acceptance by the department, an executed brownfield cleanup 7 8 agreement shall be submitted and returned to the department with payment 9 of a nonrefundable program fee in the amount of fifty thousand dollars, 10 which shall be deposited to the credit of the oversight and assistance 11 account of the hazardous waste remedial fund pursuant to section nine-12 ty-seven-b of the state finance law. The department may reduce or waive 13 such fee upon a demonstration of financial hardship by the applicant. 14 Program fees shall not qualify for any of the tax credits available for 15 brownfield sites under sections twenty-one, twenty-two, and twenty-three 16 of the tax law. 17 § 4. Paragraph 2 of subdivision (a) of section 21 of the tax law, as 18 amended by section 1 of part H of chapter 577 of the laws of 2004, is 19 amended to read as follows: 20 (2) Site preparation credit component. The site preparation credit 21 component shall be equal to the applicable percentage of the site prepa-22 ration costs paid or incurred by the taxpayer with respect to a quali-23 fied site. The credit component amount so determined with respect to a 24 site's qualification for a certificate of completion shall be allowed 25 for the taxable year in which the effective date of the certificate of 26 completion occurs. The credit component amount determined other than 27 with respect to such qualification shall be allowed for the taxable year 28 in which the improvement to which the applicable costs apply is placed 29 in service for up to five taxable years after the issuance of such certificate of completion; provided, however, that for any qualified 30 site to which a certificate of completion is issued on or after July 31 32 first, two thousand fifteen but on or before June twenty-fourth, two 33 thousand twenty-one, the site preparation credit component for such costs shall be allowed for up to seven taxable years after the issuance 34 35 of such certificate of completion. 36 § 5. Paragraph 4 of subdivision (a) of section 21 of the tax law, as 37 amended by section 1 of part H of chapter 577 of the laws of 2004, 38 amended to read as follows: 39 On-site groundwater remediation credit component. The on-site (4) 40 groundwater remediation credit component shall be equal to the applica-41 ble percentage of the on-site groundwater remediation costs paid or 42 incurred by the taxpayer with respect to a qualified site (to the extent 43 that such groundwater remediation costs are not included in the determi-44 nation of the site preparation credit or the cost or other basis 45 included in the determination of the tangible property credit). The 46 credit component so determined for costs incurred and paid with respect 47 and prior to the issuance of a certificate of completion shall be to allowed for the taxable year in which the effective date of the issuance 48 49 of a certificate of completion occurs. The credit component amount 50 determined in taxable years after the effective date of the issuance of 51 a certificate of completion shall be allowed in the taxable year such 52 qualified costs are incurred and paid for up to five taxable years after 53 the issuance of such certificate of completion; provided, however, that 54 with respect to any qualified site for which a certificate of completion 55 has been issued on or after July first, two thousand fifteen but on or

56 before June twenty-fourth, two thousand twenty-one, the credit component



1 amount determined in taxable years after the effective date of the issu-2 ance of a certificate of completion shall be allowed in the taxable year such qualified costs are incurred and paid for up to seven taxable years 3 after the issuance of such certificate of completion. 4 § 6. Subparagraph (B) of paragraph 5 of subdivision (a) of section 21 5 6 of the tax law, as amended by section 21 of part BB of chapter 56 of the 7 laws of 2015, is amended to read as follows: 8 (B) With respect to such qualified site for which the department of environmental conservation has issued a notice to the taxpayer on or 9 after July first, two thousand fifteen [or the date of publication in 10 11 the state register of proposed regulations defining "underutilized" as 12 provided in subdivision thirty of section 27-1405 of the environmental 13 conservation law, whichever shall be later], that its request for 14 participation has been accepted under subdivision six of section 27-1407 15 of the environmental conservation law, the applicable percentage for the 16 tangible property credit component of the brownfield redevelopment tax 17 credit pursuant to paragraph three of [subdivision (a) of] this 18 [section] subdivision shall be the sum of ten percent and the following 19 additional percentages, provided that if the sum is greater than twenty-four percent, the total percentage of the tangible property credit 20 21 component shall be twenty-four percent and is otherwise subject to the 22 limitations set forth in paragraphs three and three-a of [subdivision 23 (a) of] this [section] subdivision: 24 (i) five percent for a site which: 25 (1) is located within an environmental zone; or 26 (2) is in a disadvantaged community as that term is defined in section 27 27-1405 of the environmental conservation law for which the department 28 of environmental conservation has issued a notice to the taxpayer on or 29 after January first, two thousand twenty-three that its request for participation has been accepted under subdivision six of section 27-1407 30 31 of the environmental conservation law; 32 (ii) five percent for a site located within a designated brownfield 33 opportunity area and that is [developed in conformance with the goals 34 and priorities established for that applicable brownfield opportunity area] a conforming BOA site as that term is defined in section 27-1405 35 36 of the environmental conservation law; 37 (iii) five percent for a site developed as affordable housing, as 38 defined in section 27-1405 of the environmental conservation law; 39 five percent for a site to be used primarily for manufacturing (iv) 40 activities as such term is defined in subparagraph (B) of paragraph 41 three-a of this subdivision; [and] 42 five percent for sites remediated to Track 1 as that term is (v) 43 defined in subdivision four of section 27-1415 of the environmental 44 conservation law; and 45 (vi) for a qualified site for which the department of environmental 46 conservation has issued a notice to the taxpayer on or after January 47 first, two thousand twenty-three that its request for participation has been accepted under subdivision six of section 27-1407 of the environ-48 49 mental conservation law, five percent for sites developed as renewable 50 energy facility sites as defined in section 27-1405 of the environmental 51 conservation law. 52 § 7. Paragraph 2 of subdivision (b) of section 21 of the tax law, as 53 amended by section 23 of part BB of chapter 56 of the laws of 2015, is 54 amended to read as follows: 55 (2) Site preparation costs. The term "site preparation costs" shall mean all amounts properly chargeable to a capital account, which are 56



1 paid or incurred which are necessary to implement a site's investi-2 gation, remediation, or qualification for a certificate of completion, and shall include costs of: excavation; demolition; activities undertak-3 en under the oversight of the department of labor or in accordance with 4 standards established by the department of health to remediate and 5 dispose of regulated materials including asbestos, lead or polychlori-6 nated biphenyls; environmental consulting; engineering; legal costs; 7 transportation, disposal, treatment or containment of contaminated soil; 8 remediation measures taken to address contaminated soil vapor; cover 9 systems consistent with applicable regulations; physical support of 10 excavation; dewatering and other work to facilitate or enable remedi-11 12 ation activities; sheeting, shoring, and other engineering controls 13 required to prevent off-site migration of contamination from the quali-14 fied site or migrating onto the qualified site; and the costs of fenc-15 ing, temporary electric wiring, scaffolding, and security facilities 16 until such time as the certificate of completion has been issued. Site 17 preparation shall include all costs paid or incurred within sixty months 18 after the last day of the tax year in which the certificate of 19 completion is issued that are necessary for compliance with the certificate of completion or subsequent modifications thereof, or the remedial 20 21 program defined in such certificate of completion including but not 22 limited to institutional controls, engineering controls, an approved 23 site management plan, and an environmental easement with respect to the 24 qualified site. Provided, however, with respect to any qualified site 25 for which a certificate of completion has been issued on or after July 26 first, two thousand fifteen but on or before June twenty-fourth, two 27 thousand twenty-one, site preparation costs shall include all such costs 28 paid or incurred within eighty-four months after the last day of the tax year in which the certificate of completion is issued. Site preparation 29 cost shall not include the costs of foundation systems that exceed the 30 31 cover system requirements in the regulations applicable to the qualified 32 site.

33 § 8. Paragraph 4 of subdivision (b) of section 21 of the tax law, as 34 amended by section 23 of part BB of chapter 56 of the laws of 2015, is 35 amended to read as follows:

36 (4) On-site groundwater remediation costs. The term "on-site groundwa-37 ter remediation costs" shall mean all amounts properly chargeable to a 38 capital account, which are paid or incurred which are necessary to 39 implement a site's groundwater investigation, remediation, or qualifica-40 tion for a certificate of completion not already covered under site 41 preparation costs, and shall include costs of: environmental consulting; 42 engineering; legal costs; transportation, disposal, treatment or 43 containment of contaminated groundwater; sheeting, shoring, and other 44 engineering controls required to prevent off-site migration of groundwa-45 ter contamination from the qualified site or migrating onto the quali-46 fied site; and the costs of fencing, temporary electric wiring and secu-47 rity facilities until such time as the certificate of completion is issued. On-site groundwater remediation costs shall include all costs 48 49 paid or incurred within sixty months after the last day of the tax year in which the certificate of completion is issued that are necessary for 50 51 compliance with the certificate of completion or subsequent modifica-52 tions thereof, or the groundwater remedial program defined in such certificate of completion including but not limited to institutional 53 54 controls, engineering controls, an approved site management plan specif-55 ic to on-site groundwater remediation, and an environmental easement with respect to the qualified site. Provided, however, with respect to 56



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1 any qualified site for which a certificate of completion has been issued on or after July first, two thousand fifteen but on or before June twenty-fourth, two thousand twenty-one, on-site groundwater remediation costs shall include all such costs paid or incurred within eighty-four months after the last day of the tax year in which the certificate of

6 completion is issued.

7 9. Section 31 of part H of chapter 1 of the laws of 2003, amending § 8 the tax law relating to brownfield redevelopment tax credits, remediated brownfield credit for real property taxes for qualified sites and envi-9 ronmental remediation insurance credits, as amended by section 32 of 10 part BB of chapter 56 of the laws of 2015, is amended to read as 11 12 follows:

13 § 31. The tax credits allowed under section 22 or 23 of the tax law 14 and the corresponding provisions in articles 9, 9-A, 22 and 33 of the 15 tax law, as added by the provisions of sections one through twenty-nine 16 of this act, shall not be applicable to any site accepted into the brownfield cleanup program on and after July 1, 2015 [or the date of 17 18 publication in the state register of proposed regulations defining 19 "underutilized" as provided in subdivision 30 of section 27-1405 of the environmental conservation law, whichever shall be later]. The tax cred-20 21 its allowed under section 21 of the tax law and the corresponding 22 provisions in articles 9, 9-A, 22 and 33 of the tax law, as added by the provisions of sections one through twenty-nine of this act, shall not be 23 applicable to any site accepted into the brownfield cleanup program 24 25 after December 31, [2022] 2032, provided, however that any sites accepted on or before December 31, [2022] 2032 must have received the 26 27 certificate of completion required to qualify for any of such credits on 28 or before [March] December 31, [2026] 2036.

- 29 § 10. This act shall take effect immediately.
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## PART MM

Section 1. Subdivision 1 and the opening paragraph of subdivision 2 of 31 section 27-1905 of the environmental conservation law, as amended by 32 section 1 of part E of chapter 58 of the laws of 2019, are amended to 33 34 read as follows:

35 1. Until December thirty-first, two thousand [twenty-two] 36 twenty-seven, accept from a customer, waste tires of approximately the same size and in a quantity equal to the number of new tires purchased 37 38 or installed by the customer; and

39 Until December thirty-first, two thousand [twenty-two] twenty-seven, 40 post written notice in a prominent location, which must be at least 41 eight and one-half inches by fourteen inches in size and contain the 42 following language:

43 § 2. Subdivisions 1, 2, 3 and paragraph (a) of subdivision 6 of 44 section 27-1913 of the environmental conservation law, as amended by 45 section 2 of part E of chapter 58 of the laws of 2019, are amended to 46 read as follows:

47 Until December thirty-first, two thousand [twenty-two] 1. twenty-seven, a waste tire management and recycling fee of 48 two dollars and fifty cents shall be charged on each new tire sold. The fee shall be 49 50 paid by the purchaser to the tire service at the time the new tire or new motor vehicle is purchased. 51

52 The waste tire management and recycling fee does not apply to:
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53 (a) recapped or resold tires;

(b) mail-order sales; or 54



1 (c) the sale of new motor vehicle tires to a person solely for the 2 purpose of resale provided the subsequent retail sale in this state is 3 subject to such fee.

thirty-first, two 4 2. Until December thousand [twenty-two] 5 twenty-seven, the tire service shall collect the waste tire management 6 and recycling fee from the purchaser at the time of the sale and shall remit such fee to the department of taxation and finance with the guar-7 terly report filed pursuant to subdivision three of this section. 8

9 (a) The fee imposed shall be stated as an invoice item separate and 10 distinct from the selling price of the tire.

11 (b) The tire service shall be entitled to retain an allowance of twen-12 ty-five cents per tire from fees collected.

13 3. [Until March thirty-first, two thousand twenty-three, each] Each 14 tire service maintaining a place of business in this state shall make a 15 return to the department of taxation and finance on a quarterly basis, 16 with the return for December, January, and February being due on or before the immediately following March thirty-first; the return for 17 April, and May being due on or before the immediately following 18 March, June thirtieth; the return for June, July, and August being due on or 19 20 before the immediately following September thirtieth; and the return for 21 September, October, and November being due on or before the immediately 22 following December thirty-first.

23 (a) Each return shall include:

24 (i) the name of the tire service;

(ii) the address of the tire service's principal place of business and the address of the principal place of business (if that is a different address) from which the tire service engages in the business of making retail sales of tires;

29 (iii) the name and signature of the person preparing the return;

30 (iv) the total number of new tires sold at retail for the preceding 31 quarter and the total number of new tires placed on motor vehicles prior 32 to original retail sale;

33 (v) the amount of waste tire management and recycling fees due; and

34 (vi) such other reasonable information as the department of taxation 35 and finance may require.

36 (b) Copies of each report shall be retained by the tire service for 37 three years.

38 If a tire service ceases business, it shall file a final return and 39 remit all fees due under this title with the department of taxation and 40 finance not more than one month after discontinuing that business.

41 (a) Until December thirty-first, two thousand [twenty-two] twenty-sev-42 en, any additional waste tire management and recycling costs of the tire 43 service in excess of the amount authorized to be retained pursuant to 44 paragraph (b) of subdivision two of this section may be included in the 45 published selling price of the new tire, or charged as a separate pertire charge on each new tire sold. When such costs are charged as a 46 47 separate per-tire charge: (i) such charge shall be stated as an invoice item separate and distinct from the selling price of the tire; (ii) the 48 invoice shall state that the charge is imposed at the sole discretion of 49 50 the tire service; and (iii) the amount of such charge shall reflect the 51 actual cost to the tire service for the management and recycling of 52 waste tires accepted by the tire service pursuant to section 27-1905 of 53 this title, provided however, that in no event shall such charge exceed 54 two dollars and fifty cents on each new tire sold.



1 § 3. Subdivision 3 of section 27-1913 of the environmental conserva-2 tion law, as amended by section two of this act, is amended to read as 3 follows: 3. Each tire service maintaining a place of business in this state 4 5 shall make a return to the department of taxation and finance [on a quarterly basis, with the return for December, January, and February 6 7 being due on or before the immediately following March thirty-first; the 8 return for March, April, and May being due on or before the immediately 9 following June thirtieth; the return for June, July, and August being due on or before the immediately following September thirtieth; and the 10 11 return for September, October, and November being due on or before the 12 immediately following December thirty-first. 13 (a) Each return shall include: 14 (i) the name of the tire service; 15 (ii) the address of the tire service's principal place of business and 16 the address of the principal place of business (if that is a different 17 address) from which the tire service engages in the business of making retail sales of tires; 18 19 (iii) the name and signature of the person preparing the return; 20 (iv) the total number of new tires sold at retail for the preceding 21 quarter and the total number of new tires placed on motor vehicles prior to original retail sale; 22 23 (v) the amount of waste tire management and recycling fees due; and 24 such other reasonable information as the department of taxation (vi) 25 and finance may require. 26 (b) Copies of each report shall be retained by the tire service for 27 three years. 28 If a tire service ceases business, it shall file a final return and 29 remit all fees due under this title with the department of taxation and finance not more than one month after discontinuing that business] on 30 31 such form and including such information as the commissioner of taxation 32 and finance may require. Such returns shall be due at the same time and 33 for the same periods as the sales tax return of such tire service, in 34 accordance with section eleven hundred thirty-six of the tax law, and payment of all fees due for such periods shall be remitted with such 35 36 returns. 37 § 4. Subdivision 5 of section 27-1913 of the environmental conserva-38 tion law, as added by section 2 of part E of chapter 686 of the laws of 2003, is amended to read as follows: 39 40 5. (a) The provisions of article [twenty-seven] twenty-eight of the 41 tax law, including the provisions relating to definitions, exemptions, 42 returns, personal liability for the tax, collection of tax from the 43 customer, payment of tax and the administration of the tax imposed, 44 shall apply to the provisions of this section in the same manner and with the same force and effect as if the language of such article had 45 been incorporated in full into this section and had expressly referred 46 47 the fee under this section, except to the extent that any provision to 48 of such article is either inconsistent with a provision of this section or is not relevant to this section. For purposes of this section, any 49 50 reference to a tax or the taxes imposed by article twenty-eight of the 51 tax law shall be deemed also to refer to the waste tire management and 52 recycling fee imposed under the authority of this section unless a 53 different meaning is clearly required. 54 (b) Notwithstanding the provisions of paragraph (a) of this subdivi-55 sion, the exemptions provided in section eleven hundred sixteen of the 56 tax law shall not apply to this section except with respect to the enti-



1 ties described in paragraphs one, two, three and six of subdivision (a) 2 of such section. § 5. This act shall take effect immediately; provided that sections 3 three and four of this act shall take effect on March 1, 2023; provided, 4 5 further, that the return for the quarterly period ending on the last day of February, 2023 shall be due on March 31, 2023, and any fees required 6 to be collected and paid for such period must be remitted with such 7 8 return. 9 PART NN 10 Section 1. Sections 1, 2, and 3 of section 1 and section 2 of part ጥጥ 11 of chapter 59 of the laws of 2021 authorizing the creation of state debt 12 in the amount of three billion dollars, in relation to creating the 13 environmental bond act of 2022 "restore mother nature" for the purposes 14 of environmental improvements that preserve, enhance, and restore New 15 York's natural resources and reduce the impact of climate change; and providing for the submission to the people of a proposition or question 16 17 therefor to be voted upon at the general election to be held in November, 2022, are amended to read as follows: 18 19 § 1. Short title. This act shall be known and may be cited as the 20 "<u>clean water, clean air, and green jobs</u> environmental bond act of 2022 21 [restore mother nature]". 22 § 2. Creation of state debt. The creation of state debt in an amount 23 not exceeding in the aggregate [three] <u>four</u> billion dollars 24 [(\$3,000,000,000)] <u>(\$4,000,000,000)</u> is hereby authorized to provide 25 moneys for the single purpose of making environmental improvements that 26 preserve, enhance, and restore New York's natural resources and reduce 27 the impact of climate change by funding capital projects for: restora-28 tion and flood risk reduction not less than one billion two hundred 29 <u>million</u> dollars [(\$1,000,000,000)] (\$1,200,000,000); open space land 30 conservation and recreation up to [five] six hundred fifty million 31 dollars [(\$550,000,000)] (\$650,000,000); climate change mitigation up to [seven hundred] one billion one hundred million dollars [(\$700,000,000)] 32 33 (\$1,100,000,000); and, water quality improvement and resilient infrastructure not less than [five] six hundred fifty million dollars 34 [(\$550,000,000)] <u>(\$650,000,000)</u>. 35 36 § 3. Bonds of the state. The state comptroller is hereby authorized 37 and empowered to issue and sell bonds of the state up to the aggregate 38 amount of [three] four billion dollars [(\$3,000,000,000)]39 (\$4,000,000,000) for the purposes of this act, subject to the provisions 40 of article 5 of the state finance law. The aggregate principal amount of 41 bonds shall not exceed [three] four billion such dollars 42 [(\$3,000,000,000)] (\$4,000,000,000) excluding bonds issued to refund or 43 otherwise repay bonds heretofore issued for such purpose; provided, 44 however, that upon any such refunding or repayment, the total aggregate 45 principal amount of outstanding bonds may be greater than [three] four billion dollars [(\$3,000,000,000)] (\$4,000,000,000) only if the present 46 47 value of the aggregate debt service of the refunding or repayment bonds to be issued shall not exceed the present value of the aggregate debt 48 service of the bonds to be refunded or repaid. The method for calculat-49 50 ing present value shall be determined by law. 51 § 2. This act shall take effect immediately, provided that the

51 § 2. This act shall take effect immediately, provided that the 52 provisions of section one of this act shall not take effect unless and 53 until this act shall have been submitted to the people at the general 54 election to be held in November 2022 and shall have been approved by a



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1 majority of all votes cast for and against it at such general election. Upon approval by the people, section one of this act shall take effect 2 immediately. The ballots to be furnished for the use of voters upon 3 submission of this act shall be in the form prescribed by the election 4 law and the proposition or question to be submitted shall be printed 5 thereon in the following form, namely "To address and combat the impact 6 of climate change and damage to the environment, the "Clean Water, Clean 7 8 Air, and Green Jobs Environmental Bond Act of 2022 ["Restore Mother Nature] " authorizes the sale of state bonds up to [three] four billion 9 dollars to fund environmental protection, natural restoration, resilien-10 cy, and clean energy projects. Shall the Environmental Bond Act of 2022 11 12 be approved?".

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13 § 2. This act shall take effect immediately.

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# PART OO

15 Section 1. The article heading of article 58 of the environmental 16 conservation law, as added by section 1 of part UU of chapter 59 of the 17 laws of 2021, is amended to read as follows:

18IMPLEMENTATION OF THE ENVIRONMENTAL BOND ACT OF 2022 "[RESTORE MOTHER19NATURE]CLEAN WATER, CLEAN AIR, AND GREEN JOBS"

20 § 2. Subdivision 1 of section 58-0101 of the environmental conserva-21 tion law, as added by section 1 of part UU of chapter 59 of the laws of 22 2021, is amended to read as follows:

1. "Bonds" shall mean general obligation bonds issued pursuant to the
 environmental bond act of 2022 "[restore mother nature] <u>clean water</u>,
 <u>clean air</u>, <u>and green jobs</u>" in accordance with article VII of the New
 York state constitution and article five of the state finance law.

S 3. Section 58-0103 of the environmental conservation law, as added by section 1 of part UU of chapter 59 of the laws of 2021, is amended to read as follows:

30 § 58-0103. Allocation of moneys.

The moneys received by the state from the sale of bonds pursuant to the environmental bond act of 2022 shall be disbursed in the following amounts pursuant to appropriations as specifically provided for in titles three, five, seven, and nine of this article:

35 1. Not less than one billion <u>two hundred million</u> dollars 36 [(\$1,000,000,000)] <u>(\$1,200,000,000)</u> for restoration and flood risk 37 reduction as set forth in title three of this article.

38 2. Up to [five] <u>six</u> hundred fifty million dollars [(\$550,000,000)]
39 (\$650,000,000) for open space land conservation and recreation as set
40 forth in title five of this article.

41 3. Up to [seven] <u>one billion one</u> hundred million dollars 42 [(\$700,000,000)] <u>(\$1,100,000,000)</u> for climate change mitigation as set 43 forth in title seven of this article.

44 4. Not less than [five] <u>six</u> hundred fifty million dollars 45 [(\$550,000,000)] <u>(\$650,000,000)</u> for water quality improvement and resil-46 ient infrastructure as set forth in title nine of this article.

47 § 4. Subdivision 1 of section 58-0105 of the environmental conserva-48 tion law, as added by section 1 of part UU of chapter 59 of the laws of 49 2021, is amended to read as follows:

50 1. Administer funds generated pursuant to the environmental bond act 51 of 2022 "[restore mother nature] <u>clean water, clean air, and green</u> 52 jobs".



1 § 5. Section 58-0301 of the environmental conservation law, as added 2 by section 1 of part UU of chapter 59 of the laws of 2021, is amended to 3 read as follows:

4 § 58-0301. Allocation of moneys.

Of the moneys received by the state from the sale of bonds pursuant to 5 environmental bond act of 2022, not less than one billion two 6 the hundred million dollars [(\$1,000,000,000)] (\$1,200,000,000) shall be 7 8 available for disbursements for restoration and flood risk reduction projects developed pursuant to section 58-0303 of this title. Not more 9 than two hundred fifty million dollars (\$250,000,000) of this amount 10 11 shall be available for projects pursuant to subdivision two of section 12 58-0303 of this title and not less than one hundred million dollars 13 (\$100,000,000) each shall be available for coastal rehabilitation and 14 shoreline restoration projects and projects which address inland flood-15 ing, pursuant to paragraph a of subdivision one of section 58-0303 of 16 this title.

17 § 6. Section 58-0501 of the environmental conservation law, as added 18 by section 1 of part UU of chapter 59 of the laws of 2021, is amended to 19 read as follows:

20 § 58-0501. Allocation of moneys.

21 Of the moneys received by the state from the sale of bonds pursuant to 22 the environmental bond act of 2022 to be used for open space land conservation and recreation projects, up to [five] six hundred fifty 23 24 million dollars [(\$550,000,000)] (\$650,000,000) shall be available for programs, plans, and projects developed pursuant to section 58-0503 of 25 this title, however, not more than seventy-five million 26 dollars 27 (\$75,000,000) shall be made available for the creation of a fish hatch-28 ery, or the improvement, expansion, repair or maintenance of existing 29 hatcheries, hundred million dollars fish not less than two (\$200,000,000) shall be made available for open space land conservation 30 projects pursuant to paragraph a of subdivision one of section 58-0503 31 of this title and not less than one 32 hundred million dollars 33 (\$100,000,000) shall be made available for farmland protection pursuant 34 to paragraph b of subdivision one of section 58-0503 of this title.

35 § 7. Section 58-0701 of the environmental conservation law, as added 36 by section 1 of part UU of chapter 59 of the laws of 2021, is amended to 37 read as follows:

38 § 58-0701. Allocation of moneys.

Of the moneys received by the state from the sale of bonds pursuant to the environmental bond act of 2022, up to [seven] <u>one billion one</u> hundred million dollars [(\$700,000,000)] <u>(\$1,100,000,000)</u> shall be made available for disbursements for climate change mitigation projects developed pursuant to section 58-0703 of this title. Not less than three hundred fifty million dollars (\$350,000,000) of this amount shall be available for green buildings projects.

46 § 8. Section 58-0901 of the environmental conservation law, as added 47 by section 1 of part UU of chapter 59 of the laws of 2021, is amended to 48 read as follows:

49 § 58-0901. Allocation of moneys.

Of the moneys received by the state from the sale of bonds pursuant to the environmental bond act of 2022 for disbursements for state assistance for water quality improvement projects as defined by title one of this article, not less than [five] <u>six</u> hundred fifty million dollars [(\$550,000,000)] <u>(\$650,000,000</u>) shall be available for water quality improvement projects developed pursuant to section 58-0903 of this title. Not less than two hundred million dollars (\$200,000,000) of this



1 amount shall be available for wastewater infrastructure projects under-2 taken pursuant to the New York state water infrastructure improvement 3 act of 2017 pursuant to paragraph e of subdivision one of section 58-0903 of this title, and not less than one hundred million dollars 4 (\$100,000,000) shall be available for municipal stormwater projects 5 pursuant to paragraph a of subdivision one of section 58-0903 of this 6 7 title. § 9. Subdivision 1 of section 58-1103 of the environmental conserva-8 tion law, as added by section 1 of part UU of chapter 59 of the laws of 9 10 2021, is amended to read as follows: 11 1. No later than sixty days following the end of each fiscal year, each department, agency, public benefit corporation, and public authori-12 13 ty receiving an allocation or allocations of appropriation financed from 14 the [restore mother nature] clean water, clean air, and green jobs envi-15 ronmental bond act of 2022 shall submit to the commissioner in a manner 16 and form prescribed by the department, the following information as of 17 March thirty-first of such fiscal year, within each category listed in 18 this title: the total appropriation; total commitments; year-to-date 19 disbursements; remaining uncommitted balances; and a description of each 20 project. 21 § 10. Section 97-tttt of the state finance law, as added by section 2 22 of part UU of chapter 59 of the laws of 2021, is amended to read as 23 follows: 24 § 97-tttt. [Restore mother nature] Clean water, clean air, and green 25 jobs bond fund. 1. There is hereby established in the joint custody of the state comptroller and the commissioner of taxation and finance a 26 27 special fund to be known as the "[restore mother nature] clean water, 28 clean air, and green jobs bond fund". 2. The state comptroller shall deposit into the [restore mother 29 30 nature] clean water, clean air, and green jobs bond fund all moneys received by the state from the sale of bonds and/or notes for uses 31 eligible pursuant to section four of the environmental bond act of 2022 32 33 "[restore mother nature] clean water, clean air, and green jobs". 34 3. Moneys in the [restore mother nature] clean water, clean air, and 35 green jobs bond fund, following appropriation by the legislature and 36 allocation by the director of the budget, shall be available only for 37 reimbursement of expenditures made from appropriations from the capital 38 projects fund for the purpose of the [restore mother nature] clean 39 water, clean air, and green jobs bond fund, as set forth in the environ-40 mental bond act of 2022 "[restore mother nature] clean water, clean air, 41 and green jobs". 42 4. No moneys received by the state from the sale of bonds and/or notes 43 sold pursuant to the environmental bond act of 2022 "[restore mother 44 nature] clean water, clean air, and green jobs" shall be expended for 45 any project until funds therefor have been allocated pursuant to the 46 provisions of this section and copies of the appropriate certificates of 47 approval filed with the chair of the senate finance committee, the chair 48 of the assembly ways and means committee and the state comptroller. 49 § 11. Subdivision 32 of section 61 of the state finance law, as added by section 3 of part UU of chapter 59 of the laws of 2021, is amended to 50 51 read as follows: 52 32. Thirty years. For the payment of "[restore mother nature] <u>clean</u> water, clean air, and green jobs" projects, as defined in article 53 fifty-eight of the environmental conservation law and undertaken pursu-54 55 ant to a chapter of the laws of two thousand twenty-one, enacting and constituting the environmental bond act of 2022 "[restore mother nature] 56



clean water, clean air, and green jobs". Thirty years for flood control 1 2 infrastructure, other environmental infrastructure, wetland and other habitat restoration, water quality projects, acquisition of land, 3 including acquisition of real property, and renewable energy projects. 4 5 Notwithstanding the foregoing, for the purposes of calculating annual debt service, the state comptroller shall apply a weighted average peri-6 od of probable life of [restore mother nature] clean water, clean air, 7 8 and green jobs projects, including any other works or purposes to be financed with state debt. Weighted average period of probable life shall 9 be determined by computing the sum of the products derived from multi-10 11 plying the dollar value of the portion of the debt contracted for each 12 work or purpose (or class of works or purposes) by the probable life of 13 such work or purpose (or class of works or purposes) and dividing the 14 resulting sum by the dollar value of the entire debt after taking into 15 consideration any original issue premium or discount.

16 § 12. Section 5 of part UU of chapter 59 of the laws of 2021 amending 17 the environmental conservation law and the state finance law relating to 18 the implementation of the environmental bond act of 2022 "restore mother 19 nature", is amended to read as follows:

20 5. This act shall take effect only in the event that section 1 of S 21 part TT of the chapter of the laws of 2021 enacting the environmental 22 bond act of 2022 "[restore mother nature] clean water, clean air, and 23 green jobs" is submitted to the people at the general election to be 24 held in November 2022 and is approved by a majority of all votes cast for and against it at such election. Upon such approval, this act shall 25 26 take effect immediately; provided that the commissioner of environmental 27 conservation shall notify the legislative bill drafting commission upon 28 the occurrence of the enactment of section 1 of part TT of the chapter 29 of the laws of 2021 enacting the environmental bond act of 2022 "[restore mother nature] clean water, clean air, and green jobs", 30 in order that the commission may maintain an accurate and timely effective 31 data base of the official text of the laws of the state of New York in 32 furtherance of effectuating the provisions of section 44 of the legisla-33 tive law and section 70-b of the public officers law. Effective imme-34 35 diately, the addition, amendment, and/or repeal of any rule or regulation necessary for the implementation of the foregoing sections of 36 37 this act are authorized [and directed] to be made and completed on or before such effective date. 38

39 § 13. This act shall take effect immediately; provided, however that 40 sections one, two, three, four, five, six, seven, eight, nine, ten and 41 eleven of this act shall take effect on the same date and in the same 42 manner as part UU of chapter 59 of the laws of 2021, takes effect.

# 43

### PART PP

44 Section 1. Subdivision (a) of section 1421 of the tax law, as amended 45 by section 4 of part OOO of chapter 59 of the laws of 2019, is amended 46 to read as follows:

47 (a) From the taxes, interest and penalties attributable to the tax 48 imposed pursuant to section fourteen hundred two of this article, the 49 amount of one hundred ninety-nine million three hundred thousand dollars 50 shall be deposited by the comptroller in the environmental protection 51 fund established pursuant to section ninety-two-s of the state finance law for the fiscal year beginning April first, two thousand nine; the 52 amount of one hundred nineteen million one hundred thousand dollars 53 shall be deposited in such fund for the fiscal year beginning April 54



1 first, two thousand ten; the amount of two hundred fifty-seven million 2 three hundred fifty thousand dollars shall be deposited into such fund for the fiscal year beginning April first, two thousand twenty-two; and 3 for each fiscal year thereafter. On or before June twelfth, nineteen 4 5 hundred ninety-five and on or before the twelfth day of each month thereafter (excepting the first and second months of each fiscal year), 6 the 7 comptroller shall deposit into such fund from the taxes, interest and 8 penalties collected pursuant to such section fourteen hundred two of this article which have been deposited and remain to the comptroller's 9 10 credit in the banks, banking houses or trust companies referred to in 11 section one hundred seventy-one-a of this chapter at the close of busi-12 ness on the last day of the preceding month, an amount equal to one-13 tenth of the annual amount required to be deposited in such fund pursu-14 ant to this section for the fiscal year in which such deposit is 15 required to be made. In the event such amount of taxes, interest and 16 penalties so remaining to the comptroller's credit is less than the 17 amount required to be deposited in such fund by the comptroller, an 18 amount equal to the shortfall shall be deposited in such fund by the 19 comptroller with subsequent deposits, as soon as the revenue is avail-20 able. Beginning April first, nineteen hundred ninety-seven, the comp-21 troller shall transfer monthly to the clean water/clean air fund estab-22 lished pursuant to section ninety-seven-bbb of the state finance law, 23 all moneys remaining from such taxes, interest and penalties collected 24 that are not required for deposit in the environmental protection fund. § 2. This act shall take effect immediately. 25

26

## PART QQ

27 Section 1. Subdivisions 2, 3 and 7 of section 24-0105 of the environ-28 mental conservation law, as added by chapter 614 of the laws of 1975, 29 subdivision 7 as renumbered by chapter 654 of the laws of 1977, are 30 amended to read as follows:

21 2. Considerable acreage of freshwater wetlands in the state of New 32 York has been lost, despoiled or impaired by unregulated draining, 33 dredging, filling, excavating, building, pollution or other [acts] 34 <u>activities</u> inconsistent with the natural uses of such areas. [Other 35 freshwater] <u>Freshwater</u> wetlands are in jeopardy of being lost, despoiled 36 or impaired by such [unrelated acts] <u>activities</u>.

37 3. Recurrent flooding aggravated or caused by the loss of freshwater 38 wetlands has serious effects upon natural ecosystems <u>and communities</u>. 39 <u>The increasing severity and duration of storm-related flooding due to</u> 40 <u>climate change, which has caused billions of dollars of property damage</u> 41 <u>across the state, makes protection of all freshwater wetlands in the</u> 42 <u>state of vital importance.</u>

43 7. Any loss of freshwater wetlands deprives the people of the state of 44 some or all of the many and multiple benefits to be derived from 45 wetlands, to wit:

46 (a) flood and storm control by the hydrologic absorption and storage 47 capacity of freshwater wetlands;

(b) wildlife habitat by providing breeding, nesting and feeding
grounds and cover for many forms of wildlife, wildfowl and shorebirds,
including migratory wildfowl and rare, endangered or threatened species
[such as the bald eagle and osprey];

52 (c) protection of subsurface water resources and provision for valu-53 able watersheds and recharging ground water supplies;



1 (d) recreation by providing areas for hunting, fishing, boating, 2 hiking, bird watching, photography, camping and other uses; 3 (e) pollution treatment by serving as biological and chemical oxida-4 tion basins; 5 (f) erosion control by serving as sedimentation areas and filtering 6 basins, absorbing silt and organic matter and protecting channels and 7 harbors; 8 (g) education and scientific research by providing readily accessible 9 outdoor bio-physical laboratories, living classrooms and vast training 10 and education resources; [and] 11 (h) open space and aesthetic appreciation by providing often the only 12 remaining open areas along crowded river fronts and coastal Great Lakes 13 regions; [and] 14 (i) sources of nutrients in freshwater food cycles and nursery grounds 15 and sanctuaries for freshwater fish[.]; 16 (j) supporting a diversity of plant species that are rare, endangered 17 or threatened, or exploitably vulnerable as defined in section 9-1503 of 18 this chapter; and 19 (k) supporting a diversity of communities of plants and animals that 20 are deemed by the commissioner to be rare in the state or in a region of 21 <u>the state.</u> 22 § 2. The opening paragraph and paragraphs (c) and (d) of subdivision and subdivisions 2, 3 and 8 of section 24-0107 of the environmental 23 1, conservation law, as amended by chapter 654 of the laws of 1977, are 24 25 amended and two new subdivisions 9 and 10 are added to read as follows: "Freshwater wetlands" means lands and waters of the state [as shown on 26 27 the freshwater wetlands map] that have an area of at least twelve and 28 four-tenths acres or, if less than twelve and four-tenths acres in size, 29 are of unusual importance, and which contain any or all of the follow-30 ing: 31 (c) lands and waters substantially enclosed by aquatic or semi-aquatic vegetation as set forth in paragraph (a) of this subdivision or by dead 32 33 vegetation as set forth in paragraph (b) of this subdivision, the regulation of which is necessary to protect and preserve the aquatic and 34 35 semi-aquatic vegetation; and 36 (d) the waters overlying the areas set forth in <u>paragraphs</u> (a) and (b) of this subdivision and the lands underlying paragraph (c) of this 37 38 subdivision. 39 "Freshwater wetlands map" shall mean a map promulgated by the 2. 40 department pursuant to section 24-0301 of this article on which are 41 indicated the boundaries of any freshwater wetlands. Freshwater wetland 42 maps depict the approximate location of wetlands and are not necessarily determinative as to whether a permit is required pursuant to section 43 44 24-0701 of this article. There is a rebuttable presumption that mapped and unmapped areas meeting the definition of a freshwater wetland in 45 46 this section are regulated and subject to permit requirements. This 47 presumption may be rebutted by presenting information to the department that the area does not meet the definition contained in this section. A 48 49 wetland delineation by the department, or a verification by the depart-50 ment of a wetland delineation by another party, is required to identify the regulated freshwater wetland boundary in a particular location. 51 52 3. "Boundaries of a freshwater wetland" shall mean the outer limit of 53 the vegetation specified in paragraphs (a) and (b) of subdivision one of this section [24-0107] and of the lands and waters specified in para-54 55 graph (c) of such subdivision.



1	8. "Pollution" shall mean the presence in the environment of [man-in-
2	duced] <u>human-induced</u> conditions, or contaminants in quantities or char-
3	acteristics which are or may be injurious to human, plant or wildlife,
4	or other animal life or to property.
5	9. "Unusual importance" shall mean a freshwater wetland, regardless of
6	size, that possesses one or more of the following characteristics as
7	determined by the department:
8	(a) it is located in a watershed that has experienced significant
9	flooding in the past, or is expected to experience significant flooding
10	in the future from severe storm events related to climate change;
11	(b) it is located within an urbanized area, as defined by the United
12	States census bureau;
13	(c) it contains a plant species occurring in fewer than thirty-five
14	sites statewide or having fewer than five thousand individuals state-
15	wide;
16	(d) it contains habitat for an essential behavior of an endangered or
17	threatened species or a species of special concern as defined under
18	section 11-0535 of this chapter or listed as a species of greatest
19	conservation need in New York's wildlife action plan;
20	(e) it is classified by the department as a Class I wetland;
21	(f) it was previously classified and mapped by the department as a
22	wetland of unusual local importance; or
23	(g) it is determined by the commissioner to be of significant impor-
24	tance to protecting the state's water quality.
25	<u>10. "Delineation" shall mean a precise representation of a regulated</u>
26	freshwater wetland as defined in subdivisions one and three of this
20	section.
28	§ 3. Subdivisions 1, 2, 3, 4 and 5 of section 24-0301 of the environ-
20 29	mental conservation law are REPEALED.
30	§ 4. Subdivisions 6, 7 and 8 of section 24-0301 of the environmental
31	conservation law, subdivision 6 as amended by chapter 16 of the laws of
32	2010 and subdivision 7 as amended and subdivision 8 as added by chapter
33	654 of the laws of 1977, are amended to read as follows:
34	[6.] <u>1.</u> Except as provided in subdivision [eight] <u>three</u> of this
	section, the commissioner shall supervise the maintenance of [such boun-
35	_
36	dary] <u>freshwater wetlands</u> maps, which shall be available to the public
37	[for inspection and examination at the regional office of the department
38	in which the wetlands are wholly or partly located and in the office of
39	
40	is located] on the department's website. The commissioner may readjust
41	the map [thereafter to clarify the boundaries of the wetlands, to
42	correct any errors on the map, to effect any additions, deletions or
43	technical changes on the map, and to reflect changes as have occurred as
44	a result of the granting of permits pursuant to section 24-0703 of this
45	article, or natural changes which may have occurred through erosion,
46	accretion, or otherwise. Notice of such readjustment shall be given in
47	the same manner as set forth in subdivision five of this section for the
48	promulgation of final freshwater wetlands maps. In addition, at the time
49	notice is provided pursuant to subdivision five of this section, the
50	commissioner shall update any digital image of the map posted on the
51	department's website to reflect such readjustment] at any time to more
52	accurately depict the approximate location of wetlands.
53	[7.] 2. Except as provided in subdivision [eight] three of this
54	section, the commissioner may, upon [his] their own initiative, and
55	shall, upon a written request by a landowner whose land or a portion
56	thereof may be included within a wetland, or upon the written request of

1 another person or persons or an official body whose interests are shown 2 to be affected, cause to be delineated [more precisely] the boundary line or lines of a freshwater wetland or a portion thereof. 3 [Such more precise delineation of a freshwater wetland boundary line or lines shall 4 5 be of appropriate scale and sufficient clarity to permit the ready identification of individual buildings and of other major man-made struc-6 7 tures or facilities or significant geographical features with respect to the boundary of any freshwater wetland.] The commissioner shall under-8 take to delineate the boundary of a particular wetland or wetlands, or a 9 particular part of the boundary thereof only upon a showing by the 10 applicant therefor of good cause for such [more precise] delineation and 11 12 the establishment of such [more precise] line.

13 [8.] 3. The supervision of the maintenance of any freshwater wetlands 14 map or portion thereof applicable to wetlands within the Adirondack 15 park, the readjustment and precise delineation of wetland boundary lines 16 and the other functions and duties ascribed to the commissioner by subdivisions [six and seven] one and two of this section shall be 17 18 performed by the Adirondack park agency, which shall make such maps 19 available [for public inspection and examination at its headquarters] on 20 the agency's website.

S 5. Subdivisions 1 and 4 of section 24-0701 of the environmental conservation law, subdivision 1 as amended by chapter 654 of the laws of 1977 and subdivision 4 as amended by chapter 697 of the laws of 1979, are amended to read as follows:

[After issuance of the official freshwater wetlands map of the 25 1. 26 state, or of any selected section or region thereof, any] Any person 27 desiring to conduct activities on freshwater wetlands [as so designated 28 thereon any of the regulated activities set forth in subdivision two of 29 this section], or the regulated areas adjacent to these wetlands set forth in subdivision two of this section, must obtain a permit 30 as 31 provided in this title.

32 [The] On lands in active agricultural use, the activities of farm-4. 33 ers and other landowners in grazing and watering livestock, making 34 reasonable use of water resources, harvesting natural products of the wetlands, selectively cutting timber, draining land or wetlands for 35 growing agricultural products and otherwise engaging in the use of 36 37 wetlands or other land for growing agricultural products shall be 38 excluded from regulated activities and shall not require a permit under 39 subdivision one [hereof] of this section, except that structures not 40 required for enhancement or maintenance of the agricultural productivity 41 of the land and any filling activities shall not be excluded hereunder, 42 and provided that the use of land [designated as a freshwater wetland 43 upon the freshwater wetlands map at the effective date thereof] that 44 meets the definition of a freshwater wetland in section 24-0107 of this 45 article for uses other than those referred to in this subdivision shall 46 be subject to the provisions of this article. All activities on lands 47 that meet the definition of a freshwater wetland shall be subject to the provisions of this article once agricultural activities cease. 48

49 § 6. Subdivision 5 of section 24-0703 of the environmental conserva-50 tion law, as amended by section 38 of part D of chapter 60 of the laws 51 of 2012, is amended to read as follows:

52 5. [Prior to the promulgation of the final freshwater wetlands map in 53 a particular area and the implementation of a freshwater wetlands 54 protection law or ordinance, no person shall conduct, or cause to be 55 conducted, any activity for which a permit is required under section 56 24-0701 of this title on any freshwater wetland unless he has obtained a



1 permit from the commissioner under this section.] Any person may inquire 2 of the department as to whether or not a given parcel of land [will be designated] includes a freshwater wetland subject to regulation or a 3 regulated freshwater wetland adjacent area. The department shall give a 4 definite answer in writing within [thirty] sixty days of such request as 5 to [whether] the status of such parcel [will or will not be so desig-6 7 nated]. Provided that, in the event that weather or ground conditions 8 prevent the department from making a determination within [thirty] sixty days, it may extend such period until a determination can be made. Such 9 answer in the affirmative shall be reviewable; such an answer in the 10 11 negative shall be a complete defense to the enforcement of this article as to such parcel of land for a period of five years from the date the 12 13 department issues the negative answer. [The commissioner may by regu-14 lation adopted after public hearing exempt categories or classes of 15 wetlands or individual wetlands which he determines not to be critical 16 to the furtherance of the policies and purposes of this article.]

17 § 7. Subdivision 1 of section 24-0901 of the environmental conserva-18 tion law, as added by chapter 614 of the laws of 1975, is amended to 19 read as follows:

1. [Upon completion of the freshwater wetlands map, the] <u>The</u> commissioner shall confer with local government officials in each region in which the inventory has been conducted to establish a program for the protection of the freshwater wetlands of the state.

S 8. Subdivisions 1 and 5 of section 24-0903 of the environmental conservation law, as added by chapter 614 of the laws of 1975, are amended to read as follows:

27 1. [Upon completion of the freshwater wetlands map of the state, or of 28 any selected section or region thereof, the] The commissioner shall 29 [proceed to] classify freshwater wetlands so designated thereon according to their most appropriate uses, in light of the values set forth in 30 section 24-0105 of this article and the present conditions of such 31 wetlands. The commissioner shall determine what uses of such wetlands 32 are most compatible with the foregoing and shall prepare minimum land 33 use regulations to permit only such compatible uses. The classifications 34 may cover freshwater wetlands in more than one governmental subdivision. 35 36 Permits pursuant to section 24-0701 of this article are required whether 37 or not a classification has been promulgated.

38 5. Prior to the adoption of any land use regulations governing fresh-39 water wetlands, the commissioner shall hold a public hearing thereon in 40 the area in which the affected freshwater wetlands are located, and give 41 fifteen days prior notice thereof by posting on the department's website 42 or by publication at least once in a newspaper having general circu-43 lation in the area of the local government involved. The commissioner 44 shall promulgate the regulations within thirty days of such hearing and 45 post such order on the department's website or publish such order [at 46 least once] in a newspaper having general circulation in the area of the 47 local government affected and make such plan available for public inspection and review; such order shall not take effect until thirty 48 49 days after the filing thereof with the clerk of the county in which such 50 wetland is located.

51 § 9. Section 24-1305 of the environmental conservation law, as added 52 by chapter 771 of the laws of 1976, is amended to read as follows:

53 § 24-1305. Applicability.

54 The provisions of this article shall not apply to any land use, 55 improvement or development for which final approval shall have been 56 obtained prior to the effective date of this article from the local



1 governmental authority or authorities having jurisdiction over such land 2 use. As used in this section, the term "final approval" shall mean[:

3 (a) in the case of the subdivision of land, conditional approval of a 4 final plat as the term is defined in section two hundred seventy-six of 5 the town law, and approval as used in section 7-728 of the village law 6 and section thirty-two of the general cities law;

7 (b) in the case of a site plan not involving the subdivision of land, 8 approval by the appropriate body or office of a city, village or town of 9 the site plan; and

10 (c) in those cases not covered by subdivision (a) or (b) above,] the 11 issuance of a building permit or other authorization for the commence-12 ment of the use, improvement or development for which such permit or 13 authorization was issued or in those local governments which do not 14 require such permits or authorizations, the actual commencement of the 15 use, improvement or development of the land.

16 § 10. Subdivision 2 of section 34-0104 of the environmental conserva-17 tion law, as added by chapter 841 of the laws of 1981, is amended to 18 read as follows:

19 2. Upon completion of a preliminary identification of an erosion hazard area, the commissioner or [his] their designated hearing officer 20 21 shall hold a public hearing in a place reasonably accessible to resi-22 dents of the affected area in order to afford an opportunity for any person to propose changes in such preliminary identification. 23 The commissioner shall [give notice of such hearing to each owner of record, 24 25 as shown on the latest completed tax assessment rolls, of lands included within such area, and also to the chief executive officer and clerk of 26 27 each local government within the boundaries of which any portion of such 28 area may be located, by certified mail at least thirty days prior to the 29 date set for such hearing, and shall insure] ensure that a copy of the preliminary identification is available for public inspection at a 30 convenient location [in such local government]. The commissioner shall 31 also cause notice of such hearing to be published at least once, not 32 more than thirty days nor fewer than ten days before the date set for 33 such hearing, in at least one newspaper having general circulation in 34 the area involved and in the environmental notice publication provided 35 36 for under section 3-0306 of this chapter.

37 § 11. Subdivision 3 of section 34-0104 of the environmental conserva-38 tion law, as added by chapter 841 of the laws of 1981, is amended to 39 read as follows:

40 3. After considering the testimony given at such hearings and the 41 potential erosion hazard in accordance with the purposes and policies of 42 this article, and after consultation with affected local governments, 43 the commissioner shall issue the final identification of the erosion 44 hazard areas. Such final identification shall not be made less than sixty days from the date of the public hearing required by subdivision 45 46 two hereof. A copy of such final identification shall be filed in the 47 office of the clerk of each local government in which such area or any portion thereof is located. Notice [that such final identification has 48 49 been made shall be given each owner of lands included within the erosion 50 hazard area, as such ownership is shown on the latest completed tax assessment rolls, by certified mail in any case where a notice by certi-51 52 fied mail was not sent pursuant to subdivision two of this section, and in all other cases by first class mail. Such notice] shall also be given 53 such time to the chief executive officer of each local government 54 at within the boundaries of which such erosion hazard area or any portion 55 thereof is located. 56



1 § 12. Subdivision 8 of section 70-0117 of the environmental conserva-2 tion law, as added by section 1 of part AAA of chapter 59 of the laws of 3 2009, is amended to read as follows: 8. (a) All persons required to obtain a permit from the department 4 5 pursuant to section 24-0701 of this chapter shall submit to the depart-6 ment an application fee in an amount not to exceed the following: 7 [fifty] one hundred dollars per application for a [permit for a (i) 8 minor project as defined in this article or] modification to any existing permit issued pursuant to section 24-0701 of this chapter; 9 (ii) [fifty] three hundred dollars per application for [a permit for a 10 11 residential project defined as associated with] one <u>new</u> single family 12 dwelling and customary appurtenances thereto; 13 (iii) [one] <u>five</u> hundred dollars per application for <u>new multiple</u> 14 single family dwellings, new multiple family dwelling and customary 15 appurtenances thereto; 16 (iv) [two] one thousand dollars per application for new commercial or 17 industrial structures or improvements; 18 (v) one hundred dollars per application for a permit for any other 19 project as defined in this article. 20 (b) All persons required to obtain a permit from the department pursu-21 ant to section 25-0402 of this chapter shall submit to the department an 22 application fee in an amount not to exceed the following: 23 (i) [two] three hundred dollars per application for a permit for a 24 minor project as defined in this article or modification to any existing 25 permit issued pursuant to section 25-0402 of this chapter; (ii) 26 [nine hundred] two thousand dollars per application for subdivi-27 sion of land or new commercial or industrial structures or improvements; 28 (iii) one thousand dollars per application for a permit for a project 29 as defined in this article. (c) [All fees] Fees collected pursuant to [this] paragraph (a) of this 30 subdivision shall be deposited [into the environmental protection fund 31 pursuant to section ninety-two-s of the state finance law] to the credit 32 33 of the conservation fund. Fees collected pursuant to paragraph (b) of 34 this subdivision shall be deposited to the credit of the marine 35 resources account of the conservation fund. 36 (d) Application fees required pursuant to this subdivision will not be 37 required for any state department. 38 § 13. Subdivisions 1 and 2 of section 71-2303 of the environmental 39 conservation law, as amended by chapter 99 of the laws of 2010, are 40 amended to read as follows: 41 1. [Administrative] <u>Civil</u> sanctions. <u>a.</u> Any person who violates, diso-42 beys or disregards any provision of article twenty-four, including title 43 five and section 24-0507 thereof or any rule or regulation, local law or 44 ordinance, permit or order issued pursuant thereto, shall be liable to 45 the people of the state for a civil penalty of not to exceed eleven 46 thousand dollars for every such violation, to be assessed, after a hear-47 ing or opportunity to be heard upon due notice and with the rights to 48 specification of the charges and representation by counsel at such hear-49 ing, by the commissioner or local government or in an action initiated 50 by the attorney general pursuant to section 71-2305 of this title or on 51 the attorney general's own initiative. Each violation shall be a sepa-52 rate and distinct violation and, in the case of a continuing violation, 53 each day's continuance thereof shall be deemed a separate and distinct 54 violation. Such penalty assessed by the commissioner or local government 55 may be recovered in an action brought by the attorney general at the request and in the name of the commissioner or local government in any 56



1 court of competent jurisdiction. Such civil penalty may be released or 2 compromised by the commissioner or local government before the matter 3 has been referred to the attorney general; and where such matter has been referred to the attorney general, any such penalty may be released 4 or compromised and any action commenced to recover the same may be 5 6 settled and discontinued by the attorney general with the consent of the 7 commissioner or local government. In addition, the commissioner or local 8 government shall have power, following a hearing held in conformance with the procedures set forth in section 71-1709 of this article, 9 to direct the violator to cease [his violation of] violating the act and to 10 11 restore the affected freshwater wetland to its condition prior to the 12 violation, insofar as that is possible within a reasonable time and 13 under the supervision of the commissioner or local government. Any such 14 order of the commissioner or local government shall be enforceable in an 15 action brought by the attorney general at the request and in the name of 16 the commissioner or local government in any court of competent jurisdic-17 tion. Any civil penalty or order issued by the commissioner or local 18 government pursuant to this subdivision shall be reviewable in a 19 proceeding pursuant to article seventy-eight of the civil practice law 20 and rules.

21 b. Upon determining that significant damage to the functions and bene-22 fits of a freshwater wetland is occurring or is imminent as a result of 23 any violation of article twenty-four of this chapter, including but not 24 limited to (i) activity taking place requiring a permit under article 25 twenty-four of this chapter but for which no permit has been granted or (ii) failure on the part of a permittee to adhere to permit conditions, 26 27 the commissioner or local government shall have power to direct the 28 violator to cease and desist from violating the act. In such cases the 29 violator shall be provided an opportunity to be heard within ten days of 30 receipt of the notice to cease and desist.

31 2. Criminal sanctions. Any person who violates any provision of arti-32 cle twenty-four of this chapter, including any rule or regulation, local 33 law or ordinance, permit or order issued pursuant thereto, shall, in addition, for the first offense, be guilty of a violation punishable by 34 35 a fine of not less than two thousand nor more than [four] five thousand 36 dollars; for a second and each subsequent offense he shall be guilty of 37 a misdemeanor punishable by a fine of not less than four thousand nor 38 more than [seven] ten thousand dollars or a term of imprisonment of not 39 less than fifteen days nor more than six months or both. [Instead of] In 40 addition to these punishments, any offender may be punishable by being 41 ordered by the court to restore the affected freshwater wetland to its 42 condition prior to the offense, insofar as that is possible. The court 43 specify a reasonable time for the completion of such restoration, shall 44 which shall be effected under the supervision of the commissioner or 45 local government. Each offense shall be a separate and distinct offense 46 and, in the case of a continuing offense, each day's continuance thereof 47 shall be deemed a separate and distinct offense.

48 § 14. Subdivision 1 of section 71-2305 of the environmental conserva-49 tion law, as added by chapter 614 of the laws of 1975, is amended to 50 read as follows:

51 1. The attorney general, upon [his] <u>their</u> own initiative or upon 52 complaint of the commissioner or local government, shall prosecute 53 persons alleged to have violated [any such order of the commissioner or 54 local government pursuant to] article twenty-four <u>of this chapter</u>.



1 § 15. The title heading of title 25 of article 71 of the environmental 2 conservation law, as added by chapter 182 of the laws of 1975, 3 amended to read as follows: ENFORCEMENT OF ARTICLE 25 AND ARTICLE 34 4 5 § 16. Section 71-2501 of the environmental conservation law, as added 6 by chapter 182 of the laws of 1975, is amended to read as follows: 7 § 71-2501. Applicability of this title. The provisions of this title shall be applicable to the enforcement of 8 9 article twenty-five and article thirty-four. 10 § 17. Section 71-2503 of the environmental conservation law, as amended by chapter 666 of the laws of 1989, is amended to read as 11 12 follows: 13 § 71-2503. Violation; penalties. 14 1. Administrative sanctions. 15 a. Any person who violates, disobeys or disregards any provision of 16 article twenty-five including any rule or regulation, local law or ordi-17 nance, permit or order issued pursuant thereto, or article thirty-four shall be liable to the people of the state for a civil penalty of not to 18 19 exceed ten thousand dollars for every such violation, to be assessed, 20 after a hearing or opportunity to be heard, by the commissioner. Each 21 violation shall be a separate and distinct violation and, in the case of a continuing violation, each day's continuance thereof shall be deemed a 22 23 separate and distinct violation. The penalty may be recovered in an 24 action brought by the commissioner in any court of competent jurisdiction. Such civil penalty may be released or compromised by the commis-25 26 sioner before the matter has been referred to the attorney general; and 27 where such matter has been referred to the attorney general, any such 28 penalty may be released or compromised and any action commenced to recover the same may be settled and discontinued by the attorney general 29 with the consent of the commissioner. 30 31 b. Upon determining that significant damage to the functions and bene-32 fits of tidal wetlands or coastal erosion hazard areas is occurring or 33 is imminent as a result of any violation of article twenty-five or article thirty-four, including but not limited to (i) activity taking place 34 35 requiring a permit under article twenty-five or article thirty-four but 36 for which no permit has been granted or (ii) failure on the part of a 37 permittee to adhere to permit conditions, the [commissioner] department shall have power to direct the violator to cease and desist from violat-38 39 ing the act. In such cases the violator shall be provided an opportunity 40 to be heard within ten days of receipt of the notice to cease and 41 desist. 42 c. Following a hearing held pursuant to section 71-1709 of this arti-43 the commissioner shall have power to direct the violator to cease cle, 44 and desist from violating the act and to restore the affected tidal 45 wetland or area immediately adjacent thereto or coastal erosion hazard 46 area to its condition prior to the violation, insofar as that is possible within a reasonable time and under the supervision of the commis-47 48 sioner. Any order of the commissioner shall be enforceable in an action 49 brought by the commissioner in any court of competent jurisdiction. Any 50 civil penalty or order issued by the commissioner under this subdivision 51 shall be reviewable in a proceeding under article seventy-eight of the 52 civil practice law and rules. 53 2. Criminal sanctions. Any person who violates any provision of arti-

54 cle twenty-five <u>or article thirty-four</u> shall, in addition, for the first 55 offense, be guilty of a violation punishable by a fine of not less than



1 five hundred nor more than five thousand dollars; for a second and each 2 subsequent offense such person shall be guilty of a misdemeanor punisha-3 ble by a fine of not less than one thousand nor more than ten thousand dollars or a term of imprisonment of not less than fifteen days nor more 4 5 than six months or both. In addition to or instead of these punishments, any offender shall be punishable by being ordered by the court to 6 7 restore the affected tidal wetland or area immediately adjacent thereto 8 or coastal erosion hazard area to its condition prior to the offense, insofar as that is possible. The court shall specify a reasonable time 9 for the completion of the restoration, which shall be effected under the 10 11 supervision of the commissioner. Each offense shall be a separate and 12 distinct offense and, in the case of a continuing offense, each day's 13 continuance thereof shall be deemed a separate and distinct offense. 14 3. The proceeds of any penalty or fine assessed under this section 15 shall be deposited to the credit of the marine resources account of the 16 conservation fund. 17 § 18. Section 71-2505 of the environmental conservation law, as 18 amended by chapter 249 of the laws of 1997, is amended to read as 19 follows: § 71-2505. Enforcement. 20 21 The attorney general, on [his] their own initiative or at the request 22 of the commissioner, shall prosecute persons who violate article twenty-five or article thirty-four. In addition the attorney general, on 23 24 [his] their own initiative or at the request of the commissioner, shall 25 have the right to recover a civil penalty of up to ten thousand dollars for every violation of any provision of such [article] articles, and to 26 27 seek equitable relief to restrain any violation or threatened violation 28 of such [article] articles and to require the restoration of any 29 affected tidal wetland or area immediately adjacent thereto or coastal 30 erosion hazard area to its condition prior to the violation, insofar as that is possible, within a reasonable time and under the supervision of 31 32 the commissioner. In the case of a continuing violation, each day's 33 continuance thereof shall be deemed a separate and distinct violation. § 19. Section 71-2507 of the environmental conservation law, as added 34 by chapter 182 of the laws of 1975, is amended to read as follows: 35 36 § 71-2507. Pollution of tidal wetlands or coastal erosion hazard areas. Where any tidal wetlands or coastal erosion hazard areas are subject 37 to pollution, the commissioner and attorney general shall take all 38 appropriate action to abate the pollution. In addition, the commissioner 39 40 may restrict or order cessation of solid waste disposal, deep well 41 disposal, or liquid waste disposal where such is polluting a given area 42 of tidal wetland or coastal erosion hazard area. Where pesticides, chem-43 ical products, or fertilizer residues are the polluting agents, the 44 commissioner shall confer with other appropriate public officials to 45 limit the use of such substances at their source; after appropriate consultations, the commissioner may make such rules and regulations as 46 47 [he deems] they deem necessary under section 3-0301 of [the environmental conservation law] this chapter. 48 49 § 20. This act shall take effect immediately, provided, however, that 50 sections two, three, four, five, six, seven and eight of this act shall take effect January 1, 2025. 51

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## PART RR

53 Section 1. Legislative intent. The legislature finds the amount of 54 waste generated in New York is a threat to the environment. The legisla-



1	ture further finds and declares that it is in the public interest of the
2	state of New York for packaging and paper products producers to take
3	responsibility for the development and implementation of strategies to
4	promote reduction, reuse, recovery, and recycling of covered materials
5	and products through investments in the end-of-product-life management
6	of printed paper and product packaging.
7	§ 2. Article 27 of the environmental conservation law is amended by
8	adding a new title 33 to read as follows:
9	TITLE 33
10	EXTENDED PRODUCER RESPONSIBILITY ACT
11	Section 27-3301. Definitions.
12	<u>27-3303. Needs assessment and establishment of a packaging and</u>
13	paper products program.
14	
	27-3305. Advisory committee.
15	27-3307. Producer responsibility program plan.
16	27-3309. Reporting requirements and audits.
17	27-3311. Antitrust protections.
18	27-3313. Penalties.
19	27-3315. State preemption.
20	27-3317. Authority to promulgate rules and regulations.
21	27-3319. Extended producer responsibility reporting to the
22	governor and legislature.
23	27-3321. Severability.
24	§ 27-3301. Definitions.
25	When used in this title:
26	1. "Brand" means a name, symbol, word, or mark that identifies a prod-
27	uct, rather than its components, and attributes the product to the owner
28	<u>of the brand.</u>
29	2. "Consumer" means any person located in the state, who owns or uses
30	packaging and paper products, including, but not limited to, a person
31	residing in a single or multi-family residential unit, a school, state
32	<u>or local agency, business, or institution.</u>
33	3. "Department" means the New York state department of environmental
34	conservation.
35	4. "Extended producer responsibility program" means a program financed
36	and implemented by producers, either individually, or collectively
37	through a producer responsibility organization, that provides for, but
38	is not limited to, the collection, transportation, reuse, recycling,
39	proper end-of-life management, or an appropriate combination thereof, of
40	unwanted packaging and paper products.
41	5. "Packaging and paper products" covered by this title include, but
42	are not limited to, the following:
43	(a) Packaging means any part of a package or container, regardless of
44	recyclability or compostability, including, but not limited to, such
45	material types as paper, plastic, glass, or metal, that is used:
46	(i) for the containment, protection, handling, delivery, serving, and
47	presentation of goods that are sold, offered for sale, or distributed to
47 48	
	consumers in the state, including through an internet transaction;
49 50	(ii) as secondary packaging intended for the consumer market;
50	(iii) as tertiary packaging used for transportation or distribution
51	directly to a consumer or retailer; or
52	(iv) for a single or short-term use.
53	(b) Paper products means:
54	(i) paper and other cellulosic fibers, whether or not they are used as
55	a medium for text or images, except bound books;

55 <u>a medium for text or images, except bound books;</u>



1 (ii) containers or packaging used to deliver printed matter directly 2 to the ultimate consumer or recipient; or 3 (iii) paper of any description, including but not limited to: flyers; 4 brochures; booklets; catalogs; telephone directories; paper fiber; card-5 board; and paper used for writing or any other purpose. 6 (c) For the purpose of this title, the packaging and paper products 7 covered designation does not include the following: 8 (i) packaging or paper products that could become unsafe or unsanitary 9 to recycle by virtue of their anticipated use; 10 (ii) literary, text, and reference bound books; 11 (iii) newspapers, magazines, and periodicals; 12 (iv) beverage containers, as defined in section 27-1003 of this arti-13 cle on which a deposit is required to be initiated; 14 (v) packaging that is used exclusively in industrial or manufacturing 15 processes; 16 (vi) medical devices and packaging, or paper used to contain and which are included with products regulated as a drug, medical device or 17 dietary supplement by the U.S. Food and Drug Administration under the 18 Federal Food, Drug, and Cosmetic Act, 21 U.S.C. 321 et seq., sec. 3.2(e) 19 20 of 21 U.S. Code of Federal Regulations or the Dietary Supplement Health 21 and Education Act; (vii) animal biologics, including vaccines, bacterins, antisera, diag-22 23 nostic kits, and other products of biological origin, and other covered materials regulated by the United States Department of Agriculture under 24 25 the Virus, Serum, Toxin Act, 21 U.S.C. 151-159; and 26 (viii) packaging products used to contain, and paper products which 27 are included with, substances hazardous to the environment, regulated 28 pursuant to section 37-0103 of this chapter, or packaging products regulated by the federal insecticide, fungicide, and rodenticide act, 7 29 U.S.C. sec. 136 et seq. or other applicable federal law, rule or regu-30 31 lation. 6. "Municipality" means any county, city, town, village, local public 32 authority or benefit corporation, or solid waste management district 33 34 within the state of New York. 7. "Post-consumer recycled content" means the content of a product 35 36 made of recycled materials derived from post-consumer recycled materials 37 or feedstock. 38 8. (a) "Producer" means an entity that shall be determined to be the 39 producer, for the purposes of this title, based on the following hierar-40 chy: 41 (i) the person who manufactures the packaging or paper product under 42 such person's own name or brand and who sells or offers for sale the 43 packaging or paper product in the state; or 44 (ii) the person who imports the packaging or paper product as the 45 owner or licensee of a trademark or brand under which the packaging or 46 paper products are sold or distributed in the state; or 47 (iii) the person or company that offers for sale, sells, or distrib-48 utes the packaging or paper product in the state. 49 (b) For purposes of this title, a producer shall not include those 50 th<u>at:</u> 51 (i) generate less than one million dollars in annual gross revenue; 52 (ii) generate less than one ton of packaging and paper products 53 supplied to New York state consumers per year; (iii) operate as a single point of retail sale and are not supplied or 54

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55 operated as part of a franchise; or



1 (iv) are a municipality or a local government planning unit, or a 2 registered 501(c)(3) charitable organization or 501(c)(4) social welfare 3 organization. (c) If more than one person is a producer of a brand of packaging or 4 5 paper product, any such person may assume responsibility for obligations 6 of a producer of that brand under this title. If none of those persons 7 assume responsibility for the obligations of a producer under this 8 title, any and all such persons jointly and severally may be considered 9 the responsible producer of that brand for purposes of this title. "Producer responsibility organization" means a not-for-profit 10 9. 11 organization designated by a group of producers to act as an agent on 12 behalf of each participating producer to develop and implement a produc-13 er responsibility program. To the extent applicable, a producer respon-14 sibility organization shall have a governing board that represents the 15 diversity of producers and the covered materials and product types, and 16 such board shall include non-voting members representing a diversity of 17 material trade associations. 18 10. "Readily-recyclable" means packaging that can be sorted by enti-19 ties processing recyclables from New York and for which, during the 20 previous two calendar years, there was a consistent market, meaning 21 recyclers were willing to pay for fully sorted material at the door of 22 their facilities in quantities equal to or in excess of material supply. 23 This does not include material types that recyclers accept in low quan-24 tities or sort out of material during additional processing steps; if 25 material recyclers do not desire a full bale of a specific material 26 type, that material type is not readily-recyclable. 27 11. "Recovery rate" means the amount of packaging or paper products 28 collected and recovered for reuse or recycling over a program year by 29 material type, divided by the amount of packaging or paper products sold 30 into the state, by material type, expressed as percentages. 31 12. "Recycling" means the processing of source-separated packaging and 32 paper products to produce a marketable product or secondary raw materi-33 al. Recycling does not include thermal treatment processes that produce 34 fuel or fuel products without substantial production of a marketable 35 non-fuel product or secondary raw material. 36 13. "Recycling collection" means a recycling program that serves resi-37 dential units, schools, federal, state or local agencies, businesses, or 38 institutions, where such schools, federal, state or local agencies, 39 businesses, or institutions were eligible to be served under a contract 40 with a municipality by a municipality or a private sector hauler as of 41 the effective date of this title, and such recycling program is operated 42 by a municipality or pursuant to a contract with the municipality, 43 private sector hauler, or other public agency or through approved local 44 solid waste management plans. 45 14. "Recycling rate" means the amount of discarded packaging and paper 46 products that is managed through recycling, as defined by this title, 47 and is computed by dividing the amount of discarded packaging and paper products collected and recycled, by material type, by the total amount 48 49 of discarded packaging and paper products collected over a program year, 50 by material type, expressed as percentages. 51 15. "Retailer" means a person who sells or offers for sale a product 52 to a consumer, including sales made through an internet transaction to 53 be delivered to a consumer in the state. 54 16. "Reuse" means returning, donating or selling a discarded packaging or paper product back into the market for its original intended use, 55

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1	when the discarded packaging or paper product retains its original
2	performance characteristics and can be used for its original purpose.
3	§ 27-3303. Needs assessment and establishment of a packaging and paper
4	products program.
5	1. (a) By January first, two thousand twenty-four, a statewide needs
6	assessment conducted by a third-party organization selected by the
7	department, shall be submitted to the department.
8	(b) The statewide needs assessment shall be retroactively funded by
9	the producers or producer responsibility organization.
10	(c) The statewide needs assessment shall include an evaluation of the
11	capacity, costs, gaps, and needs for the following factors:
12	(i) current funding needs, both operational and capital, impacting
13	recycling access and availability;
14	(ii) existing state statutory provisions and funding sources for recy-
15	cling, reuse, reduction, and recovery;
16	(iii) the collection and hauling system for recyclable materials in
17	the state;
18	(iv) the processing capacity and infrastructure for recyclable materi-
19	als in the state and regionally and identifying necessary capital
20	investments to existing and future reuse and recycling infrastructure;
21	(v) the market conditions and opportunities for recyclable and reus-
22	able materials in the state and regionally;
23	(vi) consumer education needs for recycling, reuse, and reduction of
24	covered materials and products;
25	(vii) current state packaging and paper product recovery rates, recy-
26	cling rates, and post-consumer recycled content rates, by material type;
27	(viii) accounting of greenhouse gas emissions associated with
28	collection, processing, and marketing of packaging and paper products;
29	(ix) an evaluation of state and regionally accepted recycling prac-
30	tices that constitute legitimate recycling; and
31	(x) current barriers affecting the equitable access to recycling or
32	reuse programs.
33	2. By June first, two thousand twenty-three, an advisory committee
34	shall be established and begin performing its obligations pursuant to
35	section 27-3305 of this title.
36	3. (a) By April first, two thousand twenty-five, any producer imple-
37	menting an individual extended producer responsibility program or any
38	producer responsibility organization, shall submit a producer responsi-
39	bility program plan developed in consultation with the advisory commit-
40	tee to the department for approval. A producer may satisfy its obli-
41	gations under this title individually or through a producer
42	responsibility organization.
43	(b) Any producer implementing an individual extended producer respon-
44	sibility program or any producer responsibility organization, shall
45	begin program implementation within six months after the date the plan
46	is approved, but not later than April first, two thousand twenty-six.
47	4. Any person that becomes a producer after April first, two thousand
48	twenty-five, shall submit an individual extended producer responsibility
49	program plan within six months and begin program implementation within
50	six months of plan approval, or join a producer responsibility organiza-
51	tion.
52 52	5. By April first, two thousand twenty-six, no producer shall sell,
53 54	offer for sale, or distribute packaging or paper products for use in New
54 55	York unless the producer, or its designated producer responsibility
55	organization, has submitted a producer responsibility program plan to

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56 the department for approval.



1	C me address success success success shall be serviced to
1	6. To address program performance, producers shall be required to
2	evaluate how they are meeting the minimum post-consumer recycled content
3	rate, minimum recovery rate, and minimum recycling rate for packaging
4	and paper material types, as recommended by the advisory committee, and
5	adopted by the department in regulation.
6	7. No producer shall sell, offer for sale, or distribute packaging and
7	paper products for use in New York unless such packaging or paper
8	products are in compliance with title two of article thirty-seven of
9	this chapter.
10	8. Funds collected from producers by a producer responsibility organ-
11	ization to operate the program pursuant to this title shall not be used
12	to carry out lobbying activities, bring a lawsuit against the state,
13	defend litigation involving claims of a producer responsibility organ-
14	ization's failure to comply with the requirements of this chapter, or
15	for payment of penalties for violations of this chapter.
16	9. No person may charge a consumer a point-of-sale or point-of-collec-
17	tion fee to recoup the costs associated with meeting the obligations
18	<u>under this title.</u>
19	<u>§ 27-3305. Advisory committee.</u>
20	1. The commissioner of the department shall appoint members to the
21	advisory committee, which shall be comprised of an odd number of
22	members, with at least one member representing each of the following
23	disciplines, with each discipline having equal representation:
24	(a) an association representing municipalities and an additional
25	municipal representative from a city with a population of one million or
26	<u>more residents;</u>
27	(b) a municipality operating a recycling program;
28	<u>(c) a statewide environmental organization;</u>
29	(d) a representative of an environmental justice community or organ-
30	ization;
31	<u>(e) a statewide waste disposal association;</u>
32	(f) a recyclables handling and recovery facility located within the
33	state of New York;
34	(g) a recycling collection provider;
35	(h) a manufacturer of packaging materials utilizing post-consumer
36	recycled content;
37	(i) a manufacturer of paper materials utilizing post-consumer recycled
38	content;
39	(j) a consumer advocate;
40	(k) a retail organization; and
41	(1) a producer of packaging products, producer of paper products, and
42	a representative from a producer responsibility organization established
43	under this title as non-voting members.
44	2. The advisory committee shall select a chair from among the
45	members. The chair will be responsible for selecting secretarial support
46	for the advisory committee.
47	3. The advisory committee shall be consulted as needed, but at least
48	once, during the development of the producer responsibility program
49	plan, prior to any update to the producer responsibility program plan,
	and prior to the submission of an annual report.
51	4. The advisory committee shall use the findings from the statewide
52	needs assessment to inform its producer or producer responsibility
5⊿ 53	organization program plan recommendations.
55 54	5. The advisory committee shall work with all producers implementing
54 55	an individual extended producer responsibility program and all producer
55	an inary radar excended producer responsibility program and all producer

1	responsibility organizations to ensure consistent messaging and coordi-
2	nation across program plans.
3	6. The advisory committee shall review the producer responsibility
4	program plans required under this title and prepare specific written
5	recommendations on all portions of the producer responsibility program
6	plans and on all updates or revisions to approved producer responsibil-
7	ity program plans. Such recommendation shall be approved by a majority
8	of the advisory committee's members. The producer implementing an indi-
9	vidual extended producer responsibility program or producer responsibil-
10	ity organization shall consider and respond to those written recommenda-
11	tions in writing, and such recommendations and responses shall be
12	provided to the department at the time of plan submission.
13	7. By April first, two thousand twenty-four, the advisory committee
14	shall recommend to the department annual minimum recovery rates, recycl-
15	ing rates, and post-consumer recycled content rates, by material type,
16	over a five-year timeframe beginning in two thousand twenty-six. Such
17	rate setting recommendation shall be informed by the needs assessment
18	and approved by the department.
19	8. By October first, two thousand twenty-five, the department shall
20	adopt regulations setting forth initial annual minimum recovery rates,
21	recycling rates, and post-consumer recycled content rates, by material
22	type, over a five-year timeframe beginning in two thousand twenty-six.
23	9. (a) The advisory committee shall make recommendations to the
24	department at the time of producer or producer responsibility organiza-
25	tion annual report submittal, as to whether any adjustments to the
26	initially adopted minimum recovery rates, recycling rates, and post-con-
27	sumer recycled content rates are necessary. The advisory committee, in
28	consideration of a recommendation to adjust any rates, shall consider:
29	(i) changes in market conditions, including supply and demand for
30	post-consumer recycled plastics, both domestically and globally;
31	(ii) current recycling rates;
32	(iii) the availability of recycled materials suitable to meet the
33	minimum recycled content goals, including the availability of high-qual-
34	ity recycled materials, and food-grade recycled materials;
35	(iv) the capacity of recycling or processing infrastructure;
36	(v) utilization rates of the material; and
37	(vi) the progress made by producers in meeting the post-consumer recy-
38	<u>cled targets by material type.</u>
39	(b) If an adjustment is recommended, the advisory committee shall
40	provide a detailed basis for justification.
41	10. Members of the advisory committee shall be reimbursed for any
42	necessary travel expenses, related to participating on the advisory
43	committee, by the producer implementing an individual extended producer
44	responsibility program or producer responsibility organization, and the
45	department shall be responsible for monitoring these expenses. Members
46	of the advisory committee shall receive no salary from a producer imple-
47	menting an individual extended producer responsibility program or
48	producer responsibility organization. The costs for secretarial support
49	to the advisory committee shall be paid for by the producer implementing
50	an individual extended producer responsibility program or producer
51	responsibility organization, and the department shall be responsible for
52	monitoring these expenses.
53	11. Members shall serve on the advisory committee for at least three
54	

55 § 27-3307. Producer responsibility program plan.

1 1. By April first, two thousand twenty-five, any producer implementing 2 an individual extended producer responsibility program or any producer 3 responsibility organization, shall submit to the department a producer responsibility program plan, detailing its proposed collection and recy-4 5 cling program for packaging and paper products. 6 2. The producer responsibility program plan shall be valid for five 7 years and shall be reviewed and updated every five years following the 8 approval of the original plan. The department shall have the discretion 9 to require the plan to be reviewed or revised prior to the five-year 10 period if the department has cause to believe the minimum post-consumer 11 recycled content rates, minimum recovery rates, minimum recycling rates, 12 as specified by the department in regulation, or other factors of the 13 plan are not being met or followed by the producer or producer responsi-14 bility organization, or if there has been a change in circumstances that 15 warrants revision of the plan. 16 3. The submitted plan shall, at a minimum, address the following: 17 (a) Contact information. Contact information, including the name, 18 electronic and physical address, and telephone number of the authorized 19 representative of the producer implementing an individual extended 20 producer responsibility program or producer responsibility organization. 21 (b) Participating producer or producers. Identify the producer or 22 producers participating in the submitted producer responsibility program 23 plan. 24 Advisory committee recommendations. A description of how the (C) 25 recommendations from the advisory committee were considered and 26 addressed in the development of the plan. 27 (d) Types and brands of packaging and paper products. A list of the 28 types and brands of packaging and paper products for which the producer 29 or producer responsibility organization is responsible for. 30 (e) Funding mechanism. A description of the proposed funding mechanism 31 that is necessary to meet the requirements of this title and is suffi-32 cient to cover the cost of operating the program, updating the plan, and 33 maintaining a financial reserve sufficient to operate the program in a 34 fiscally prudent and responsible manner. The department may promulgate 35 regulations necessary for a producer implementing an individual extended 36 producer responsibility program or a producer responsibility organiza-37 tion to develop and manage a funding mechanism and activity-based costs. 38 The following funding mechanism details shall be provided in the producer responsibility plan: 39 40 (i) proposed program charges for producers, listed by producer, which 41 shall be sufficient to cover all program costs; 42 (ii) eco-modulation. For purposes of this title, "eco-modulation" 43 shall provide that program charges are structured to provide producers 44 with financial incentives that reward waste and source reduction and 45 recycling compatibility innovations and practices, reward producers of packaging and paper products that can be easily reused, and that disin-46 47 centivize designs or practices that increase costs of managing the packaging and paper products. The producer responsibility organization may 48 49 adjust charges to be paid by participating producers, or the producers 50 may be provided a credit, based on factors that affect system costs. At 51 a minimum, charges shall be variable based on: 52 (A) costs to provide recycling collection or other form of consumer 53 service that is, at minimum, as convenient as the previous waste collection schema in the particular jurisdiction for all consumers; 54 55 (B) costs to process a producer's packaging and paper products for 56 sale to secondary material markets;

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1 (C) whether the packaging or paper product would typically be readi-2 ly-recyclable except that as a consequence of the product's design, the 3 product has the effect of disrupting recycling processes or the product includes labels, inks, or adhesives containing heavy metals that would 4 5 contaminate the recycling process; 6 (D) whether the packaging and paper products are nonfood contact pack-7 aging that is specifically designed to be reusable or refillable and has 8 a high reuse or refill rate; 9 (E) the commodity value of packaging and paper products; and 10 (F) contributions to greenhouse gas emissions from the production, 11 use, collection, processing, and marketing of the packaging or paper 12 product. 13 (iii) a proposed special assessment charge on specific categories of 14 covered packaging and paper products at the request of responsible enti-15 ties representing and approved by the advisory committee if the nature 16 of the covered packaging and paper product imposes unusual costs in 17 collection or processing or requires special actions to address effective access to recycling or successful processing in municipal recycling 18 19 facilities. The revenue from the special assessment shall be used to make system improvements for the specific covered packaging and paper 20 21 products on which the special assessment was applied; 22 (iv) how charges shall be adjusted based upon the percentage of post-23 consumer recycled content and such percentage of post-consumer recycled 24 content shall be verified either by the producer responsibility organ-25 ization or by an independent party designated by the department to 26 ensure that such percentage meets or exceeds the minimum requirements in 27 the packaging or paper product, as long as the recycled content does not 28 disrupt the potential for future recycling; and 29 (v) how activity-based costs are calculated and dispersed for services utilized by a producer implementing an individual extended producer 30 31 responsibility program or producer responsibility organization if the 32 waste haulers, recyclables handling and recovery facilities, recyclers, 33 and municipalities, and other service providers elect to participate and 34 be compensated by the producer implementing an individual extended 35 producer responsibility program or producer responsibility organization 36 in the recovery, recycling, and processing of packaging and paper 37 products. The activity-based cost mechanism shall be based on the cost 38 of consumer recycling collection, on-site processing cost for each read-39 ily-recyclable material, processing cost of non-readily recyclable mate-40 rial types, transportation cost of recycling for each material type, 41 disposal costs for any residual or non-recyclable material, and any 42 other cost factors as determined by the advisory committee or depart-43 ment. 44 (f) Municipal and private entity reimbursement. A description of the 45 process for municipalities or private entities (such as solid waste 46 collection, transportation, sorting, and processing companies, and other 47 participating service providers) operating under the producer or producer responsibility organization's plan, to recoup reasonable costs from 48 49 the producer or producer responsibility organization for the activity-50 based costs, including, as applicable, any administrative, collection, 51 sorting, transportation, capital improvement, or processing costs. The 52 municipality or private entity may not pass on to the consumer costs for 53 which it has been paid by the producer or producer responsibility organ-54 ization. To facilitate the producer's or producer responsibility organization's determination of activity-based costs, participating munici-55 palities and private entities shall report data related to their costs 56



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recyclable materials. (g) Outreach and education. A description of the producer's or produc-4 er responsibility organization's public outreach and education program 5 6 for consumers and other stakeholders. 7 (i) The plan shall address how the outreach and education program 8 will: (A) be designed to achieve the management goals of packaging and paper 9 products extended producer responsibility under this title, including 10 11 the prevention of contamination of products; 12 (B) be coordinated across producer and producer responsibility organ-13 ization programs to avoid confusion for consumers; and

14 (C) consult with municipalities and other stakeholders, coordinate 15 with and assist local municipal programs, municipal contracted programs, solid waste collection companies, and other entities providing services, 16 and develop and provide outreach and education to the diverse popu-17 lations in the state, including utilizing a variety of outreach and 18 19 education tools and ensuring materials are accessible to all persons and 20 are provided in multiple languages.

21 (ii) Participating producers shall label or mark packaging and paper 22 products in accordance with current labeling rules, laws, or regulations 23 with information to assist consumers in responsibly managing and recycl-24 ing packaging and paper products, responsibly composting packaging and 25 paper products, and educating consumers about the percentage of post-26 consumer recycled content.

27 (iii) Details on the following components of the outreach and educa-28 tion program shall be provided in the plan, and available to consumers and other stakeholders on the producer's or producer responsibility 29 30 organization's public education program website:

31 (A) proper end-of-life management of packaging, paper products and 32 beverage containers;

33 (B) the location and availability of recycling collection;

34 (C) how to prevent litter of packaging, paper products, and beverage 35 containers;

36 (D) information on how consumers can reduce their consumption for 37 single-use packaging and paper products in favor of more reusable mate-38 <u>rials;</u>

39 (E) recycling and composting instructions that are: consistent state-40 wide, except as necessary to take into account differences among local 41 laws and processing capabilities; easy to understand; and easily acces-

42 sible; and

43 (F) a description of the process for answering stakeholder questions 44 and resolving any issues.

45 (iv) A producer implementing an individual extended producer responsi-46 bility program or producer responsibility organization shall undertake 47 outreach, education, and communications that assist in attaining or 48 exceeding the minimum post-consumer content, minimum recovery rates, and 49 minimum recycling rates, as specified by the department in regulation. 50 (h) Existing infrastructure. How the producer implementing an individ-

51 ual extended producer responsibility program or the producer responsi-52 bility organization will work with existing waste haulers, recyclables

53 handling and recovery facilities, recyclers, and municipalities to oper-

54 ate or expand current collection programs to address material collection 55 methods.



1 (i) Convenience. A description of how the producer implementing an 2 individual extended producer responsibility program or producer respon-3 sibility organization intends to meet the convenience requirements set 4 forth as follows: 5 (i) A producer implementing an individual extended producer responsi-6 bility program or producer responsibility organization shall provide for 7 widespread, free, convenient, and equitable consumer access to 8 collection opportunities for the packaging and paper products identified 9 under the producer or producer responsibility organization's program 10 plan. 11 (ii) A producer implementing an individual extended producer responsi-12 bility program or producer responsibility organization shall ensure 13 services, that are at least as convenient as the previous collection 14 schema in a particular jurisdiction, continue for all consumers as of 15 the effective date of this title. 16 (iii) A producer implementing an individual extended producer respon-17 sibility program or producer responsibility organization shall ensure 18 services that collect covered packaging and paper products generated by 19 business establishments are at least as orderly and efficient as the 20 previous collection schema as of the effective date of this title. 21 (iv) A producer implementing an individual extended producer responsi-22 bility program or producer responsibility organization may rely on a range of means to collect various categories of packaging and paper 23 24 products including, but not limited to, curbside collection, facility 25 drop-off, and events, so long as packaging and paper products collection 26 options include recycling collection services if: 27 (A) The category of packaging and paper products is suitable for recy-28 cling collection and can be effectively sorted by the facilities receiv-29 ing the collected material; 30 (B) The provider of the recycling collection service agrees to include 31 the category of packaging and paper products as an accepted material; 32 (C) The packaging and paper products category is not handled through a 33 deposit and return scheme, other extended producer responsibility program, or buy back system that relies on a collection system other 34 35 than recycling collection; and (D) The provider of the recycling collection service agrees to 36 the producer implementing an individual extended producer responsibility 37 38 program's or producer responsibility organization's activity-based costs 39 arrangement. 40 (v) Where recycling collection is not available and drop-off 41 collection facilities are utilized, consumers shall have free and equi-42 table access to facilities that are within fifteen miles of at least 43 ninety-five percent of the jurisdiction's population unserved by recycl-44 ing collection. 45 (j) Minimum recycling, recovery and content rates. A description of 46 how the producer implementing an individual extended producer responsi-47 bility program or producer responsibility organization intends to meet 48 or exceed the minimum recycling rate, minimum recovery rate, and minimum 49 post-consumer recycled content rates for packaging or paper products, by 50 material type, as specified by the department in regulation. 51 (k) End-of-life management processes. A description of the process for 52 end-of-life management, including recycling and disposal, for each 53 component material, using environmentally sound management practices. (1) A description of how the producer responsibility organization 54 55 shall provide the option to purchase recycled materials from processors



on behalf of producer members interested in obtaining recycled feedstock 1 2 in order to achieve post-consumer recycled content objectives. 3 (m) A description of how the producer responsibility organization will work with producers to help reduce a producer's total amount of non-4 5 reusable packaging. 6 (n) Packaging and paper products reduction. A description of how a 7 producer responsibility organization will work with producers to reduce 8 packaging and paper products through product design and program inno-9 vations. 10 (o) Consumer concerns process. A process to address concerns and ques-11 tions from consumers. 12 (p) Additional information. Any other information as specified by the department. 13 14 4. (a) No later than ninety days after the submission of the producer 15 responsibility plan, the department shall determine whether to approve the plan as submitted; approve the plan with conditions; or deny the 16 17 plan. 18 (b) The department shall consider the following in determining whether 19 to approve a plan: 20 (i) whether the plan adequately addresses all elements described in 21 this section; 22 (ii) whether the producer has undertaken satisfactory consultation 23 with the advisory committee and has provided an opportunity for advisory committee input in the development of the plan prior to submission of 24 25 the plan; 26 (iii) whether the plan adequately provides for: 27 (A) the producer responsibility organization collecting and funding 28 the costs of collecting and processing packaging and paper products 29 covered by the plan and reimbursing a municipality or private entity; (B) the funding mechanism to cover the entire cost of the producer 30 31 responsibility organization's program; 32 (C) convenient and free consumer access to collection facilities or 33 collection services; (D) an evaluation system for the program charge structure, which shall 34 35 be evaluated on an annual basis by the producer responsibility organiza-36 tion and advisory committee and resubmitted to the department annually; 37 and 38 (E) effective consumer outreach and education. 39 (iv) whether the plan satisfactorily provides for how the producer 40 implementing an individual extended producer responsibility program or 41 the producer responsibility organization will meet the minimum post-con-42 sumer content rates, recovery rates, and recycling rates, as specified 43 by the department in regulation, which will create or enhance markets 44 for recycled materials; and 45 (v) whether the plan creates a convenient system for consumers to 46 recycle covered packaging and paper products that meets or exceeds the 47 convenience criteria set forth in paragraph (i) of subdivision three of section 27-3307 of this title. 48 (c) The department may deny a plan. (i) If a plan is denied, 49 the 50 department shall inform the producer implementing an individual extended 51 producer responsibility program or producer responsibility organization 52 in writing as to any deficiencies in said plan. A producer implementing 53 an individual extended producer responsibility program or producer 54 responsibility organization shall amend and resubmit any denied plans 55 for reconsideration within sixty days of notification of the denial of

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days of resubmission.

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8 9 inform the producer implementing an individual extended producer respon-10 sibility program or producer responsibility organization in writing as 11 to any and all reasons why the plan was rescinded. A producer implement-12 ing an individual extended producer responsibility program or producer 13 responsibility organization shall amend and resubmit any rescinded plans 14 for reconsideration within sixty days of notification of the rescission 15 of said plan. The department shall approve or reject said plan within 16 thirty days of resubmission.

17 5. The producer implementing an individual extended producer responsi-18 bility program or producer responsibility organization shall notify the 19 department of any modification to the program. If the department deter-20 mines that the producer responsibility plan has been substantially modi-21 fied, the producer implementing an individual extended producer respon-22 sibility program or producer responsibility organization, after consultation with the advisory committee, shall submit a proposed plan 23 24 amendment describing the changes to the department within ninety days of 25 the determination. Within ninety days of receipt of a proposed amended plan, the department shall determine whether the amended plan complies 26 27 with this title. The department shall send a letter notifying the 28 producer implementing an individual extended producer responsibility 29 program or producer responsibility organization of: (a) approval; or (b) disapproval, including the reasons for rejecting the plan. The producer 30 31 implementing an individual extended producer responsibility program or producer responsibility organization shall provide the department's 32 33 letter of disapproval to the advisory committee. The producer imple-34 menting an individual extended producer responsibility program or producer responsibility organization shall submit a revised plan within 35 36 sixty days after receipt of the letter of disapproval.

37 6. The producer implementing an individual extended producer responsi-38 bility program or producer responsibility organization shall reimburse 39 the department annually at the time of annual reporting for all adminis-40 trative costs associated with oversight of the program, which shall be 41 deposited to the credit of the stewardship organization fund established 42 pursuant to section ninety-two-kk of the state finance law.

43 § 27-3309. Reporting requirements and audits.

44 1. Fifteen months after the first plan of a producer implementing an 45 individual extended producer responsibility program or producer respon-46 sibility organization is implemented, and annually thereafter, each 47 producer implementing an individual extended producer responsibility program, or each producer responsibility organization, shall submit a 48 49 report to the department that details the prior calendar year's program. 50 The report shall be posted on the website of the producer implementing 51 an individual extended producer responsibility program or producer 52 responsibility organization. 53 2. Such annual report shall include:

54 (a) a detailed description of the methods used to collect, transport, and process packaging and paper products including detailing collection 55



1	methods made available to consumers and an evaluation of the program's
2	<u>collection convenience;</u>
3	(b) a detailed description of the amount of packaging and paper
4	products sold, offered for sale, or distributed to consumers in the
5	state on an annual basis, including a percentage of packaging and paper
6	products sold, offered for sale, or distributed to consumers in the
7	<u>state through internet transactions;</u>
8	(c) the weight of packaging and paper products collected for reuse or
9	recycling in the state, by material type;
10	(d) the weight, by material type, of packaging and paper products
11	collected for reuse or recycling in the state by the method of disposi-
12	tion;
13	(e) the total cost of implementing the program;
14	(f) financial statements detailing all deposits received and
15	reimbursements paid by the producers covered by the approved plan;
16	(g) a detailed accounting of how the program compensated munici-
17	palities, solid waste collection, transportation, sorting, and reproc-
18	essing companies, and other entities, for their recycling efforts and
19	other related services;
20	(h) a description of investments made in infrastructure and market
21	development in New York state as related to the needs identified,
22	including the amount spent expressed as a percentage of the program's
23	total annual expenditures;
24	(i) a description of investment made and an evaluation of the effec-
25	tiveness of outreach and education efforts to determine whether changes
26	are necessary to improve those outreach and education efforts. If the
27	department determines improvements are necessary, the producer imple-
28	menting an individual extended producer responsibility program or
29	producer responsibility organization shall develop new and improved
30	outreach and education methods for approval by the department;
31	(j) samples of all educational materials provided to consumers or
32	other entities; (k) a detailed list of efforts undertaken and an evaluation of the
33 24	
34 35	methods used to disseminate such materials including recommendations, if any, for how the educational component of the program can be improved;
36 37	(1) the achieved post-consumer recycled content rates, recovery rates, and recycling rates for packaging and paper product material types, how
38	the rates were derived, and a discussion of how these rates may be
39	improved. If, upon consultation with the advisory committee, there is
40	reason to adjust minimum rates, the annual report shall include
41	suggestions and justifications for the department to consider revision
42	of such rates in regulation;
43	(m) a detailed description of any efforts undertaken to reduce the
44	amount of packaging used; changes in material types used in packaging
45	that have helped to improve recyclability, post-consumer recycled
46	content rates, recovery rates, recycling rates for packaging, greenhouse
47	gas emissions, and the result on program implementation costs through
48	such efforts;
49	(n) a discussion on the feasibility to increase consumer convenience
50	through curbside collection, facility drop-off, events or other alterna-
51	tives, and to expand the program, for example, to include additional
52	service to consumers without previous access to recycling collection,
53	and public spaces, as well as a discussion on how the producer imple-
54	menting an individual extended producer responsibility program or
55	producer responsibility organization plans for continuous improvement;



1	(a) an evaluation of the feasibility and recommendation for adding
2	(o) an evaluation of the feasibility and recommendation for adding beverages in beverage containers as defined in title ten of this article
3	to the covered packaging and paper products definition of this title;
4	and
5	(p) any other information as specified by the department.
6	3. Prior to the submission of the annual report, all data and informa-
7	tion that is material to the department's review of the program's
8	compliance with the requirements of this title shall be annually audited
9	and verified by an independent third-party auditor, approved by the
10	department. This includes, but is not limited to, a review and verifica-
11	tion of all financial documentation and all information related to the
12	material recycling rates, recovery rates, and the post-consumer recycled
13	content rates. A copy of the independent audit shall be included in the
14	<u>annual report.</u>
15	4. The department shall not require public reporting of any confiden-
16	tial information that the department determines to be trade secrets,
17	confidential commercial information or critical infrastructure informa-
18	tion, in accordance with article six of the public officers law and the
19	department's rules and regulations promulgated pursuant thereto.
20	<u>§ 27-3311. Antitrust protections.</u>
21	<u>A producer implementing an individual extended producer responsibility</u>
22	program or producer responsibility organization that organizes the
23	collection, transportation, and processing of packaging and paper
24	products, in accordance with a producer responsibility program plan approved under this title, shall not be liable for any claim of a
25 26	violation of antitrust, restraint of trade, or unfair trade practice
20 27	arising from conduct undertaken in accordance with the program pursuant
28	to this title; provided, however, this section shall not apply to any
29	agreement establishing or affecting the price of packaging or a paper
30	product, or the output or production of any agreement restricting the
31	geographic area or customers to which packaging or a paper product will
32	be sold.
33	<u>§ 27-3313. Penalties.</u>
34	1. Except as otherwise provided in this section, any person or entity
35	that violates any provision of or fails to perform any duty imposed
36	pursuant to this title or any rule or regulation promulgated pursuant
37	thereto, or any final determination or order of the commissioner made
38	pursuant to this article or article seventy-one of this chapter shall be
39	liable for a civil penalty not to exceed five hundred dollars for each
40	violation and an additional penalty of not more than five hundred
41 42	dollars for each day during which such violation continues.
42 43	2. (a) Any producer or producer responsibility organization who violates any provision of or fails to perform any duty imposed pursuant
43 44	to this title or any rule or regulation promulgated pursuant thereto, or
45	any term or condition of any registration or permit issued pursuant
46	thereto, or any final determination or order of the commissioner made
47	pursuant to this article or article seventy-one of this chapter shall be
48	liable for a civil penalty not to exceed five thousand dollars for each
49	violation and an additional penalty of not more than one thousand five
50	hundred dollars for each day during which such violation continues. For
51	a second violation committed within twelve months of a prior violation,
52	the producer implementing an individual extended producer responsibility
53	program or producer responsibility organization shall be liable for a
54	civil penalty not to exceed ten thousand dollars and an additional
55	penalty of not more than three thousand dollars for each day during
56	which such violation continues. For a third or subsequent violation



committed within twelve months of any prior violation, the producer 1 2 implementing an individual extended producer responsibility program or 3 producer responsibility organization shall be liable for a civil penalty not to exceed twenty thousand dollars and an additional penalty of six 4 5 thousand dollars for each day during which such violation continues. 6 (b) All producers participating in a producer responsibility organization shall be jointly and severally liable for any penalties assessed 7 8 against the producer responsibility organization pursuant to this title 9 and article seventy-one of this chapter. 10 3. Civil penalties under this section shall be assessed by the depart-11 ment after an opportunity to be heard pursuant to the provisions of 12 section 71-1709 of this chapter, or by the court in any action or 13 proceeding pursuant to section 71-2727 of this chapter, and in addition 14 thereto, such person or entity may by similar process be enjoined from 15 continuing such violation and any permit, registration or other approval 16 issued by the department may be revoked or suspended or a pending 17 renewal denied. 18 4. The department and the attorney general are hereby authorized to 19 enforce the provisions of this title and all monies collected shall be 20 deposited to the credit of the environmental protection fund as estab-21 lished pursuant to section ninety-two-s of the state finance law. 22 § 27-3315. State preemption. Jurisdiction in all matters pertaining to activity-based costs and 23 24 funding mechanisms of producer responsibility organizations relating to 25 the recovery of packaging and paper products by this title, is vested 26 exclusively in the state. Any provision of any local law or ordinance, 27 or any rule or regulation promulgated thereto, governing packaging and 28 paper products recycling shall, upon the effective date of this title, 29 be preempted; provided however, that nothing in this section shall preclude a person from coordinating, for recycling or reuse, the 30 31 collection of packaging and paper products. 32 § 27-3317. Authority to promulgate rules and regulations. 33 The department shall have the authority to promulgate rules and regu-34 lations necessary and appropriate for the administration of this title. § 27-3319. Extended producer responsibility reporting to the governor 35 36 and legislature. 37 1. (a) By November first, two thousand twenty-four, and biennially 38 thereafter, the department shall submit to the governor and legislature 39 a report that includes the following: 40 (i) a review and evaluation of the performance of existing extended 41 producer responsibility programs in the state; 42 (ii) recommendations the department would propose through legislation 43 to improve existing extended producer responsibility programs; 44 (iii) recommendations the department would propose through legislation 45 to promote the reduction targets through the promotion of reusable 46 products or source reduction; and 47 (iv) draft legislation required to amend an existing extended producer 48 responsibility program based on recommendations in paragraph (b) of this 49 subdivision. 50 (b) The report submitted in accordance with this section shall fulfill 51 the requirements found in subdivision four of section 27-1807, subdivi-52 sion two of section 27-2005, and subdivision four of section 27-2617 of 53 this article, and future biennial reports on extended producer responsibility programs required of the department to be provided to the gover-54 nor and legislature. 55



1	2. The department shall collect information available in the public
2	domain regarding potential products in the waste stream to assist in
3	designating products or product categories for extended producer respon-
4	sibility programs in accordance with this title. At the department's
5	discretion, a report shall be submitted to the governor and legislature
6	which shall contain the following:
7	(a) Recommendations for establishing new extended producer responsi-
8	bility programs. The department may identify a potential product or
9	product category as a candidate for an extended producer responsibility
10	program if it is determined after evaluation of each of the following
11	that:
12	
13	(i) the potential product or product category is found to contain toxins that pose the risk of an adverse impact to the environment or
$14^{13}$	public health and safety; or
15	(ii) an extended producer responsibility program for the potential
16	product or product category will increase the recovery of materials for
17	reuse and recycling and reduce the need for use of virgin materials; or
18	(iii) an extended producer responsibility program for the potential
19	product or product category will reduce the costs of waste management to
20	local governments and taxpayers; or
21	(iv) an extended producer responsibility program for the potential
22	product or product category will enhance energy conservation or mitigate
23	<u>climate change impacts; or</u>
24	(v) an extended producer responsibility program for the potential
25	product or product category will be beneficial for existing and new
26	businesses and infrastructure to manage the products and lead to the
27	development of new industries to utilize the recovered materials; or
28	(vi) there exists public demand for an extended producer responsibil-
29	ity program for the potential product or product category; or
30	(vii) there is success in collecting and processing similar types of
31	products in programs in other states or countries; or
32	(viii) existing voluntary extended producer responsibility programs
33	for the potential product or product category in the state are not
34	effective in achieving the policy of this chapter; and
35	(b) Draft legislation required to implement and enforce an extended
36	producer responsibility program for a potential product or product cate-
37	gory recommended in paragraph (a) of this subdivision.
38	3. At least thirty days prior to submitting the report pursuant to
39	subdivision two of this section to the governor and legislature, the
40	department shall post the report on its publicly accessible website.
41	Within that period, a person may submit to the department written
42	comments regarding the report. The department shall submit all public
43	comments received to the governor and legislature with the report.
44	§ 27-3321. Severability.
45	The provisions of this title shall be severable and if any phrase,
46	clause, sentence or provision of this title or the applicability thereof
47	to any person or circumstance shall be held invalid, the remainder of
48	this title and the application thereof shall not be affected thereby.
49	§ 3. The state finance law is amended by adding a new section 92-kk to
	read as follows:
50	§ 92-kk. Stewardship organization fund. 1. There is hereby established
51	in the joint custody of the state comptroller and the commissioner of
53 54	
54 55	the "stewardship organization fund".
55	2. The stewardship organization fund shall consist of all revenue
56	collected from fees pursuant to title thirty-three of article twenty-



1	seven of the environmental conservation law and any cost recoveries or
2	other revenues collected pursuant to title thirty-three of article twen-
3	ty-seven of the environmental conservation law, except for enforcement
4	monies collected pursuant to subdivision four of section 27-3313 of the
5	environmental conservation law, and any other monies deposited into the
6	<u>fund pursuant to law.</u>
7	3. Moneys of the fund, following appropriation by the legislature,
8	shall be used for execution of the program pursuant to title thirty-
9	three of article twenty-seven of the environmental conservation law, and
10	expended for the purposes as set forth in title thirty-three of article
11	twenty-seven of the environmental conservation law.
12	§ 4. This act shall take effect immediately.
13	PART SS
14	Section 1. Title 2 of article 37 of the environmental conservation law
15	is REPEALED and a new title 2 is added to read as follows:
16	<u>TITLE 2</u>
17	TOXICS IN PACKAGING ACT
18	Section 37-0201. Legislative findings and intent.
19	37-0203. Short title and definitions.
20	<u>37-0205. Prohibitions.</u>
21	<u>37-0207. Certificate of compliance.</u>
22	<u>37-0209. Violations.</u>
23	<u>37-0211. Regulations.</u>
24	<u>37-0213. Severability.</u>
25	§ 37-0201. Legislative findings and intent.
26	The legislature finds and declares that:
27	1. The management of solid waste can pose a wide range of hazards to
28	public health and safety and to the environment; and
29	2. Packaging comprises a significant percentage of the overall solid
30	waste stream; and
31	3. The presence of chemicals, such as heavy metals, in packaging is a
32	part of the total concern in light of their likely presence in emissions
33	or ash when packaging is incinerated, or in leachate when packaging is
34	landfilled; and
35	4. Lead, mercury, cadmium, hexavalent chromium, PFAS, and phthalates,
36 37	on the basis of available scientific and medical evidence, are of particular concern; and
38	5. It is desirable as a first step in reducing the toxicity of packag-
39	ing waste to eliminate the addition of these chemicals to packaging; and
40	6. The intent of this title is to achieve this reduction in toxicity
40 41	without impeding or discouraging the expanded use of post-consumer mate-
42	rials in the production of packaging and its components.
43	§ 37-0203. Short title and definitions.
44	<u>1. This title shall be known as and may be cited as the "toxics in</u>
44 45	packaging act".
45 46	2. For the purpose of this title, the term:
40 47	<u>a. "Distribute" means to offer for sale, barter, exchange, give, or</u>
48	supply.
49	<u>b.</u> "Distributor" means the importer, or first domestic distributor of
50	a package or packaging component, if the person who currently manufac-
51	turers or assembles the product does not have a presence in the United
52	States. Persons involved solely in delivering a package or packaging
53	component on behalf of third parties are not considered distributors.



1 c. "Food packaging" means a package or packaging component that is 2 intended for direct food contact and is comprised of in substantial 3 part, but not limited to, paper, paperboard, or other materials originally derived from plant fibers. 4 5 "Manufacturer" means any person who currently manufactures a packd. 6 age or packaging component, or whose brand name is affixed to such pack-7 age or packaging component. In the case of a package or packaging compo-8 nent that was imported into the United States, "manufacturer" includes the importer or first domestic distributor of the package or packaging 9 10 component if the person who currently manufactures or assembles the 11 package or packaging component or whose brand name is affixed to such 12 package or packaging component does not have a presence in the United 13 States. 14 "Package" means any container produced domestically or internae. 15 tionally that markets, protects, or allows for the handling of a product 16 and shall include a unit package, an intermediate package, or a shipping container. "Package" shall also mean and include such unsealed recepta-17 18 cles as carrying cases, crates, cups, pails, tubs, rigid foil and other 19 trays, wrappers, wrapping films, and bags. 20 f. "Packaging component" means any individual assembled part of a 21 package produced domestically or internationally, such as, but not 22 limited to, any interior or exterior blocking, bracing, cushioning, weatherproofing, exterior strapping, coatings, closures, inks, dyes, 23 pigments, adhesives, stabilizers, labels, or any other additives. 24 25 g. "Perfluoroalkyl and polyfluoroalkyl substances" or "PFAS" means all members of the class of fluorinated organic chemicals containing at 26 27 least one fully fluorinated carbon atom. 28 h. "Person" means any individual, public or private corporation, poli-29 tical subdivision, government agency, municipality, industry, co-part-30 nership, association, firm, trust, estate, or any other legal entity. 31 i. "Phthalates" or "ortho-phthalates" means all members of the class 32 of organic chemicals that are esters of phthalic acid and that contain two carbon chains located in the ortho position. 33 34 § 37-0205. Prohibitions. 35 1. No person shall distribute a package or packaging component, or any 36 product that incorporates such package or packaging component, in which 37 lead, cadmium, mercury, or hexavalent chromium are present, individually 38 or in combination, in amounts exceeding 100 parts per million by weight. 39 2. Beginning December 31, 2024, no person shall distribute a package 40 or packaging component, or any product that incorporates such package or 41 packaging component, in which phthalates are present, individually or in 42 combination, in amounts exceeding 100 parts per million by weight 43 (0.01%). 44 3. Notwithstanding subdivision four of this section, beginning Decem-45 ber 31, 2022, no person shall distribute food packaging, or any product that incorporates such food packaging, in which PFAS is present, indi-46 47 vidually or in combination, in amounts exceeding 100 parts per million 48 by weight (0.01%). 49 Beginning December 31, 2024, no person shall distribute a package 4. 50 or packaging component, or any product that incorporates such package or 51 packaging component, in which PFAS is present, individually or in combi-52 nation, in amounts exceeding 100 parts per million by weight (0.01%). 53 § 37-0207. Certificate of compliance. 54 No person who distributes a package or packaging component, or any 55 product that incorporates such package or packaging component, shall be

56 held in violation of this title if they can show that they relied in



1 good faith on the written assurance of the manufacturer or distributor 2 of such package or packaging component that such a package or packaging 3 component met the requirements of this title. Such written assurance shall take the form of a certificate of compliance, in a form and manner 4 prescribed by the department, stating that such a package or packaging 5 6 component is in compliance with the requirements of this title. The 7 certificate of compliance shall be signed by an authorized officer of 8 the manufacturer or distributor of such package or packaging component. 9 A copy of the certificate of compliance shall be kept on file by the manufacturer or distributor of the package or packaging component, and 10 11 shall be provided to the department, upon request. 12 § 37-0209. Violations. 13 A violation of any of the provisions of this title or any rule or 14 regulation promulgated pursuant thereto shall be punishable in the case of a first violation, by a civil penalty not to exceed ten thousand 15 16 dollars. In the case of a second and any further violation, the liabil-17 ity shall be for a civil penalty not to exceed twenty-five thousand dollars for each violation per day. The commissioner shall deposit all 18 19 money recovered or received by the department in satisfaction of penal-20 ties assessed for violations of this title or any rule or regulation 21 promulgated pursuant thereto to the credit of the environmental regula-22 tory account. 23 § 37-0211. Regulations. 24 The department is authorized to promulgate any other such rules and 25 regulations as it shall deem necessary to implement the provisions of 26 this title. The department is authorized to evaluate other chemicals to 27 review for potential regulation under this title. The department may 28 provide a report based upon that evaluation to the governor and legisla-29 ture which may contain recommendations to add other chemicals contained in a package or packaging component to regulate in order to further 30 31 reduce the toxicity of packaging waste. 32 § 37-0213. Severability. 33 If any clause, sentence, paragraph, section or part of this title 34 shall be adjudged by any court of competent jurisdiction to be invalid, such judgment shall not affect, impair or invalidate the remainder ther-35 36 eof, but shall be confined in its operation to the clause, sentence, 37 paragraph, section or part thereof directly involved in the controversy 38 in which such judgment shall have been rendered. 39 § 2. Subdivisions 1 and 2 of section 72-1009 of the environmental 40 conservation law, subdivision 1 as amended by chapter 60 of the laws of 41 1993 and subdivision 2 as added by chapter 166 of the laws of 1991, are 42 amended to read as follows: 43 The environmental regulatory account shall be credited with all 1. 44 moneys received from fees and fee interest collected; all other moneys 45 collected by the department pursuant to title twenty-seven of article 46 twenty-three of this chapter, except as identified under article six of 47 the public officers law; all moneys collected or received by the department pursuant to title two of article thirty-seven of this chapter; and 48 49 any other contributions or donations by the public to such account. 50 2. Moneys in the account, following appropriation by the legislature, 51 shall be allocated upon the certification of approval for availability 52 by the director of the budget for the administration and enforcement of 53 title twenty-seven of article twenty-three and title two of article thirty-seven of this chapter, including but not limited to monitoring, 54 55 surveillance, enforcement, training, research, administration and cooperation with any federal, state or local agency. 56



1 § 3. This act shall take effect immediately.

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## PART TT

3 Section 1. Short title. This act shall be known and may be cited as the 4 "Suffolk County water quality restoration act".

§ 2. Legislative intent. The county of Suffolk ("county"), with a 5 population of one million five hundred thousand persons, has in excess 6 of three hundred eighty thousand existing onsite systems, comprised 7 8 mostly of cesspools and septic systems, with two hundred nine thousand 9 of these onsite systems in environmentally sensitive areas which could 10 benefit from nitrogen-reducing technologies. The United States Environ-11 mental Protection Agency recognizes Long Island as having a sole source 12 aquifer system for its drinking water supply. Suffolk county has an 13 imminent need to preserve this valuable water resource by reducing the 14 amount of nitrogen discharged into the groundwater by onsite systems. 15 The full water cycle is impacted by increasing quantities of nutrients, 16 pathogens, pesticides, volatile organic contaminants and saltwater intrusion, as well as a number of emerging threats such as prescription 17 18 drugs and sea level rise.

The Suffolk county subwatersheds wastewater plan ("SWP"), certified by 19 20 department of environmental conservation as a Nine Elements the Watershed (9E) plan, has documented the devastating effects of high 21 levels of nitrogen pollution, not only on the drinking water quality, 22 23 but also on coastal ecosystems, dissolved oxygen, water clarity, 24 eelgrass, wetlands, shellfish, coastal resilience and in triggering 25 harmful algal blooms. The Suffolk county subwatersheds wastewater plan, 26 or SWP, is a long-term plan to address the need for wastewater treatment infrastructure throughout the county comprehensively over a period of 27 28 fifty years. The SWP delineates the source and concentration of nitrogen 29 loading in one hundred ninety-one subwatersheds throughout the county, 30 and established nitrogen reduction goals for each watershed.

31 For many areas of the county, installing or connecting sewers is not a 32 practical or cost-effective method of treating wastewater. For that 33 reason, the SWP prescribes a hybrid approach that relies on sewering 34 where feasible, and the replacement of cesspools and septic systems with 35 innovative/alternative onsite wastewater treatment systems. The consolidation of any or all of the twenty-seven county sewer districts, as 36 37 well as unsewered areas of the county, into a county-wide wastewater 38 management district allows for the implementation of a much needed inte-39 grated long-term wastewater solution for the county through comprehen-40 sive planning and management, the establishment of a water quality 41 restoration fund and county-wide district board of trustees to monitor 42 progress and the allocation of resources consistent with the goals of 43 the SWP.

The purpose of this act is to create a water quality restoration fund to finance projects for the protection, preservation, and rehabilitation of groundwater and surface waters as recommended by the SWP. This act would allow the funding of projects that will mitigate wastewater pollutants utilizing the best available technology consistent with the SWP.

A county-wide wastewater management district, supported by a dedicated and recurring revenue source, will provide an integrated and efficient approach to managing wastewater services across the county; allow the county to enhance and expand its incentive program to property owners to upgrade their wastewater treatment systems without risk of adverse



1 personal income tax consequences; to manage, monitor and enforce nitro-2 gen reduction programs throughout the county; to complete additional 3 sewer extension projects; and provide an opportunity to consolidate and streamline the county's existing sewer district system and normalize the 4 5 inequitable rate structure that has long existed. 6 The county law is amended by adding a new section 256-b to read § 3. 7 as follows: 8 S 256-b. Suffolk county wastewater management district. 1. (a) 9 Notwithstanding the provisions of any general, special or local law to the contrary, including this article, the county legislature of Suffolk 10 11 county is hereby authorized to establish by resolution a Suffolk county 12 wastewater management district, hereinafter referred to in this section 13 as the "district", which shall include all powers of a sewer district 14 and a wastewater disposal district as provided in section two hundred 15 fifty of this article and as set forth in this subdivision, pursuant to 16 the procedure contained in this section. 17 (b) In addition to the powers provided in section two hundred fifty of 18 this article, the district shall have the power, as determined by the 19 county legislature, to: (i) consolidate all of the original sewer 20 districts within the county as well as unsewered areas of the county, 21 under the jurisdiction of the district; (ii) establish one or more zones 22 of assessment within the district based upon territorial boundaries, the 23 method of wastewater collection, treatment and disposal, existing or 24 proposed, or both, and make changes to such zones of assessments; (iii) 25 acquire interests in real property which may be completed by the trans-26 fer of property of original sewer districts to the district, necessary 27 for the installation and maintenance of district facilities; (iv) prior-28 itize district projects in accordance with the Suffolk county subwat-29 ershed wastewater plan (SWP) adopted by the county legislature, and any amendments thereto; (v) receive funds from the county or the water qual-30 ity restoration fund, as established by subdivision twelve of this 31 32 section, and distribute grant proceeds within the district in accordance 33 with the goals established in the Suffolk county subwatershed wastewater 34 plan; (vi) assume and pay any remaining indebtedness of each original 35 sewer district; (vii) establish and provide for the collection of charg-36 es, rates, taxes or assessments to provide for the costs of operation, expenses, interest payments, maintenance and improvements of 37 the 38 district, including but not limited to: (A) special assessment as 39 defined in subdivision fifteen of section one hundred two of the real 40 property tax law; (B) special ad valorem levy as defined in subdivision 41 fourteen of section one hundred two of the real property tax law; (C) 42 sewer rent as provided under article fourteen-F of the general municipal 43 law; and (viii) distribute grant proceeds within the district in accord-44 ance with the goals established in the SWP. 45 2. Boundaries. The boundaries of the district shall coincide with the 46 territorial boundaries of the county of Suffolk. 47 3. County agency review and report. The county legislature shall 48 direct the county agency, appointed or established pursuant to section 49 two hundred fifty-one of this article, to review and report thereon to 50 the county legislature on the creation of the district and the merger 51 therewith of any or all existing county sewer districts in accordance 52 with this section and such other details as may be directed by the coun-53 ty legislature consistent with this article. When the agency has caused such report to be prepared, it shall transmit it to the county legisla-54 55 ture. Upon receipt of the report, the county legislature shall call a public hearing pursuant to subdivision five of this section to create a 56



1 Suffolk county wastewater management district in accordance with this 2 section. Such report shall be filed in the office of the clerk of the 3 legislature of Suffolk county. 4. Resolution. The county legislature of Suffolk county may adopt a 4 5 resolution calling a public hearing upon the proposed creation of the d<u>istrict.</u> 6 7 5. Notice. The clerk of the county legislature shall give notice of 8 the hearing described in subdivision four of this section in such news-9 papers and within such time period as set forth in section two hundred 10 fifty-four of this article. Such notice shall specify the time, date 11 and location of such hearing and, in general terms, describe the 12 proposed establishment of the district and the proposed basis of the 13 future assessment of all costs of operation, maintenance and improve-14 ments of the district. 15 6. Hearing and resolution to establish. (a) The county legislature 16 shall meet at the time, date and location specified in such notice and 17 hear all persons interested in the subject matter thereof concerning the same. If the county legislature determines that it is in the public 18 19 interest to establish the district as specified in such notice, the 20 county legislature may adopt a resolution, subject to a mandatory refer-21 endum, establishing the district. 22 (b) The permission of the state comptroller shall not be required to 23 establish a district created pursuant to this section. 24 7. Notice of adoption of resolution. Within ten days after the 25 adoption by the county legislature of the resolution to establish the district described in subdivision six of this section, the county legis-26 27 lature shall give notice thereof, at the expense of the county, by the 28 publication of a notice in such newspapers and within such time period 29 as set forth in section one hundred of this chapter. Such notice shall set forth the date of adoption of the resolution and contain an abstract 30 31 of such resolution, describing, in general terms, the district, the basis for the future assessment of all costs of operation, maintenance 32 33 and improvements, and that such resolution was adopted subject to a 34 mandatory referendum. 35 8. Assessments, levys and charges. After the establishment of the district in accordance with this section, the county is hereby author-36 37 ized by resolution approved by majority vote of the total membership of 38 the county legislature to assess, levy and collect upon each lot or parcel of land subject to taxation within the district: (a) special 39 40 assessment as that term is defined in subdivision fifteen of section one 41 hundred two of the real property tax law; (b) special ad valorem levy as 42 that term is defined in subdivision fourteen of section one hundred two 43 of the real property tax law; and (c) sewer rents as provided by article 44 fourteen-F of the general municipal law. Such costs and expenses may 45 include, but shall not be limited to, the amount of money required to 46 pay the annual expenses of maintenance, operation, personnel services of 47 the district and the sums sufficient to pay the annual installment of principal of, and interest on, obligations for improvements of the 48 Such sums so levied shall be collected by the local tax 49 district. 50 collectors or receivers of taxes and assessments and shall be paid over 51 to the chief fiscal officer of the county, in the same manner and at the 52 same time as taxes levied for general county purposes. The chief fiscal 53 officer shall keep a separate account of such moneys and they shall be 54 used only for purposes set forth in this section, and in addition, all monies collected from each zone of assessment established or amended in 55 accordance with this section shall be further segregated and shall not 56



be commingled with monies of other zones of assessment except upon 1 2 approval by resolution of the county legislature upon recommendation of 3 the district board of trustees established in accordance with the Suffolk county water quality restoration act. 4 8-a. Recording determination. The clerk of the county legislature 5 6 shall within ten days after the effective date of the resolution creat-7 ing the district cause a certified copy to be recorded in the office of 8 the clerk of the county and when so recorded such order shall be 9 presumptive evidence of the regularity of the proceedings for the creation of the district and of all other action taken by the county 10 11 legislature pursuant to this section. A certified copy shall also be 12 filed in the office of the state department of audit and control in 13 Albany, New York. 14 9. Other laws. All provisions of the real property tax law and the 15 Suffolk county tax act, as the same may be amended from time to time, 16 not inconsistent with the provisions of this article, relating to the 17 assessing, levy and collection and enforcement of special assessments, ad valorem levies and sewer rents in the county shall apply and be of 18 19 equal force and applicability to special assessments, ad valorem levies 20 and sewer rents authorized pursuant to this section. 21 10. Towns and villages. This section shall not be construed as merging 22 the sewer districts of towns and villages within the county of Suffolk into the district created by this section, however the merger of any 23 24 town or village sewer district with the district shall be in accordance 25 with section two hundred seventy-seven of this article. 26 11. Water quality restoration fee. (a) Notwithstanding any provision 27 of law to the contrary, the county of Suffolk is authorized to establish 28 a water quality restoration fund pursuant to subdivision twelve of this 29 section, to be financed by the water quality restoration fee as provided by this subdivision. Said fund shall be enacted by local law, subject 30 31 to mandatory referendum, pursuant to section twenty-three of the munici-32 pal home rule law. 33 (b) For each residential dwelling unit, the fee shall be five dollars 34 per month. For all other properties, the fee shall be five dollars per month for each "equivalent dwelling unit" (EDU). An EDU shall be defined 35 36 as three hundred gallons of wastewater generated per day. The number of 37 EDUs for each property shall be determined by the actual amount of 38 wastewater generated per day. Where such amount of actual wastewater 39 generated per day cannot be determined for a property, the county, by 40 local law, shall establish a schedule of EDUs for each category of land 41 use consistent with the Suffolk County Sanitary Code. The local law may 42 provide for subcategories for each land use. 43 (c) Such fee shall be collected on all properties in the county of 44 Suffolk except as provided herein. Water usage on public land shall be 45 excluded from such fee. Land utilized as part of a farm operation: (i) 46 located in an agricultural district; or (ii) benefitted by an agricul-47 tural assessment, pursuant to article twenty-five-AA of the agriculture 48 and markets law; or (iii) subject to a government purchase of develop-49 ment rights program; or (iv) otherwise protected for agricultural 50 purposes shall be exempt from the fee. For the purposes of this act 51 "public land" shall mean any land exempt from real property taxation 52 pursuant to title one of article four of the real property tax law. For the purposes of this section, "farm operation" shall have the same mean-53 ing as provided for in section three hundred one of the agriculture and 54

55 <u>markets law.</u>



1 (d) The local law shall also provide for an exemption from the water 2 restoration fee based upon substantial financial hardship. 3 (e) The county, by local law, shall determine the criteria for estab-4 lishing such substantial financial hardship. The county, by local law, shall determine the means and manner of collection for the fee author-5 6 ized pursuant to this section. 7 12. Water quality restoration fund. (a) Notwithstanding any provision 8 of law to the contrary, the net collections from the fee imposed pursu-9 ant to subdivision eleven of this section shall be deposited in a special fund by the county of Suffolk, to be designated as the water 10 11 quality restoration fund, to be created by said county therefor, sepa-12 rate and apart from any other funds and accounts of the county. In no 13 event shall monies deposited in the fund be transferred to any other 14 account. Deposits into the fund may include revenues of Suffolk county 15 from whatever source and shall include, at a minimum, all net revenues 16 from the water quality restoration fee imposed pursuant to subdivision 17 eleven of this section. The fund shall also be authorized to accept 18 gifts of funds. Interest accrued by monies deposited into the fund shall 19 be credited to the fund. Nothing contained in this section shall be 20 construed to prevent the financing in whole or in part, pursuant to the 21 local finance law, of any project authorized pursuant to this section. 22 Monies from the fund may be utilized to repay any indebtedness or obligations incurred pursuant to the local finance law consistent with 23 effectuating the purposes of this section. Where Suffolk county finances 24 25 a project, in whole, or in part, pursuant to the local finance law, the resolution authorizing such indebtedness shall be accompanied by a 26 27 report from the county executive demonstrating how said indebtedness 28 will be repaid by the fund. Said report shall include an estimate of projected revenues of the fund during the period of indebtedness. The 29 report shall also provide an accounting of all other indebtedness 30 incurred against the fund to be repaid for the same period. The county 31 legislature shall make findings by resolution that there will be suffi-32 33 cient revenue to repay such indebtedness in its entirety from the fund before authorizing such indebtedness. Monies in said fund may be appro-34 35 priated from or expended in any fiscal year to implement the powers set 36 forth in this section and to repay any indebtedness or obligations 37 incurred pursuant to the local finance law for the purposes authorized 38 pursuant to this section. 39 (b) (i) For purposes of this section: "water quality improvement 40 project" shall mean the planning, design, construction, acquisition, 41 enlargement, extension, or alteration of a wastewater treatment facility, including individual hookups, or an individual septic system, 42 43 including an alternative wastewater treatment facility or an individual 44 septic system with active treatment, to treat, neutralize, stabilize, 45 eliminate or partially eliminate sewage or reduce pollutants, including 46 permanent or pilot demonstration wastewater treatment projects, or 47 equipment or furnishings thereof. Such projects shall have as their 48 purpose the remediation of existing water quality to meet specific water 49 quality standards consistent with the SWP. Projects consistent with or 50 listed in the SWP that are part of a plan adopted by a local government 51 resulting in a net nitrogen reduction shall be eligible for consider-52 ation by the district board of trustees, established in accordance with 53 subdivision six of this section. Projects designed primarily to increase 54 density shall not be included within this definition. Of the annual collections of the fund, seventy-five percent of the annual funds shall 55 be used toward individual septic systems purposes, inclusive of: (A) the 56



1 preparation of an annual SWP implementation action plan to protect, 2 preserve, and rehabilitate groundwater, surface water, and drinking 3 water; (B) the construction of water quality improvement projects; (C) the establishment of a program for residents of the county of Suffolk 4 for grants and low-interest loans as incentives to construct individual 5 6 septic systems which qualify as water quality improvement projects; and (D) administration of the county wastewater management district not to 7 8 exceed ten percent of the annual funds. 9 (ii) Other than for the payment of indebtedness or obligations incurred as set forth in paragraph (a) of this subdivision, and except 10 11 for the preparation of the annual SWP implementation plan, itself, no 12 monies may be expended until the annual SWP implementation plan has been 13 prepared and approved as provided for in this section. 14 (c) (i) Within the local law establishing the water quality restora-15 tion fund, the county shall establish a district board of trustees of 16 seventeen members to review and approve the action plan for submission 17 to the county executive and county legislature. Such approval shall be 18 in addition to all other approvals required by law. The board of trus-19 tees shall consist of: (A) a representative from the department of envi-20 ronmental conservation; (B) a representative from the East End supervi-21 sors and mayors association; (C) a representative of the Suffolk town 22 supervisors association; (D) a representative of the Suffolk County 23 Village Officials Association; (E) a town representative from the State 24 Central Pine Barrens Joint Planning and Policy Commission to be desig-25 nated by the commission; (F) a municipal representative from the Peconic Estuary Partnership; (G) a municipal representative from the State South 26 27 Shore Estuary Reserve; (H) a municipal representative from the Long 28 Island Sound Estuary; (I) a representative of the Long Island Federation 29 of Labor; (J) a representative of Building and Construction Trades Council of Nassau & Suffolk counties; (K) a representative from a regional 30 environmental organization; (L) the chair of the Suffolk county planning 31 32 commission; (M) the county executive or designee; (N) the presiding 33 officer of the county legislature or designee; (0) the minority leader 34 of the county legislature or designee; (P) the county department of 35 public works commissioner or designee; and (Q) the county department of 36 health services commissioner or designee. 37 (ii) The powers and duties of the district board of trustees shall 38 include auditing fiscal allocations as it relates to the goals of the Suffolk county subwatersheds wastewater plan, making prudent recommenda-39 40 tions for resource allocations for county-approved alternative wastewa-41 ter treatment technologies not contemplated in the Suffolk county 42 subwatersheds wastewater plan and long-term progress monitoring of the 43 implementation of the Suffolk county subwatersheds wastewater plan 44 regarding achievements of nitrogen load reductions and ecological 45 endpoints. 46 (d) Water quality restoration citizens advisory committee. Within the 47 local law establishing the district board of trustees, the county is authorized to establish a water quality restoration citizens advisory 48 49 committee ("advisory committee") to actively assist and advise the board 50 of trustees in the preparation, adoption and implementation of the annual SWP implementation plan. The committee shall consist of not more 51 52 than twenty-five members which shall include representatives of environ-53 mental groups, economic development and real estate interests, farmers, 54 water suppliers, civic groups, planners, biologists, and water quality scientists and recreational interests. The members of the committee 55 shall serve without compensation. The committee by a majority vote shall 56



1 elect a chairperson. The advisory committee shall meet periodically with 2 the board of trustees, make available working drafts of such plan and 3 other documents, and shall provide services to the district board of 4 trustees, as are necessary and appropriate to carry out its functions 5 under this section. The county by resolution of the county legislature, 6 shall appoint the members of the advisory committee.

7 (e) Annual SWP implementation plan. The water quality restoration fund 8 and district board of trustees shall prepare, review and approve and 9 submit to the county executive the annual SWP implementation plan within one year of the effective date of this section, and in every year there-10 11 after in a like manner. The board of trustees shall conduct a public 12 hearing on said plan before its adoption or subsequent amendment. Each 13 year, said plan shall list every water quality restoration project which 14 the county plans to undertake pursuant to the fund and shall state how 15 such project would improve existing water quality. Funds may only be 16 expended pursuant to this section for projects which have been included 17 in said plan. Said plan shall be consistent with state, federal, county, 18 and local government land use and wastewater management plans. After 19 submission and approval by the county executive, such plan shall be 20 submitted to the county legislature. Such plan shall not become effec-21 tive until approved by local law.

(f) Annual audit. The county shall annually commission an independent 22 23 audit of the fund. The audit shall be conducted by an independent certi-24 fied public accountant or an independent public accountant. Said audit 25 shall be performed by a certified public accountant or an independent 26 public accountant other than the one that performs the general audit of 27 the county's finances. Such audit shall be an examination of the fund 28 and shall determine whether the fund has been administered consistent 29 with the provisions of this section and all other applicable provisions of state law. Said audit shall be initiated within sixty days of the 30 close of the fiscal year of the county and shall be completed within one 31 hundred twenty days of the close of the fiscal year. A copy of the 32 33 audit shall be submitted annually to the state comptroller and the coun-34 ty comptroller. A copy of the audit shall be made available to the 35 public within thirty days of its completion. A notice of the completion 36 of the audit shall be published in the official newspaper of the county and shall also be posted on the internet website for the county. The 37 38 cost of the audit may be a charge to the fund.

39 (g) Annual report. In addition to any other report required by this 40 section, the water quality restoration fund and district board of trus-41 tees, through its chairperson, shall deliver annually, in oral and 42 written form, a report to the county legislature. Such report shall 43 be presented by May fifteenth of each year. The report shall describe in 44 detail the projects undertaken, the monies expended, and the administra-45 tive activities of the water quality fund and district established in 46 accordance with this section, during the prior year. At the conclusion 47 of the report, the chairperson of the water quality restoration fund and 48 district board of trustees shall be prepared to answer the questions of 49 the county legislature with respect to the projects undertaken, the 50 monies expended, and the administrative activities during the past year. 51 13. Amendment by mandatory referendum only. Where the provisions of 52 this section have been adopted by local law subject to mandatory refer-53 endum, said local law may only be amended, modified, repealed, or altered by enactment of another local law subject to mandatory referen-54 dum under the municipal home rule law. 55

56 § 4. This act shall take effect immediately.



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#### PART UU

2 Section 1. Paragraph h of subdivision 1 of section 17-1909 of the 3 environmental conservation law, as added by chapter 565 of the laws of 4 1989, is amended to read as follows:

5 h. "Municipality" means any county, city, town, village, district 6 corporation, county or town improvement district, <u>school district</u>, Indi-7 an reservation wholly within New York state, any public benefit corpo-8 ration or public authority established pursuant to the laws of New York 9 or any agency of New York state which is empowered to construct and 10 operate an eligible project, or any two or more of the foregoing which 11 are acting jointly in connection with an eligible project.

12 § 2. This act shall take effect immediately.

### PART VV

Section 1. Subdivisions 2, 3, 4 and 5 of section 381 of the executive law, as added by chapter 707 of the laws of 1981, subdivision 2 as amended by chapter 560 of the laws of 2010, are amended, subdivision 6 renumbered subdivision 8, and two new subdivisions 6 and 7 are added to read as follows:

19 2. Except as may be provided in regulations of the secretary pursuant 20 to subdivision one of this section, every local government shall admin-21 ister and enforce the uniform fire prevention and building code and the 22 state energy conservation construction code on and after the first day 23 of January, nineteen hundred eighty-four, provided, however, that a 24 local government may enact a local law prior to the first day of July in 25 any year providing that it will not enforce such codes on and after the first day of [January] April next succeeding. In such event the county 26 27 in which said local government is situated shall administer and enforce 28 such codes within such local government from and after the first day of [January] April next succeeding the effective date of such local law, in 29 30 accordance with the provisions of paragraph b of subdivision five of this section unless the county shall have previously enacted a local law 31 providing that it will not enforce such codes within that county. 32 In 33 such event the secretary in the place and stead of the local government shall, directly or by [contract] using the services of any contractors 34 35 or other third-party providers as the secretary may deem to be qualified, administer and enforce the uniform code and the state energy 36 37 conservation construction code within such local government on and after 38 the first day of April next succeeding. A county that is responsible for 39 administering and enforcing such codes within a local government pursu-40 ant to the foregoing provisions of this subdivision may enact a local 41 law prior to the first day of October in any year providing that it will not enforce such codes within such local government on and after the 42 43 first day of April next succeeding. In such event, the secretary, in the 44 place and stead of such local government, shall, directly or by using the services of any contractors or other third-party providers as the 45 46 secretary may deem to be qualified, administer and enforce such codes in 47 such local government from and after the first day of April next 48 succeeding. A local government that adopts a local law providing that it 49 will not enforce such codes on and after the first day of April next 50 succeeding shall promptly notify the county in which such local government is located and the secretary of the adoption of such local law. A 51 52 county that adopts a local law providing that it will not enforce such codes on and after the first day of April next succeeding shall promptly 53



1 notify each local government in which such county is administering and 2 enforcing such codes and the secretary of the adoption of such local 3 law. A local government or a county may repeal a local law which provides that it will not enforce such codes and shall thereafter admin-4 ister and enforce such codes as provided above. Two or more local 5 governments may provide for joint administration and enforcement of the 6 7 uniform code, the state energy conservation construction code, or both, 8 by agreement pursuant to article five-G of the general municipal law. Any local government may enter into agreement with the county in which 9 such local government is situated to administer and enforce the uniform 10 11 code, the state energy conservation construction code, or both, within 12 such local government. Local governments or counties that administer 13 and enforce the uniform code, the state energy conservation construction 14 code, or both, may charge and collect fees to defray the costs of admin-15 istration and enforcement. Where the secretary is responsible for 16 administration and enforcement of the uniform code and state energy 17 conservation construction code within a local government pursuant to 18 this subdivision or pursuant to paragraph e of subdivision four of this 19 section, (a) the secretary shall administer and enforce the codes in 20 accordance with the provisions of rules and regulations promulgated 21 pursuant to subdivision one of this section; (b) any person or entity 22 who knowingly violates any provision of such rules and regulations shall 23 be punishable by a fine not to exceed one thousand dollars per day of 24 violation, imprisonment not to exceed one year, or both, and (c) the 25 secretary may charge and collect fees to defray the costs of adminis-26 tration and enforcement. 27 3. a. On and after the first day of July, nineteen hundred eighty-28 five, the secretary shall have power to investigate [and conduct hear-29 ings relative to] whether administration and enforcement of the uniform fire prevention and building code and the state energy conservation 30 construction code complies with the minimum standards promulgated pursu-31 32 ant to subdivision one of this section. In connection with any such 33 investigation, the secretary shall have the power to issue subpoenas 34 compelling the testimony of witnesses, the production of documents, or 35 both, and the power, at the secretary's discretion, to conduct one or hearings. At least ten days written notice of any such hearing 36 more 37 shall be provided to the elective or appointive chief executive officer 38 or, if there be none, the chairman of the legislative body of the local 39 government or county whose administration and enforcement of the uniform 40 code and state energy conservation construction code is at issue. 41 b. The elective or appointive chief executive officer or, if there be 42 none, the chairman of the legislative body of a county may, with 43 approval of a majority vote of the legislative body of such county, 44 submit to the secretary a written notice requesting the secretary to 45 authorize such county to investigate whether administration and enforce-46 ment of the uniform fire prevention and building code and the state 47 energy conservation construction code by a local government located in 48 such county complies with the minimum standards promulgated pursuant to 49 subdivision one of this section. Upon receipt of such notice, the secre-50 tary may authorize such county to conduct such investigation and to 51 provide a written report upon completion of such investigation to the 52 secretary. In connection with any such investigation, the county shall 53 have the power to issue subpoenas compelling the testimony of witnesses, 54 the production of documents, or both, and the power, at the county's discretion, to conduct one or more hearings. At least ten days written 55 notice of any such hearing shall be provided to the elective or appoin-56



1 tive chief executive officer or, if there be none, the chairman of the 2 legislative body of the local government whose administration and 3 enforcement of the uniform code and state energy conservation construction code is at issue. Upon receipt of the county's report, the 4 secretary may issue a determination based on such report, conduct 5 6 further investigations, or take such other action as the secretary deems 7 appropriate, and the secretary shall notify the county and the local 8 government of the actions to be taken by the secretary. Nothing in this 9 paragraph shall limit or impair the secretary's power to investigate, 10 issue subpoenas, and conduct hearings as provided in paragraph a of this 11 subdivision. Nor shall the power of the secretary to investigate, issue 12 subpoenas, and conduct hearings as provided in paragraph a of this 13 subdivision be diminished or otherwise affected by reason of a county 14 submitting, or not submitting, a notice pursuant to this paragraph. 15 4. If the secretary determines that a local government has failed to 16 administer and enforce the uniform fire prevention and building code 17 and/or the state energy conservation construction code in accordance with the minimum standards promulgated pursuant to subdivision one of 18 19 this section, the secretary shall take any of the following actions, 20 either individually or in combination in any sequence: 21 a. The secretary may issue an order compelling compliance by such local government with the  $\underline{\texttt{minimum}}$  standards [for administration and 22 23 enforcement of the uniform code] promulgated pursuant to subdivision one 24 of this section. 25 b. The secretary may appoint and remove any person deemed qualified by 26 the secretary as an oversight officer, who shall have the power and 27 authority to do any or all of the following, at the discretion of the 28 oversight officer and at the expense of such local government: (i) observe and report on compliance by such local government with the 29 minimum standards promulgated pursuant to subdivision one of this 30 31 section; 32 (ii) direct all or any part of the code enforcement activities of the 33 local government's code enforcement personnel; 34 (iii) hire, contract for, or otherwise obtain the services of quali-35 fied third parties to review building permit applications and plans and 36 specifications submitted therewith, conduct construction inspections and 37 periodic fire safety and property maintenance inspections, and perform 38 other code enforcement activities within the local government; 39 (iv) issue notices of violation, appearance tickets, orders to remedy, 40 and other instruments related to code violations within the local 41 government, or direct the local government to do so, and refer such 42 violations to counsel for the local government or the district attorney 43 for the county in which the local government is located for appropriate 44 prosecution; and 45 (v) take any other steps deemed by the oversight officer to be neces-46 sary or appropriate to ensure that the uniform code and state energy 47 conservation construction code are administered and enforced within such 48 local government in a due and proper manner and in compliance with the minimum standards promulgated pursuant to subdivision one of this 49 50 Any person who is appointed as an oversight officer pursuant section. 51 to this paragraph shall be deemed to be a state officer under section 52 two of the public officers law. 53 c. The secretary may ask the attorney general to institute in the name 54 of the secretary an action or proceeding seeking appropriate legal or equitable relief to require such local government to administer and 55 enforce the uniform code and state energy conservation construction code 56



1 in a due and proper manner and in compliance with the minimum standards 2 promulgated pursuant to subdivision one of this section, including but 3 not limited to requiring such local government to take specific remedial actions, such as establishing and enforcing an effective code enforce-4 ment program, conducting fire safety and property maintenance 5 6 inspections, increasing the frequency of fire safety and property maintenance inspections, and taking enforcement actions that are timely and 7 8 responsive to circumstances associated with the property in question 9 when violations are identified. [c. the] d. The secretary may designate the county in which such local 10 11 government is located, or any other local government that adjoins or is 12 reasonably proximate to such local government, to administer and enforce 13 the uniform code and state energy conservation construction code in such 14 local government. In the case of such designation, the provisions of 15 subdivision five of this section shall apply. 16 [d.] e. The secretary may, in the place and stead of the local govern-17 ment, directly or by using the services of any contractors or other 18 third-party providers as the secretary may deem to be qualified, admin-19 ister and enforce the uniform code and state energy conservation 20 construction code in such local government in accordance with the mini-21 mum standards promulgated pursuant to subdivision one of this section. 22 In such event, the provisions of subdivision five of this section shall 23 apply. 24 f. The secretary may designate the county in which such local govern-25 ment is located, any other local government that adjoins or is reason-26 ably proximate to such local government, or the department of state to 27 perform within such local government such types and classes of code 28 enforcement activities, such as permit application review and approval, 29 construction inspections, and fire safety and property maintenance inspections, as the secretary may specify. In the case of such desig-30 nation, the provisions of subdivision seven of this section shall apply. 31 5. Where the secretary has designated a county or adjoining or reason-32 33 ably proximate local government to administer and enforce the uniform fire prevention and building code and state energy conservation 34 construction code within a local government pursuant to paragraph d of 35 36 subdivision four of this section, or has assumed authority for adminis-37 tration and enforcement of the uniform fire prevention and building code 38 and state energy conservation construction code within a local govern-39 <u>ment</u> pursuant to [subdivision two or] paragraph [d] <u>e</u> of subdivision 40 four of this section: 41 а. [Such] The local government [or county government] that is not 42 administering or enforcing the uniform code and state energy conserva-43 tion construction code in accordance with minimum standards shall not 44 administer and enforce the uniform code or state energy conservation 45 construction code, and shall not charge or collect fees for such admin-46 istration and enforcement. 47 b. [Such] The designated county or local government or the secretary shall administer and enforce the uniform code within [such] the local 48 49 government whose administration and enforcement of the uniform code and 50 state energy conservation construction code has not met the minimum 51 standards from and after the date of such designation or assumption. 52 Such administration and enforcement shall apply the minimum standards promulgated by the secretary pursuant to subdivision one of this 53 section. Notwithstanding any other provisions of law, such designated 54 county or local government or the secretary shall have full power to 55 administer and enforce the uniform code [in accordance with such] and 56



1 state energy conservation construction code in the local government 2 whose administration and enforcement of the uniform code and state ener-3 gy conservation construction code has not met the minimum standards, including the power to charge and collect fees for such administration 4 5 and enforcement. 6 c. The secretary shall designate the local government [or county 7 government] whose administration and enforcement of the uniform code and 8 state energy conservation construction code did not meet the minimum 9 standards to resume administration and enforcement of the uniform code when the secretary is satisfied that such local government [or county] 10 11 will provide such administration and enforcement in compliance with the 12 minimum standards promulgated pursuant to subdivision one of this 13 section. 14 d. The provisions of subdivisions three and four of this section shall 15 apply to counties [which have been designated to administer and enforce 16 the uniform code in such local government] that are responsible for 17 administration and enforcement of the uniform code and state energy conservation construction code within a local government pursuant to 18 19 subdivision two of this section, to counties that have been designated 20 to administer and enforce the uniform code and state energy conservation 21 construction code within a local government pursuant to paragraph d of 22 subdivision four of this section, and to local governments that have been designated to administer and enforce the uniform code and state 23 24 energy conservation construction code within another local government 25 pursuant to paragraph d of subdivision four of this section. Where the 26 provisions of subdivisions three and four of this section are applicable 27 to a county, references in those subdivisions to a local government 28 whose administration and enforcement of the uniform code and state ener-29 gy conservation construction code have been determined by the secretary to have not met the minimum standards shall be construed as references 30 31 to such county. 32 6. Where the secretary has designated a county, another local govern-33 ment, or the department to perform specified types and classes of code 34 enforcement activities within a local government pursuant to paragraph f 35 of subdivision four of this section: 36 The local government whose administration and enforcement of the a. 37 uniform code and state energy conservation construction code has not met 38 the minimum standards shall not perform the types and classes of code 39 enforcement activities specified in such designation and shall accept 40 performance of such types and classes of code enforcement activities by 41 the designee; 42 b. The local government whose administration and enforcement of the 43 uniform code and state energy conservation construction code has not met 44 the minimum standards shall reimburse the designee for the costs and 45 expenses incurred by the designee in performing the designated types and 46 classes of code enforcement activities; and 47 c. The secretary shall designate the local government whose adminis-48 tration and enforcement of the uniform code and state energy conserva-49 tion construction code has not met the minimum standards to resume 50 performance of the designated types and classes of code enforcement 51 activities when the secretary is satisfied that such local government 52 will perform such activities in a due and proper manner and will other-53 wise provide administration and enforcement of the uniform code and 54 state energy conservation construction code in compliance with the minimum standards promulgated pursuant to subdivision one of this section. 55

113



1 7. a. The term "authority having jurisdiction" as used in this subdi-2 vision shall mean a local government or county that is responsible for 3 administering and enforcing the uniform code and/or the energy code within a local government; the term "default code enforcement program" 4 shall mean the code enforcement program established by the rules and 5 6 regulations promulgated pursuant to paragraph b of this subdivision; and 7 the term "required features" shall mean the features required by the 8 rules and regulations promulgated pursuant to subdivision one of this 9 section to be included in a code enforcement program. 10 b. The secretary is authorized to promulgate, and to amend from time 11 time, rules and regulations establishing a default code enforcement 12 program. Such default code enforcement program shall include provisions 13 establishing the required features and such other provisions as the 14 secretary may deem to be appropriate for inclusion in a code enforcement 15 program. Such default code enforcement program shall also establish fees 16 to be charged by any authority having jurisdiction that administers and 17 enforces the uniform code and/or energy code in accordance with the provisions of the default code enforcement program. 18 19 c. Any authority having jurisdiction that has not established its own 20 code enforcement program shall administer and enforce the uniform code 21 and/or energy code in accordance with the provisions of the default code 22 enforcement program. 23 d. Any authority having jurisdiction that administers and enforces the 24 uniform code and/or energy code in accordance with the provisions of the 25 default code enforcement program pursuant to paragraph c of this subdivision shall, through its chief executive officer, have full power and 26 27 authority to designate the public officer or agency authorized to issue 28 an appearance ticket, and a public officer who, by virtue of office, 29 title or position, is authorized or required to enforce the provisions 30 of the uniform code and the state energy conservation construction code and the provisions of the default code enforcement program as fully and 31 32 with the same force and effect as such authority having jurisdiction 33 would have to enforce provisions established by a local law, ordinance, 34 or regulation enacted or adopted by such authority having jurisdiction. 35 The designation authorized by this paragraph shall not take effect until 36 it has been filed with the department of state, and must be maintained 37 on the website of such authority having jurisdiction unless and until 38 such authority having jurisdiction passes a local law delegating the 39 enforcement authority referenced in this paragraph. 40 e. Where an authority having jurisdiction is administering and enforc-41 ing the uniform code and/or energy code in accordance with the 42 provisions of the default code enforcement program pursuant to paragraph 43 c of this subdivision, any person or entity who knowingly violates any 44 applicable provision of the default code enforcement program shall be 45 punishable by a fine of not more than one thousand dollars per day of 46 violation, or imprisonment not exceeding one year, or both. 47 § 2. Section 382 of the executive law is amended by adding two new 48 subdivisions 5 and 6 to read as follows: 5. Notwithstanding any other provision of law, all fines imposed and 49 50 collected for any violation of this section shall be paid at least 51 monthly into the treasury of the local government in which such 52 violation occurred, unless: (i) the county is administering and enforc-53 ing the uniform fire prevention and building code and state energy conservation construction code in such local government as provided by 54 subdivision two or four of section three hundred eighty-one of this 55 article, in which case such fines and penalties collected in cases aris-56



1 ing out of the violation of this section shall be paid at least monthly 2 into the treasury of the county, (ii) an adjoining or reasonably proxi-3 mate local government is administering and enforcing the uniform fire prevention and building code and state energy conservation construction 4 5 code in such local government as provided by subdivision four of section 6 three hundred eighty-one of this article, in which case such fines and 7 penalties collected in cases arising out of the violation of this 8 section shall be paid at least monthly into the treasury of such adjoin-9 ing or reasonably proximate local government, or (iii) the secretary is administering and enforcing the uniform fire prevention and building 10 code and state energy conservation construction code in such local 11 12 government as provided by subdivision two or four of section three 13 hundred eighty-one of this article, in which case such fines and penal-14 ties collected in cases arising out of the violation of this section 15 shall be paid at least monthly into the general fund established by 16 section seventy-two of the state finance law. Where two or more local 17 governments have provided for joint administration and enforcement of the uniform code, the state energy conservation construction code, or 18 19 both, by agreement pursuant to article five-G of the general municipal 20 law, such local governments may provide in such agreement for a differ-21 ent distribution of such fines.

22 6. The civil penalties provided in subdivision four of this section 23 may be recovered in an appropriate action or proceeding commenced by the 24 local government, county, or state agency responsible for administration 25 and enforcement of the uniform code with respect to the building that 26 was altered in violation of any provision of the uniform code or any 27 lawful order obtained thereunder, and shall be payable to the treasury 28 of such local government, the treasury of such county, or the general 29 fund of the state of New York, as applicable.

30 § 3. This act shall take effect immediately.

31

# PART WW

32 Section 1. Subdivision 3 of section 2251 of the vehicle and traffic 33 law, as amended by section 5 of part G of chapter 59 of the laws of 34 2009, is amended to read as follows:

35 3. Fees. The triennial fee for registration of a vessel shall be: 36 twenty-two dollars and fifty cents [and a vessel surcharge of three 37 dollars and seventy-five cents,] if less than sixteen feet in length; 38 forty-five dollars [and a vessel surcharge of twelve dollars and fifty 39 cents,] if sixteen feet or over but less than twenty-six feet in length; 40 seventy-five dollars [and a vessel surcharge of eighteen dollars and 41 seventy-five cents,] if twenty-six feet or over. [All funds derived from 42 the collection of the vessel access surcharge pursuant to this subdivision are to be deposited in a subaccount of the "I love NY waterways" 43 44 vessel access account established pursuant to section ninety-seven-nn of 45 the state finance law. The vessel access surcharge shall not be considered a registration fee for purposes of section seventy-nine-b of the 46 47 navigation law.

48 Notwithstanding any inconsistent provision of this section, the differ-49 ence collected between the fees set forth in this subdivision in effect 50 on and after September first, two thousand nine and the fees set forth 51 in this subdivision prior to such date shall be deposited to the credit 52 of the dedicated highway and bridge trust fund. Notwithstanding any 53 inconsistent provision of this section, the difference collected between 54 the vessel surcharge set forth in this subdivision in effect on and



1 after September first, two thousand nine and the vessel surcharge set 2 forth in this subdivision in effect prior to such date shall be deposited to the credit of the dedicated highway and bridge trust fund.] 3 § 2. Subdivision 2 of section 97-nn of the state finance law, as added 4 by chapter 524 of the laws of 2008, is amended to read as follows: 5 2. The "I love NY waterways" fund shall consist of [two accounts: (a)] 6 the "I love NY waterways" boating safety account [; and (b) the "I love 7 8 NY waterways" vessel access account. Moneys in each account shall be kept separate and not commingled with any other moneys of the state]. 9 3. Subdivision 4 of section 97-nn of the state finance law, as 10 S amended by chapter 524 of laws of 2008, is REPEALED. 11 12 § 4. This act shall take effect immediately; provided, however, that 13 sections two and three of this act shall take effect April 1, 2024. 14 PART XX 15 Section 1. Section 15-2115 of the environmental conservation law is amended to read as follows: 16 17 § 15-2115. Taxation of real estate. Lands owned by the state and acquired pursuant to the provisions of 18 19 title 21 of this article, exclusive of the improvements erected thereon by the regulating districts, shall be assessed and taxed in the same 20 manner as state lands subject to taxation pursuant to title 2 of article 21 5 of the Real Property Tax Law, provided, however, that the aggregate 22 23 assessed valuations of such lands in any town shall not be reduced below the aggregate assessed valuations thereof with the improvements thereon 24 25 at the time of their acquisition by the regulating districts, and provided further that in case of a general increase in assessments in 26 27 any town the assessed valuations of the lands and improvements at the 28 time of their acquisition by the regulating districts shall be deemed to have been increased proportionately with the increase of other real 29 property in such tax district. [The taxes levied thereon shall be paid 30 by the river regulating district under whose authority the land was 31 32 acquired.] § 2. Section 532 of the real property tax law is amended by adding a 33 34 new subdivision (1) to read as follows: 35 (1) lands owned by the state and acquired pursuant to the provisions 36 of title twenty-one of article fifteen of the environmental conservation law exclusive of the improvements erected thereon erected by the regu-37 38 lating districts. 39 § 3. This act shall take effect immediately. 40 PART YY 41 Section 1. Subdivision 6 of section 5.09 of the parks, recreation and historic preservation law is REPEALED. 42 43 § 2. Section 7.11 of the parks, recreation and historic preservation law, as amended by chapter 679 of the laws of 1981, is amended to read 44 45 as follows: § 7.11 Powers and duties of commissions. Each regional park, recre-46 47 ation and historic preservation commission shall: 48 1. [Review the application of policy and plans of the office to the park region served by the commission and review and approve the budget 49 50 for such region prior to its submission to the commissioner. 51 2. Adopt policies, rules and regulations applicable to its park region subject to the general policies formulated by the commissioner and 52



1 reviewed by the council and in conformity with rules and regulations 2 adopted by the commissioner. 3 3.] Act as a central advisory agency on all matters affecting parks, outdoor recreation and historic preservation within the park region it 4 5 serves. 2. Represent and convey to the commissioner and council citizen 6 [4.] 7 viewpoints as to the programs and needs of the park region it serves. 8 [5.] 3. Maintain close liaison with officials of the office having administrative jurisdiction over the park region which it serves, and 9 advise such officials on local policy, operational and budgetary 10 11 matters. 12 § 3. Section 7.13 of the parks, recreation and historic preservation 13 law is REPEALED. 14 § 4. This act shall take effect immediately. 15 PART ZZ 16 Section 1. Subsections (e) and (g) of section 7002 of the insurance 17 as amended by chapter 188 of the laws of 2003, are amended to read law, 18 as follows: 19 (e) "Industrial insured" means an insured: 20 (1) whose net worth exceeds one hundred million dollars; (2) who is a member of a holding company system whose net worth 21 22 exceeds one hundred million dollars; 23 (3) who is the metropolitan transportation authority and its statutory 24 subsidiaries. When filing an application to form a pure captive insur-25 ance company the metropolitan transportation authority shall submit 26 written notice of such filing to the governor, the temporary president of the senate and the speaker of the assembly; [or] 27 28 (4) who is the power authority of the state of New York and any statu-29 tory subsidiary thereof. When filing an application to form a pure captive insurance company the power authority shall submit written 30 notice of such filing to the governor, the temporary president of the 31 32 senate and the speaker of the assembly; or 33 (5) who is a city with a population of one million or more. When 34 filing an application to form a pure captive insurance company, a city 35 with a population of one million or more shall submit written notice of 36 such filing to the governor, the temporary president of the senate and the speaker of the assembly. 37 38 (g) "Industrial insured group" means any group of unaffiliated indus-39 trial insureds that are engaged in similar or related businesses or 40 activities, however, the metropolitan transportation authority, the 41 power authority of the state of New York and any statutory subsidiary 42 thereof and cities with a population of one million or more shall not be 43 a member of an industrial insured group, and that collectively: 44 own, control or hold with power to vote all of the outstanding (1)45 voting shares of stock of a group captive insurance company incorporated 46 as a stock insurer; or 47 (2) represent one hundred percent of the voting members of a group 48 captive insurance company organized as a mutual insurer. § 2. Section 1005 of the public authorities law is amended by adding a 49 50 new subdivision 28 to read as follows: 51 28. The authority may establish a subsidiary corporation for the purpose of forming a pure captive insurance company as provided in 52 section seven thousand two of the insurance law. The members of such 53 subsidiary corporation of the authority shall be the same persons hold-54



1 ing the offices of members of the authority. The employees of any such 2 subsidiary corporation, except those who are also employees of the 3 authority, shall not be deemed employees of the authority.

4 § 3. Subdivision (a) of section 1500 of the tax law, as amended by 5 section 21 of part A of chapter 59 of the laws of 2014, is amended to 6 read as follows:

(a) The term "insurance corporation" includes a corporation, associ-7 8 ation, joint stock company or association, person, society, aggregation or partnership, by whatever name known, doing an insurance business, 9 and, notwithstanding the provisions of section fifteen hundred twelve of 10 11 this article, shall include (1) a risk retention group as defined in 12 subsection (n) of section five thousand nine hundred two of the insur-13 ance law, (2) the state insurance fund and (3) a corporation, associ-14 ation, joint stock company or association, person, society, aggregation 15 or partnership doing an insurance business as a member of the New York 16 insurance exchange described in section six thousand two hundred one of 17 the insurance law. The definition of the "state insurance fund" 18 contained in this subdivision shall be limited in its effect to the 19 provisions of this article and the related provisions of this chapter and shall have no force and effect other than with respect to such 20 21 provisions. The term "insurance corporation" shall also include a 22 captive insurance company doing a captive insurance business, as defined 23 in subsections (c) and (b), respectively, of section seven thousand two 24 of the insurance law; provided, however, "insurance corporation" shall 25 not include the metropolitan transportation authority, the power authority of New York or any statutory subsidiary thereof, or a public benefit 26 27 corporation or not-for-profit corporation formed by a city with a popu-28 lation of one million or more pursuant to subsection (a) of section 29 seven thousand five of the insurance law, each of which is expressly exempt from the payment of fees, taxes or assessments, whether state or 30 local; and provided further "insurance corporation" does not include any 31 combinable captive insurance company. The term "insurance corporation" 32 33 shall also include an unauthorized insurer operating from an office within the state, pursuant to paragraph five of subsection (b) of 34 section one thousand one hundred one and subsection (i) of section two 35 36 thousand one hundred seventeen of the insurance law. The term "insurance 37 corporation" also includes a health maintenance organization required to 38 obtain a certificate of authority under article forty-four of the public 39 health law.

40 § 4. Subdivision (a) of section 1502-b of the tax law, as amended by 41 section 22 of part A of chapter 59 of the laws of 2014, is amended to 42 read as follows:

43 (a) In lieu of the taxes and tax surcharge imposed by sections fifteen 44 hundred one, fifteen hundred two-a, fifteen hundred five-a, and fifteen 45 hundred ten of this article, every captive insurance company licensed by 46 the superintendent of financial services pursuant to the provisions of 47 article seventy of the insurance law, other than the metropolitan transportation authority, the power authority of New York or any statutory 48 49 subsidiary thereof, and a public benefit corporation or not-for-profit corporation formed by a city with a population of one million or more 50 pursuant to subsection (a) of section seven thousand five of the insur-51 52 ance law, each of which is expressly exempt from the payment of fees, taxes or assessments whether state or local, and other than combinable 53 54 captive insurance company, shall, for the privilege of exercising its 55 corporate franchise, pay a tax on (1) all gross direct premiums, less return premiums thereon, written on risks located or resident in this 56



1 state and (2) all assumed reinsurance premiums, less return premiums thereon, written on risks located or resident in this state. The rate of 2 the tax imposed on gross direct premiums shall be four-tenths of one 3 percent on all or any part of the first twenty million dollars of premi-4 ums, three-tenths of one percent on all or any part of the second twenty 5 million dollars of premiums, two-tenths of one percent on all or any 6 part of the third twenty million dollars of premiums, and seventy-five 7 thousandths of one percent on each dollar of premiums thereafter. The 8 rate of the tax on assumed reinsurance premiums shall be two hundred 9 twenty-five thousandths of one percent on all or any part of the first 10 twenty million dollars of premiums, one hundred and fifty thousandths of 11 12 one percent on all or any part of the second twenty million dollars of 13 premiums, fifty thousandths of one percent on all or any part of the 14 third twenty million dollars of premiums and twenty-five thousandths of 15 one percent on each dollar of premiums thereafter. The tax imposed by 16 this section shall be equal to the greater of (i) the sum of the tax 17 imposed on gross direct premiums and the tax imposed on assumed reinsur-18 ance premiums or (ii) five thousand dollars.

19 § 5. This act shall take effect immediately.

# 20

# PART AAA

21 Section 1. Expenditures of moneys by the New York state energy research and development authority for services and expenses of the 22 energy research, development and demonstration program, 23 including 24 grants, the energy policy and planning program, the zero emissions vehi-25 cle and electric vehicle rebate program, and the Fuel NY program shall 26 be subject to the provisions of this section. Notwithstanding the 27 provisions of subdivision 4-a of section 18-a of the public service law, 28 all moneys committed or expended in an amount not to exceed \$22,875,000 29 shall be reimbursed by assessment against gas corporations, as defined 30 in subdivision 11 of section 2 of the public service law and electric 31 corporations as defined in subdivision 13 of section 2 of the public service law, where such gas corporations and electric corporations have 32 gross revenues from intrastate utility operations in excess of \$500,000 33 34 in the preceding calendar year, and the total amount assessed shall be 35 allocated to each electric corporation and gas corporation in proportion 36 to its intrastate electricity and gas revenues in the calendar year 37 2020. Such amounts shall be excluded from the general assessment 38 provisions of subdivision 2 of section 18-a of the public service law. 39 The chair of the public service commission shall bill such gas and/or 40 electric corporations for such amounts on or before August 10, 2022 and 41 such amounts shall be paid to the New York state energy research and 42 development authority on or before September 10, 2022. Upon receipt, the 43 New York state energy research and development authority shall deposit 44 such funds in the energy research and development operating fund estab-45 lished pursuant to section 1859 of the public authorities law. The New York state energy research and development authority is authorized and 46 47 directed to: (1) transfer up to \$4 million to the state general fund for climate change related services and expenses of the department of envi-48 ronmental conservation, \$150,000 to the state general fund for services 49 50 and expenses of the department of agriculture and markets, and \$1,000,000 to the University of Rochester laboratory for laser energet-51 ics from the funds received; and (2) commencing in 2016, provide to the 52 53 chair of the public service commission and the director of the budget and the chairs and secretaries of the legislative fiscal committees, on 54



1 or before August first of each year, an itemized record, certified by 2 the president and chief executive officer of the authority, or his or her designee, detailing any and all expenditures and commitments ascrib-3 able to moneys received as a result of this assessment by the chair of 4 5 the department of public service pursuant to section 18-a of the public This itemized record shall include an itemized breakdown 6 service law. of the programs being funded by this section and the amount committed to 7 8 each program. The authority shall not commit for any expenditure, any moneys derived from the assessment provided for in this section, until 9 the chair of such authority shall have submitted, and the director of 10 11 the budget shall have approved, a comprehensive financial plan encom-12 passing all moneys available to and all anticipated commitments and 13 expenditures by such authority from any source for the operations of 14 such authority. Copies of the approved comprehensive financial plan 15 shall be immediately submitted by the chair to the chairs and secre-16 taries of the legislative fiscal committees. Any such amount not commit-17 ted by such authority to contracts or contracts to be awarded or otherwise expended by the authority during the fiscal year shall be refunded 18 19 by such authority on a pro-rata basis to such gas and/or electric corpo-20 rations, in a manner to be determined by the department of public 21 service, and any refund amounts must be explicitly lined out in the 22 itemized record described above.

23 § 2. This act shall take effect immediately and shall be deemed to 24 have been in full force and effect on and after April 1, 2022.

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### PART BBB

Section 1. Expenditures of moneys appropriated in a chapter of the 26 27 laws of 2022 to the department of agriculture and markets from the special revenue funds-other/state operations, miscellaneous special 28 revenue fund-339, public service account shall be subject to the 29 provisions of this section. Notwithstanding any other provision of law 30 to the contrary, direct and indirect expenses relating to the department 31 of agriculture and markets' participation in 32 general ratemaking proceedings pursuant to section 65 of the public service law or certif-33 34 ication proceedings pursuant to article 7 or 10 of the public service 35 law, shall be deemed expenses of the department of public service within 36 the meaning of section 18-a of the public service law. No later than 37 August 15, 2023, the commissioner of the department of agriculture and 38 markets shall submit an accounting of such expenses, including, but not 39 limited to, expenses in the 2022--2023 state fiscal year for personal 40 and non-personal services and fringe benefits, to the chair of the 41 public service commission for the chair's review pursuant to the 42 provisions of section 18-a of the public service law.

43 § 2. Expenditures of moneys appropriated in a chapter of the laws of 44 2022 to the department of state from the special revenue funds-45 other/state operations, miscellaneous special revenue fund-339, public service account shall be subject to the provisions of this section. 46 Notwithstanding any other provision of law to the contrary, direct and 47 indirect expenses relating to the activities of the department of 48 state's utility intervention unit pursuant to subdivision 4 of section 49 50 94-a of the executive law, including, but not limited to participation in general ratemaking proceedings pursuant to section 65 of the public 51 52 service law or certification proceedings pursuant to article 7 or 10 of the public service law, and expenses related to the activities of the 53 major renewable energy development program established by section 94-c 54



1 of the executive law, shall be deemed expenses of the department of 2 public service within the meaning of section 18-a of the public service law. No later than August 15, 2023, the secretary of state shall submit 3 an accounting of such expenses, including, but not limited to, expenses 4 in the 2022--2023 state fiscal year for personal and non-personal 5 services and fringe benefits, to the chair of the public service commis-6 7 sion for the chair's review pursuant to the provisions of section 18-a 8 of the public service law.

§ 3. Expenditures of moneys appropriated in a chapter of the laws of 9 2022 to the office of parks, recreation and historic preservation from 10 11 the special revenue funds-other/state operations, miscellaneous special 12 revenue fund-339, public service account shall be subject to the 13 provisions of this section. Notwithstanding any other provision of law 14 to the contrary, direct and indirect expenses relating to the office of 15 parks, recreation and historic preservation's participation in general 16 ratemaking proceedings pursuant to section 65 of the public service law 17 or certification proceedings pursuant to article 7 or 10 of the public service law, shall be deemed expenses of the department of public 18 19 service within the meaning of section 18-a of the public service law. No 20 later than August 15, 2023, the commissioner of the office of parks, 21 recreation and historic preservation shall submit an accounting of such 22 expenses, including, but not limited to, expenses in the 2022--2023 23 state fiscal year for personal and non-personal services and fringe benefits, to the chair of the public service commission for the chair's 24 25 review pursuant to the provisions of section 18-a of the public service 26 law.

27 § 4. Expenditures of moneys appropriated in a chapter of the laws of 28 2022 to the department of environmental conservation from the special 29 revenue funds-other/state operations, environmental conservation special revenue fund-301, utility environmental regulation account shall be 30 subject to the provisions of this section. Notwithstanding any other 31 32 provision of law to the contrary, direct and indirect expenses relating 33 to the department of environmental conservation's participation in state energy policy proceedings, or certification proceedings pursuant to 34 article 7 or 10 of the public service law, shall be deemed expenses of 35 36 the department of public service within the meaning of section 18-a of 37 the public service law. No later than August 15, 2023, the commissioner 38 of the department of environmental conservation shall submit an account-39 ing of such expenses, including, but not limited to, expenses in the 40 2022--2023 state fiscal year for personal and non-personal services and 41 fringe benefits, to the chair of the public service commission for the 42 chair's review pursuant to the provisions of section 18-a of the public 43 service law.

44 5. Notwithstanding any other law, rule or regulation to the contra-8 45 ry, expenses of the department of health public service education 46 program incurred pursuant to appropriations from the cable television 47 account of the state miscellaneous special revenue funds shall be deemed expenses of the department of public service. No later than August 15, 48 49 2023, the commissioner of the department of health shall submit an accounting of expenses in the 2022--2023 state fiscal year to the chair 50 51 of the public service commission for the chair's review pursuant to the 52 provisions of section 217 of the public service law.

53 § 6. Any expense deemed to be expenses of the department of public 54 service pursuant to sections one through four of this act shall not be 55 recovered through assessments imposed upon telephone corporations as 56 defined in subdivision 17 of section 2 of the public service law.



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1 § 7. This act shall take effect immediately and shall be deemed to 2 have been in full force and effect on and after April 1, 2022 and shall 3 expire and be deemed repealed April 1, 2023.

### PART CCC

5 Section 1. Subdivision 4 of section 31 of the public service law, as added by chapter 713 of the laws of 1981, is amended to read as follows: 6 4. In the case of any application for service to a building which is 7 not supplied with electricity or gas, a utility corporation or munici-8 pality shall be obligated to provide service to such a building, 9 10 provided however, that the commission may require applicants for elec-11 tric service to buildings that are located in excess of one hundred feet 12 from [gas or] electric transmission lines to pay or agree in writing to 13 pay material and installation costs relating to the applicant's propor-14 tion of the [pipe,] conduit, duct or wire, or other facilities to be 15 The commission may further require applicants for gas installed. 16 service, regardless of proximity to gas transportation lines to pay or 17 agree in writing to pay all material and installation costs relating to the pipe, conduit, or other facilities to be installed to serve the 18 19 applicant. Where electrification is not a practical alternative to gas 20 service, the commission may require applicants for gas service to pay 21 material and installation costs relating to the applicant's portion of 22 the pipe, conduit, or other facilities to be installed in excess of one 23 hundred feet.

24 § 2. Subdivision 11 of section 2 of the public service law, as amended 25 by chapter 159 of the laws of 1992, is amended to read as follows:

26 11. The term "gas corporation," when used in this chapter, includes every corporation, company, association, joint-stock association, part-27 28 nership and person, their lessees, trustees or receivers appointed by any court whatsoever, owning, operating or managing any gas plant  $\underline{or}$ 29 geothermal plant (a) except where gas is made or produced and distrib-30 31 uted by the maker on or through private property solely for its own use or the use of its tenants and not for sale to others, (b) except where 32 compressed natural gas is sold, distributed or furnished solely as a 33 34 fuel for use in motor vehicles, (c) except where manufactured gas is 35 sold by the producer only for use or resale by a gas corporation and 36 such gas of the producer and any affiliated producers does not exceed in 37 any one year thirty per cent of the total gas sold by any purchaser 38 thereof in the area in which such manufactured gas is resold either as 39 manufactured gas or as a component of mixed gas, and (d) except where 40 gas is made or produced solely from one or more alternate energy 41 production facilities or distributed solely from one or more of such 42 facilities to users located at or near a project site; provided, howev-43 that any producer not included within the meaning of "gas corpoer, 44 ration" by reason of exception (c) or (d) shall nevertheless be consid-45 ered a gas corporation for the purposes of commission jurisdiction relating to the safety of the construction, operation, or maintenance of 46 47 plants manufacturing pipeline guality gas.

48 § 3. Subdivision 13 of section 2 of the public service law, as amended 49 by chapter 843 of the laws of 1981, is amended to read as follows:

50 13. The term "electric corporation," when used in this chapter, 51 includes every corporation, company, association, joint-stock associ-52 ation, partnership and person, their lessees, trustees or receivers 53 appointed by any court whatsoever (other than a railroad or street rail-54 road corporation generating electricity solely for railroad or street



123

railroad purposes or for the use of its tenants and not for sale to 1 2 others) owning, operating or managing any electric plant or geothermal 3 plant except where electricity or geothermal energy is generated or distributed by the producer solely on or through private property for 4 5 railroad or street railroad purposes or for its own use or the use of 6 its tenants and not for sale to others; or except where electricity is generated by the producer solely from one or more co-generation, small 7 8 hydro or alternate energy production facilities or distributed solely from one or more of such facilities to users located at or near a 9 10 project site. 11 § 4. Section 2 of the public service law is amended by adding a new 12 subdivision 15 to read as follows: 13 15. The term "geothermal plant," when used in this chapter, includes 14 all real estate, fixtures and personal property operated, owned, used or 15 to be used for or in connection with or to facilitate the transmission, 16 distribution, sale or furnishing of geothermal energy to more than one 17 end user on separately owned properties through shared facilities for 18 heat or power. 19 § 5. Paragraphs (c) and (d) of subdivision 6 of section 65 of the public service law, paragraph (c) as amended by chapter 204 of the laws 20 21 of 2010 and paragraph (d) as amended by chapter 388 of the laws of 2011, 22 are amended and a new paragraph (e) is added to read as follows: 23

23 (c) for a remote meter reading device upon the request and consent of 24 the customer; [or]

(d) for installation of capital improvements and fixtures to promote energy efficiency upon the request and consent of the customer, including but not limited to the performance of qualified energy efficiency services for customers participating in green jobs-green New York on-bill recovery pursuant to section sixty-six-m of this article[.]; or (e) for the provision of geothermal service.

31 § 6. This act shall take effect immediately.

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# PART DDD

33 Section 1. Paragraph (a) of subdivision 17 of section 1005 of the 34 public authorities law, as amended by chapter 494 of the laws of 2011, 35 is amended to read as follows:

As deemed feasible and advisable by the trustees, to finance and 36 (a) 37 design, develop, construct, implement, provide and administer energy-re-38 lated projects, programs and services for any public entity, any inde-39 pendent not-for-profit institution of higher education within the state, 40 any general hospital located in the state, and any recipient of the 41 economic development power, expansion power, replacement power, preser-42 vation power, high load factor power, municipal distribution agency 43 power, power for jobs, and recharge New York power programs administered 44 by the authority. In establishing and providing high performance and 45 sustainable building programs and services authorized by this subdivision, the authority is authorized to consult standards, guidelines, 46 47 rating systems, and/or criteria established or adopted by other organ-48 izations, including but not limited to the United States green building 49 council under its leadership in energy and environmental design (LEED) 50 programs, the green building initiative's green globes rating system, and the American National Standards Institute. The source of any financ-51 ing and/or loans provided by the authority for the purposes of this 52 53 subdivision may be the proceeds of notes issued pursuant to section one thousand nine-a of this title, the proceeds of bonds issued pursuant to 54



1 section one thousand ten of this title, or any other available authority 2 funds. § 2. Paragraph (b) of subdivision 17 of section 1005 of the public 3 authorities law is amended by adding a new subparagraph 3-a to read as 4 5 follows: (3-a) "General hospital" has the same meaning ascribed to such term in 6 7 subdivision ten of section twenty-eight hundred one of the public health 8 law. § 3. This act shall take effect immediately. 9 PART EEE 10 11 Section 1. This act shall be known and may be cited as the "advanced 12 building codes, appliance and equipment efficiency standards, and build-13 ing benchmarking act of 2022". 14 § 2. Subdivision 2 of section 3-101 of the energy law, as amended by 15 chapter 253 of the laws of 2013, is amended to read as follows: 16 2. to encourage conservation of energy and to promote the clean energy 17 and climate agenda, including but not limited to greenhouse gas 18 reduction, set forth within chapter one hundred six of the laws of two 19 thousand nineteen, also known as the New York state climate leadership 20 and community protection act, in the construction and operation of new commercial, industrial, agricultural and residential buildings, and in 21 22 the rehabilitation of existing structures, through heating, cooling, 23 ventilation, lighting, insulation and design techniques and the use of energy audits and life-cycle costing analysis; 24 25 § 3. Subdivisions 3 and 9 of section 11-102 of the energy law, as 26 added by chapter 560 of the laws of 2010, are amended, subdivisions 11, 27 12, 13, 14, and 15 are renumbered to be subdivisions 12, 13, 14, 15, and 28 16, and a new subdivision 11 is added to read as follows: 29 ["ASHRAE 90.1-2007." ANSI/ASHRAE/IESNA] 3. "ASHRAE 90.1." 30 ANSI/ASHRAE/IES Standard [90.1-2007] <u>90.1</u>, entitled "Energy [Standards] Standard for Buildings Except Low-Rise Residential Buildings, " published 31 by American Society of Heating, Refrigerating and Air-Conditioning Engi-32 33 neers, Inc. 34 9. "Historic building." Any building or structure that is one or more 35 of the following: (a) listed, or certified as eligible for listing, on 36 the national register of historic places or on the state register of [determined by the commissioner of parks, recre-37 historic places, (b) 38 ation and historic preservation to be eligible for listing on the state 39 register of historic places] designated as historic under applicable 40 state or local law, or (c) [determined by the commissioner of parks, 41 recreation and historic preservation to be a contributing building to an 42 historic district that is listed or eligible for listing on the state or 43 national registers of historic places, or (d) otherwise defined as an 44 historic building in regulations adopted by the state fire prevention 45 and building code council] certified as a contributing resource within a 46 national register-listed, state register-listed, or locally designated 47 historic district. 48 11. "Life-cycle cost." An estimate of the total cost of acquisition, 49 operation, maintenance, and construction of any system within or related 50 to a structure over the design life of the structure. "Life-cycle cost" 51 includes, but is not limited to, the cost of fuel, materials, machinery, 52 ancillary devices, labor, service, replacement, and repairs. § 4. Paragraph (b) of subdivision 1 and subdivisions 2 and 3 of 53 section 11-103 of the energy law, paragraph (b) of subdivision 1 as 54



1 added and subdivision 2 as amended by chapter 560 of the laws of 2010 2 and subdivision 3 as amended by chapter 292 of the laws of 1998, are 3 amended to read as follows: (b) The code shall apply to the construction of any new building. The 4 5 code shall also apply to an addition to, and alteration of, any existing building or building system; provided, however, that the code shall not 6 7 be interpreted to require any unaltered portion of the existing building 8 or building system to comply with the code. The code shall [not apply to the following provided that the energy use of the building is not 9 10 increased: 11 (1) storm windows installed over existing fenestration; 12 (2) glass only replacements in an existing sash and frame; 13 (3) existing ceiling, wall or floor cavities exposed during 14 construction provided that these cavities are filled with insulation; 15 (4) construction where the existing roof, wall or floor cavity is not 16 exposed; 17 (5) reroofing for roofs where neither the sheathing nor the insulation 18 is exposed; roofs without insulation in the cavity and where the sheath-19 ing or insulation is exposed during reroofing shall be insulated either 20 above or below the sheathing; 21 (6) replacement of existing doors that separate conditioned space from 22 the exterior shall not require the installation of a vestibule or revolving door, provided, however, that an existing vestibule that sepa-23 24 rates such conditioned space from the exterior shall not be removed; 25 (7) alterations that replace less than fifty percent of the luminaires 26 in a space, provided that such alterations do not increase the installed 27 interior lighting power; 28 (8) alterations that replace only the bulb and ballast within the 29 existing luminaires in a space provided that the alteration does not increase the installed interior lighting power; and 30 31 (9) any other exception] be subject to such other exceptions as may be adopted by the state fire prevention and building code council provided 32 33 that such [exception will] exceptions shall not prevent the attainment of the compliance goals set forth in section 410(2)(c) of the American 34 35 Recovery and Reinvestment Act of 2009. 36 2. (a) The state fire prevention and building code council is author-37 ized, from time to time as it deems appropriate and consistent with the 38 purposes of this article, to review and amend the code, or adopt a new 39 code, through rules and regulations provided that the code remains cost 40 effective with respect to building construction in the state. In deter-41 mining whether the code remains cost effective, the code council shall 42 consider [whether the cost of materials and their installation to meet 43 its standards would be equal to or less than the present value of energy 44 savings that could be expected over a ten year period in the building in which such materials are installed] (i) whether complying with the code 45 46 would reduce or maintain overall life-cycle costs under a life-cycle 47 cost analysis performed under methodology as established by the New York 48 state energy research and development authority from time to time, and 49 (ii) secondary or societal effects, such as reductions in greenhouse gas 50 The methodology for assessing cost-effectiveness, including <u>emissions</u>. 51 secondary or societal effects, shall be developed through an open and 52 transparent public process. For residential buildings, the code shall 53 meet or exceed the then most recently published International Energy 54 Conservation Code, or achieve equivalent or greater energy savings; and 55 for commercial buildings, the code shall meet or exceed the then most



1 recently published ASHRAE [90.1-2007] 90.1, or achieve equivalent or 2 greater energy savings. 3 (b) When adopting the first amended version of the code next following the effective date of the chapter of the laws of two thousand twenty-two 4 that added this paragraph, and any subsequent codes, the state fire 5 6 prevention and building code council shall use its best efforts to adopt 7 provisions for residential buildings that achieve energy savings greater 8 than energy savings achieved by the then most recently published Inter-9 national Energy Conservation Code and to meet the goals of the New York 10 state climate leadership and community protection act pursuant to chap-11 ter one hundred six of the laws of two thousand nineteen and to adopt 12 provisions for commercial buildings that achieve energy savings greater 13 than energy savings achieved by the then most recently published ASHRAE 14 90.1 and to meet the goals of the New York state climate leadership and 15 community protection act pursuant to chapter one hundred six of the laws 16 of two thousand nineteen, both at levels recommended by the New York 17 state energy research and development authority, provided that the state fire prevention and building code council determines that such advanced 18 19 energy savings can be achieved while still meeting the cost effectiveness considerations contemplated by this subdivision. 20 21 Notwithstanding any other provision of law, the state fire 22 prevention and building code council in accordance with the mandate 23 under this article shall have exclusive authority among state agencies 24 to promulgate a construction code incorporating energy conservation 25 features and clean energy features, including but not limited to green-26 house gas reduction. Any other code, rule or regulation heretofore 27 promulgated or enacted by any other state agency, incorporating specific 28 energy conservation and clean energy requirements applicable to the 29 construction of any building, shall be superseded by the code promulgat-30 ed pursuant to this section. The New York state energy research and 31 development authority shall provide meaningful opportunities for public comment from all segments of the population that will be impacted by 32 33 the promulgated codes, rules, or regulations, including persons living 34 in disadvantaged communities as identified by the climate justice working group established under section 75-0111 of the environmental conser-35 36 vation law. 37 § 5. Subdivision 5 of section 11-104 of the energy law, as amended by 38 chapter 560 of the laws of 2010, is amended and a new subdivision 6 is added to read as follows: 39 40 5. The [code shall exempt from such uniform standards and requirements 41 any historic building as defined in section 11-102 of this article] 42 state fire prevention and building code council, in consultation with 43 the commissioner of the department of parks, recreation, and historic 44 preservation, is authorized to provide exemptions to such uniform stand-45 ards and requirements for historic buildings as defined in section 46 11-102 of this article, to the extent that the uniform standards and 47 requirements would threaten, degrade, or destroy the historic form, 48 fabric, or function of such historic buildings. 49 6. To the fullest extent feasible, the code shall require new 50 construction statewide to have zero onsite greenhouse gas emissions no 51 later than the year two thousand twenty-seven to help achieve the 52 state's clean energy and climate agenda, including but not limited to 53 greenhouse gas reduction, set forth within chapter one hundred six of the laws of two thousand nineteen, also known as the New York state 54 55 climate leadership and community protection act, and as further identi-



1 fied by the New York state climate action council established pursuant 2 to section 75-0103 of the environmental conservation law. 3 § 6. The article heading of article 16 of the energy law, as added by chapter 431 of the laws of 2005, is amended to read as follows: 4 APPLIANCE AND EQUIPMENT [ENERGY] EFFICIENCY STANDARDS 5 § 7. Subdivision 4-a of section 16-102 of the energy law, as added by 6 7 chapter 222 of the laws of 2010, is amended to read as follows: 8 4-a. ["Bottle-type water dispenser" means a water dispenser that uses 9 a bottle or reservoir as the source of potable water.] The following 10 definitions refer to water coolers: (a) "Bottle-type" means a water dispenser that uses a bottle or reser-11 12 voir as the source of potable water. 13 (b) "Water cooler" means a freestanding device that consumes energy to 14 cool and/or heat potable water. 15 (c) "Cold only units" means units that dispense cold water only. 16 (d) "Hot and cold units" means units that dispense both hot and cold 17 water. Some units may also offer room-temperature water. 18 (e) "Cook and cold units" means units that dispense both cold and 19 room-temperature water. (f) "Point of use (POU)" means the water cooler is connected to a 20 21 pressurized water source. 22 (g) "Conversion-type" means a unit that ships as either bottle-type or 23 POU and includes a conversion kit intended to convert the water cooler 24 from a bottle-type unit to a POU unit or to convert a POU unit to a 25 bottle-type unit. 26 (h) "Storage-type" means thermally conditioned water is stored in a 27 tank in the water cooler and is available instantaneously. 28 (i) "On demand" means the water cooler heats water as it is requested, 29 which typically takes a few minutes to deliver. § 8. Subdivision 11 of section 16-102 of the energy law, as added by 30 chapter 431 of the laws of 2005, is amended to read as follows: 31 11. "Consumer audio and video product" means [televisions,] <u>a mains-</u> 32 33 connected product that amplifies audio, offers optical, disc player functionality, and/or receives and plays audio and/or video content. 34 Examples of consumer audio and video products include compact audio 35 36 products, digital versatile disc players, digital versatile disc recorders, [and] digital television adapters and streaming media players. 37 38 Televisions are specifically excluded from consumer audio and video 39 products. 40 § 9. Subdivision 18 of section 16–102 of the energy law, as added by 41 chapter 431 of the laws of 2005, is amended to read as follows: 42 ["Energy efficiency performance standards"] "Efficiency standard" 18. 43 means [performance standards which prescribe a minimum level of energy 44 efficiency determined in accordance with test procedures prescribed by 45 the secretary in consultation with the president] a standard that 46 defines performance metrics and/or defines prescriptive design require-47 ments in order to reduce energy consumption, reduce water consumption, reduce greenhouse gas emissions, and/or increase demand flexibility 48 49 associated with the regulated product category. § 10. Subdivisions 27-a and 27-b of section 16-102 of the energy law, 50 51 as added by chapter 222 of the laws of 2010, are amended to read as 52 follows: "Portable electric spa" means a factory-built electric spa or 53 27-a. hot tub, [supplied with equipment for heating and circulating water] 54 which may or may not include any combination of integral controls, water 55 heating or water circulating equipment. 56



1 27-b. "Portable light fixture" means a light fixture which has a flex-2 ible cord and an attachment plug for connection to a nominal one hundred 3 twenty-volt, fifteen- or twenty-ampere branch circuit; which can be relocated by the user without any rewiring; [and] which is typically 4 controlled with a switch located on the light fixture itself or on the 5 6 power cord; and which are intended for use in accordance with the national electrical code, ANSI/NFPA 70-2002. "Portable light fixture" 7 8 does not include direct plug-in nightlights; sun and heat lamps; aquarium lamps; medical and dental lights; portable electric hand lamps; signs 9 and commercial advertising displays; photographic lamps; germicidal 10 11 lamps; [metal halide lamp fixtures; torchiere lighting fixtures] illumi-12 nated vanity mirrors; lava lamps not providing general or task illumi-13 nation; industrial work lights rated for use with a lamp providing 14 greater than seven thousand lumens; portable lamp fixtures for marine 15 use or for use in hazardous locations as defined in the national elec-16 trical code, ANSI/NFPA 70; or decorative lighting outfits or electric 17 candles and candelabras without lampshades that are covered by the standard for safety of seasonal and holiday decorative products, UL 588. 18 19 § 11. Subdivision 29-a of section 16-102 of the energy law, as added 20 by chapter 222 of the laws of 2010, is amended to read as follows: 21 29-a. "[Residential] <u>Replacement dedicated-purpose</u> pool pump <u>motor</u>" 22 means [a product which is designed or used to circulate and filter residential swimming pool water in order to maintain clarity and sanitation 23 24 and which consists in part of a motor and an impeller] an electric motor that: 25 26 (a) is single-phase or polyphase; 27 (b) has a dedicated purpose pool pump motor total horsepower of less than or equal to five horsepower; 28 29 (c) is marketed for use as a replacement motor in self-priming pool 30 filter pump, non-self-priming pool filter pump or pressure cleaner 31 booster pump applications; and 32 (d) excludes polyphase replacement dedicated-purpose pool pump motors 33 capable of operating without a drive, and is sold or offered for sale 34 without a drive that converts single-phase power to polyphase power. 35 § 12. Subdivision 33 of section 16-102 of the energy law, as added by 36 chapter 431 of the laws of 2005, is amended to read as follows: 37 33. "Television (TV)" means [a commercially available electronic prod-38 uct consisting of a tuner/receiver and a monitor encased in a single 39 housing, which is] an analog or digital device primarily designed to 40 receive and display [an analog or digital video television signal broad-41 cast by an antenna, satellite, cable, or broadband source] terrestrial, 42 satellite, cable, Internet Protocol TV (IPTV), or other broadcast or 43 recorded transmissions of analog or digital video and audio signals. TVs 44 include combination TVs, television monitors, component TVs, and any 45 unit that is marketed to the consumer as a TV. "Television" does not 46 include [multifunction TVs which have VCR, DVD, DVR, or EPG functions] 47 computer monitors. § 13. Section 16-102 of the energy law is amended by adding thirty-48 eight new subdivisions 18-a, 18-b, 21-c, 21-d, 38, 39, 40, 41, 41-a, 42, 49 42-a, 43, 43-a, 44, 45, 46, 46-a, 47, 48, 49, 50, 51, 52, 53, 54, 55, 50 51 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66 and 67 to read as follows: 52 18-a. "Greenhouse gas" means carbon dioxide, methane, nitrous oxide, 53 hydrofluorocarbons, perfluorocarbons, sulfur hexafluoride, and any other substance emitted into the air that may be reasonably anticipated to 54 cause or contribute to anthropogenic climate change. 55



1	18-b. "Demand flexibility" means the capability to schedule, shift, or
2	curtail the electrical demand of a load-serving entity's customer
3	through direct action by the customer or through action by a third
4	party, the load-serving entity, or a grid balancing authority, with the
5	customer's consent.
6	21-c. "Duv" means a metric that quantifies the distance between the
7	chromaticity of a given light source and a blackbody radiator of equal
8	correlated color temperature (CCT) on a CIE 1976 (u, v) chromatic
9	diagram demonstrating how different two light sources of the same color
10	temperature appear.
11	21-d. "Light Emitting Diode (LED) lamp" means a lamp capable of
12	producing light with Duv between -0.012 and 0.012, and that has an E12,
13	E17, E26, or GU-24 base, including LED lamps that are designed for
14	retrofit within existing recessed can housings that contain one of the
15	preceding bases. LED lamp does not include a lamp with a brightness of
16	more than two thousand six hundred lumens or a lamp that cannot produce
17	light with a correlated color temperature between two thousand two
18	hundred Kelvin and seven thousand Kelvin.
19	38. The following definitions refer to air compressors:
20	(a) "Air compressor" means a compressor designed to compress air that
21	has an inlet open to the atmosphere or other source of air, and is made
22	up of a compression element (bare compressor), driver or drivers mechan-
23	ical equipment to drive the compressor element, and any ancillary equip-
24	ment.
25	(b) "Compressor" means a machine or apparatus that converts different
26	types of energy into the potential energy of gas pressure for displace-
27	ment and compression of gaseous media to any higher-pressure values
28	above atmospheric pressure and has a pressure ratio at full-load operat-
29	ing pressure greater than 1.3.
30	39. The following definitions refer to air purifiers:
31	(a) "Air purifier", also known as "room air cleaner", means an elec-
32	tric, cord-connected, portable appliance with the primary function of
33	removing particulate matter from the air and which can be moved from
34	room to room.
35	(b) "Industrial air purifier" means an indoor air cleaning device
36	manufactured, advertised, marketed, labeled, and used solely for indus-
37	trial use that are marketed solely through industrial supply outlets or
38	businesses and prominently labeled as "Solely for industrial use. Poten-
39	tial health hazard: emits ozone."
40	40. "Commercial dishwasher" means a machine designed to clean and
41	sanitize plates, pots, pans, glasses, cups, bowls, utensils, and trays
42	by applying sprays of detergent solution (with or without blasting media
43	granules) and a sanitizing rinse and is not a "compact dishwasher" or
44	"standard dishwasher" (capacity less than eight place settings plus six
45	serving pieces as specified in ANSI/AHAM DW-1 using the test load speci-
46	fied in section 2.7 of appendix C in subpart B of 10 CFR 430.2).
47	41. "Commercial fryer" means an appliance for non-residential use,
48	including a cooking vessel, in which oil is placed to such a depth that
49	the cooking food is essentially supported by displacement of the cooking
50	fluid rather than by the bottom of the vessel. Heat is delivered to the
51	cooking fluid by means of an immersed electric element of band-wrapped
52	vessel (electric fryers) or by heat transfer from gas burners through
53	either the walls of the fryer or through tubes passing through the cook-
E /	ing fluid (and fragment)

54 ing fluid (gas fryers).



1 41-a. "Commercial oven" means a chamber designed for heating, roast-2 ing, or baking food by conduction, convection, radiation, and/or elec-3 tromagnetic energy. 42. "Commercial steam cooker" also known as "compartment steamer", 4 means a device for non-residential use with one or more food-steaming 5 6 compartments in which the energy in the steam is transferred to the food 7 by direct contact. Models may include countertop models, wall-mounted 8 models, and floor models mounted on a stand, pedestal, or cabinet-style 9 base. "Commercial hot food holding cabinet" means a heated, fully 10 42-a. enclosed compartment, with one or more solid or partial glass doors, 11 12 that is designed to maintain the temperature of hot food that has been 13 cooked in a separate appliance. "Commercial hot food holding cabinet" 14 does not include heated glass merchandising cabinets, drawer warmers or 15 cook-and-hold appliances. 16 43. "Computer" means a device that performs logical operations and 17 processes data. A computer includes both stationary and portable units and includes a desktop computer, a portable all-in-one, a notebook 18 19 computer, a mobile gaming system, a high-expandability computer, a 20 small-scale server, a thin client, and a workstation. Although a comput-21 er is capable of using input devices and displays, such devices are not 22 required to be included with the computer when the computer is shipped. 23 A computer is composed of, at a minimum, (a) a central processing unit 24 (CPU) to perform operations or, if no CPU is present, then the device 25 must function as a client gateway to a server, and the server acts as a 26 computational CPU; (b) the ability to support user input devices such as 27 a keyboard, mouse, or touch pad; and (c) an integrated display screen or 28 the ability to support an external display screen to output information. The term "computer" does not include a tablet, a game console, a tele-29 vision, a device with an integrated and primary display that has a 30 31 screen size of twenty square inches or less, a server other than a 32 small-scale server, or an industrial computer. 33 43-a. "Computer monitor" means an analog or digital device of size 34 greater than or equal to seventeen inches and less than or equal to 35 sixty-one inches, that has a pixel density of greater than five thousand 36 pixels per square inch, and that is designed primarily for the display 37 of computer-generated signals for viewing by one person in a desk-based 38 environment. A computer monitor is composed of a display screen and 39 associated electronics. A computer monitor does not include, (a) 40 displays with integrated or replaceable batteries designed to support 41 primary operation without AC mains or external DC power (e.g. electronic 42 readers, mobile phones, portable tablets, battery-powered digital 43 picture frames); or (b) a television or signage display. 44 44. "General service lamp" shall include the following definitions: 45 (a) "Compact fluorescent lamp (CFL)" means an integrated or non-inte-46 grated single-base, low-pressure mercury, electric-discharge source in 47 which a fluorescing coating transforms some of the ultraviolet energy generated by the mercury discharge into light; this term shall not 48 include circline or U-shaped lamps. 49 50 (b) "General service incandescent lamp" means a standard incandescent or halogen type lamp that is intended for general service applications, 51 52 has a medium screw base, has a lumen range of not less than three 53 hundred ten lumens and not more than two thousand six hundred lumens, or 54 in the case of a modified spectrum lamp, not less than two hundred thirty-two lumens and not more than one thousand nine hundred fifty lumens, 55 and is capable of being operated at a voltage range at least partially 56



1	within one hundred ten and one hundred thirty volts; provided, however,
2	that this definition shall not apply to the following incandescent
3 ⊿	<u>lamps:</u>
4 5	<u>(i) Appliance lamps;</u> <u>(ii) Black light lamps;</u>
6	(iii) Bug lamps;
7	(iv) Colored lamps;
8	(v) G shape lamps (as defined in ANSI C78.20 and C79.1-2002) with a
9	diameter of five inches or more;
10	(vi) Infrared lamps;
11	(vii) Left-hand thread lamps;
12	(viii) Marine lamps;
13	(ix) Marine signal service lamps;
14	(x) Mine service lamps;
15	(xi) Plant light lamps;
16	(xii) Reflector lamps;
17	(xiii) Sign service lamps;
18	(xiv) Silver bowl lamps;
19	(xv) Showcase lamps;
20	(xvi) Rough service lamps;
21	(xvii) Shatter-resistant lamps (including shatter-proof lamps and
22	<pre>shatter-protected lamps);</pre>
23	(xviii) 3-way incandescent lamps;
24	(xix) Vibration service lamps;
25	(xx) AB, BA, CA, F, G16-1/2, G-25, G30, S, or M-14 lamps (as defined
26	in ANSI C79.1-2002 and ANSI C78.20) of forty watts or less;
27	(xxi) T shape lamps (as defined in ANSI C78.20 and ANSI C79.1-2002)
28	and that uses not more than forty watts or has a length of more than ten
29	inches; and
30	(xxii) Traffic signal lamps.
31	(c) "General service lamp" means a lamp that has an ANSI base, is able
32	to operate at a voltage of twelve volts or twenty-four volts, at or
33	between one hundred to one hundred thirty volts, at or between two
34 25	hundred twenty to two hundred forty volts, or of two hundred seventy-
35	seven volts for integrated lamps, or is able to operate at any voltage
36 37	for non-integrated lamps, has an initial lumen output of greater than or equal to three hundred ten lumens (or two hundred thirty-two lumens for
38	modified spectrum general service incandescent lamps) and less than or
39	equal to three thousand three hundred lumens, is not a light fixture, is
40	not an LED downlight retrofit kit, and is used in general lighting
41	applications. General service lamps shall include, but not be limited
42	to, general service incandescent lamps, incandescent reflector lamps,
43	compact fluorescent lamps, general service light emitting diode lamps,
44	and general service organic light emitting diode lamps. General service
45	lamps shall not include:
46	(i) Appliance lamps;
47	(ii) Black light lamps;
48	(iii) Bug lamps;
49	(iv) Colored lamps;
50	(v) G shape lamps with a diameter of five inches or more as defined in
51	ANSI C79.1-2002;
52	(vi) General service fluorescent lamps;
53	(vii) High intensity discharge lamps;
54	(viii) Infrared lamps;
55	(ix) J. JC. JCD. JCS. JCV. JCX. JD. JS. and JT shape lamps that do not

56 <u>have Edison screw bases;</u>



1 (x) Lamps that have a wedge base or prefocus base; 2 (xi) Left-hand thread lamps; 3 (xii) Marine lamps; 4 (xiii) Marine signal service lamps; 5 (xiv) Mine service lamps; 6 (xv) MR shape lamps that have a first number symbol equal to sixteen 7 (diameter equal to two inches) as defined in ANSI C79.1-2002, operate at 8 twelve volts and have a lumen output greater than or equal to 800; 9 (xvi) Other fluorescent lamps; (xvii) Plant light lamps; 10 11 (xviii) R20 short lamps; 12 (xix) Reflector lamps that have a first number symbol less than 13 sixteen (diameter less than two inches) as defined in ANSI C79.1-2002 14 and that do not have E26/E24, E26d, E26/50x39, E26/53x39, E29/28, 15 E29/53x39, E39, E39d, EP39, or EX39 bases; 16 (xx) S shape or G shape lamps that have a first number symbol less 17 than or equal to 12.5 (diameter less than or equal to 1.5625 inches) as defined in ANSI C79.1-2002; 18 19 (xxi) Sign service lamps; 20 (xxii) Silver bowl lamps; 21 (xxiii) Showcase lamps; 22 (xxiv) Specialty MR lamps; 23 (xxv) T shape lamps that have a first number symbol less than or equal to 8 (diameter less than or equal to one inch) as defined in ANSI 24 25 C79.1-2002, nominal overall length less than twelve inches, and that are not compact fluorescent lamps; and 26 27 (xxvi) Traffic signal lamps. 28 (d) "General service light-emitting diode (LED) lamp" means an inte-29 grated or non-integrated LED lamp designed for use in general lighting 30 applications and that uses light-emitting diodes as the primary source 31 of light. 32 (e) "General service organic light-emitting diode (OLED) lamp" means a 33 thin-film light-emitting device that typically consists of a series of 34 organic layers between two electrical contacts (electrodes). (f) "Incandescent reflector lamp" or "reflector lamp" means any 35 lamp 36 in which light is produced by a filament heated to incandescence by an 37 electric current, which: contains an inner reflective coating on the 38 outer bulb to direct the light; is not colored; is not designed for rough or vibration service applications; is not an R20 short lamp; has 39 40 an R, PAR, ER, BR, BPAR, or similar bulb shapes with an E26 medium screw 41 base; has a rated voltage or voltage range that lies at least partially 42 in the range of one hundred fifteen and one hundred thirty volts; has a 43 diameter that exceeds 2.25 inches; and has a rated wattage that is forty 44 watts or higher. 45 45. "Federally exempt fluorescent lamp" means any linear lamps 46 excluded from the definition of general service fluorescent lamps in 10 47 CFR 430.32(n). Federally exempt fluorescent lamps include high-CRI linear fluorescent lamps, impact-resistant linear fluorescent lamps, cold-48 49 temperature linear fluorescent lamps, and less than four-foot linear 50 fluorescent lamps. 51 46. The following definitions refer to portable air conditioners: 52 (a) "Portable air conditioner" means a portable encased assembly. other than a packaged terminal air conditioner, room air conditioner, or 53 54 dehumidifier, that delivers cooled, conditioned air to an enclosed space, and is powered by single-phase electric current. Such portable 55 56 air conditioner includes a source of refrigeration and may include addi-



1	tional means for air circulation and heating and may be a single-duct or
2	<u>a dual-duct portable air conditioner.</u>
3	(b) "Single-duct portable air conditioner" means a portable air condi-
4	tioner that draws all of the condenser inlet air from the conditioned
5	space without the means of a duct and discharges the condenser outlet
6	air outside the conditioned space through a single-duct attached to an
7	adjustable window bracket.
8	(c) "Dual-duct portable air conditioner" means a portable air condi-
9	tioner that draws some or all of the condenser inlet air from outside
10	the conditioned space through a duct attached to an adjustable window
11	bracket, may draw additional condenser inlet air from the conditioned
12	space, and discharges the condenser outlet air outside the conditioned
13	space by means of a separate duct attached to an adjustable window
14	bracket.
15	46-a. "Residential ventilating fan" means a fan with the purpose to
16	actively supply air to or remove air from the inside of a residence.
17	This includes ceiling and wall-mounted fans or remotely mounted in-line
18	fans designed to be used in a bathroom or utility room, supply fans
19	designed to provide air to indoor space and kitchen range hoods. Supply
20	fans may also be designed to filter incoming air.
21	47. "Telephone" means an electronic product whose primary purpose is
22	to transmit and receive sound over a distance using a voice or data
23	network.
24	48. The following definitions refer to faucets and showerheads:
25	(a) "Faucet" means a lavatory faucet, kitchen faucet, metering faucet,
26	public lavatory faucet, or replacement aerator for a lavatory, public
27	lavatory or kitchen faucet.
28	(b) "Public lavatory faucet" means a fitting intended to be installed
29	in nonresidential bathrooms that are exposed to walk-in traffic.
30	(c) "Metering faucet" means a faucet that, when turned on, will gradu-
31	ally shut itself off over a period of several seconds.
32	(d) "Replacement aerator" means an aerator sold as a replacement,
33	separate from the faucet to which it is intended to be attached.
34	(e) "Showerhead" means a device through which water is discharged for
35	a shower bath and includes a hand-held showerhead but does not include a
36	safety shower showerhead.
37	(f) "Hand-held showerhead" means a showerhead that can be held or
38	fixed in place for the purpose of spraying water onto a bather and that
39	is connected to a flexible hose.
40 41	49. The following definitions refer to urinals and water closets:
41 42	(a) "Plumbing fixture" means an exchangeable device, which connects to a plumbing system to deliver and drain away water and waste.
42 43	(b) "Urinal" means a plumbing fixture that receives only liquid body
44	waste and, conveys the waste through a trap into a drainage system.
44 45	(c) "Water closet" means a plumbing fixture having a water-containing
46	receptor that receives liquid and solid body waste through an exposed
47	integral trap into a drainage system.
48	(d) "Dual-flush effective flush volume" means the average flush volume
49	of two reduced flushes and one full flush.
50	(e) "Dual-flush water closet" means a water closet incorporating a
51	feature that allows the user to flush the water closet with either a
52	reduced or a full volume of water.
53	(f) "Trough-type urinal" means a urinal designed for simultaneous use
54	by two or more persons.
55	50. The following definitions refer to spray sprinkler bodies:

1	(a) "Pressure regulator" means a device that maintains constant oper-
2	ating pressure immediately downstream from the device, given higher
3	<u>pressure upstream.</u>
4	(b) "Spray sprinkler body" means the exterior case or shell of a
5	sprinkler incorporating a means of connection to the piping system
6	designed to convey water to a nozzle or orifice.
7	51. "Uninterruptable power supply" means a battery charger consisting
8	of a combination of convertors, switches and energy storage devices
9	(such as batteries), constituting a power system for maintaining conti-
10	nuity of load power in case of input power failure.
11	52. "Commercial battery charger system (BCS)" or "state-regulated BCS"
12	means a battery charger coupled with its batteries or battery chargers
13	coupled with their batteries, which together are referred to as state-
14	regulated battery charger systems. This term covers all rechargeable
15	batteries or devices incorporating a rechargeable battery and the char-
16	gers used with them. Battery charger systems include, but are not
17	limited to:
18	(a) electronic devices with a battery that are normally charged from
19	AC line voltage or DC input voltage through an internal or external
20	power supply and a dedicated battery charger;
21	(b) the battery and battery charger components of devices that are
22	designed to run on battery power during part or all of their operations;
23	(c) dedicated battery systems primarily designed for electrical or
24	emergency backup; and
25	(d) devices whose primary function is to charge batteries, along with
26	the batteries they are designed to charge. These units include chargers
27	for power tool batteries and chargers for automotive, AA, AAA, C, D, or
28	
20 29	<u>9V rechargeable batteries, as well as chargers for batteries used in larger industrial motive equipment and a la carte chargers.</u>
30	The charging circuitry of battery charger systems may or may not be
31	
32	located within the housing of the end-use device itself. In many cases,
	the battery may be charged with a dedicated external charger and power
33 34	supply combination that is separate from the device that runs on power
	from the battery. State-regulated battery charger systems do not include
35	federally regulated battery chargers that are covered under standards in
36	<u>10 C.F.R. section 430.32(z).</u>
37	53. "Gas fireplace" means a decorative gas fireplace or a heating gas
38	fireplace.
39	(a) "Decorative gas fireplace" means a vented fireplace, including
40	appliances that are freestanding, recessed, zero clearance, or a gas
41	fireplace insert, that is fueled by natural gas or propane, is marked
42	for decorative use only, and is not equipped with a thermostat or
43	intended for use as a heater.
44	(b) "Heating gas fireplace" means a vented fireplace, including
45	appliances that are freestanding, recessed, zero clearance, or a gas
46	fireplace insert, that is fueled by natural gas or propane and is not a
47	decorative fireplace
48	54. "Manufactured home" has the meaning ascribed to that term by
49	subdivision seven of section six hundred one of the executive law.
50	55. "Recreational vehicle" means a van or utility vehicle used for
51	recreational purposes.
52	56. "Uniform code" means the New York state uniform fire prevention
53	and building code adopted pursuant to article eighteen of the executive
54	law.
55	57. "Energy code" means the New York state energy conservation
56	construction code adopted pursuant to article eleven of this chapter

1 58. "Electric vehicle supply equipment (EVSE)" means equipment that 2 supplies electricity in an appropriate form to storage devices, includ-3 ing batteries and super capacitators, that are part of electric vehicles. Such term shall include equipment that performs this function and 4 5 equipment that is embedded in electric vehicles. 6 59. "Electric vehicle" means an on-road vehicle that draws electricity 7 for propulsion from a traction battery with a least five kilowatt-hours 8 (kWh) of capacity, and uses an external source of energy to recharge the 9 battery. Such term shall include a plug-in hybrid electric vehicle (PHEV) with a second source of energy for propulsion, and a battery 10 11 electric vehicle (BEV), which is powered solely by externally supplied 12 electricity stored on-board such electric vehicle. 13 60. "Commercial clothes dryer" means a clothes dryer designed to dry 14 fabrics in a tumble-type drum with forced air circulation and is 15 designed for use in: 16 (a) Applications in which the occupants of more than one household 17 will be using the clothes dryer, including multi-family housing common 18 areas and coin laundries; or 19 (b) Other commercial applications. 20 61. "Commercial and industrial fans and blowers" means a rotary-bladed machine used to convert power to air power, with a brake horsepower 21 greater than or equal to either one kilowatt or one horsepower, and an 22 air horsepower less than or equal to one hundred fifty, and used for 23 commercial and industrial purposes. 24 25 "Imaging equipment" means copiers, printers, scanners, 62. fax 26 machines, and multifunction devices used both in homes and businesses. 27 "Landscape irrigation controller" means a device intended to 63. 28 remotely control valves to operate an irrigation system for landscapes, 29 which may consist of grass, shrubs, trees and/or other vegetation. This term shall not include devices that are typically sold separately and 30 31 used primarily for other purposes, such as a network router, and may be 32 used incidentally for a landscape irrigation controller. This term shall 33 not include battery powered hose-end timers or devices used primarily in 34 agricultural applications. 64. "Outdoor lighting" means electrical lighting used to illuminate 35 36 outdoor areas, including parking lots, streetlights, highways and area 37 luminaires. 38 65. "Plug-in luminous signs" means a self-contained, luminous sign 39 unit that plugs into 120V AC building mains power and is intended for 40 indoor use only. Signs may be intended for use in commercial outlets in 41 business establishments or in residences. 42 66. "Small network equipment" means a device whose primary function is 43 to pass internet protocol (IP) traffic among various network interfaces 44 or ports intended for use in residential and small business settings. 45 67. "Tub spout diverters" means the following definitions: 46 (a) A bath and shower diverter whose diverter mechanism is located in 47 the tub spout; and/or 48 (b) Bath and shower diverter means a device used to direct the flow of 49 water either toward a tub spout or toward a secondary outlet intended 50 for showering purposes, including a showerhead or body spray. 51 § 14. Section 16-104 of the energy law, as added by chapter 431 of the 52 laws of 2005, subdivision 1 as amended by chapter 222 of the laws 2010, is amended to read as follows: 53 54 § 16-104. Applicability, conduct prohibited. 1. The provisions of 55 this article apply to the establishment of, testing for compliance with, certification of compliance with, and enforcement of efficiency stand-56



1 ards for the following new products which are sold, or offered for sale, 2 leased or offered for lease, rented or offered for rent or installed or 3 offered to install in New York state, unless preempting federal appliance standards are in effect: (a) automatic commercial ice cube 4 machines; (b) ceiling fan light kits; (c) commercial pre-rinse spray 5 6 valves; (d) commercial refrigerators, freezers and refrigerator-freez-7 ers; (e) consumer audio and video products and televisions; (f) illumi-8 nated exit signs; (g) incandescent reflector lamps; (h) very large commercial packaged air-conditioning and heating equipment; (i) metal 9 halide lamp fixtures; (j) pedestrian traffic signal modules; (k) power 10 supplies; (1) torchiere lighting fixtures; (m) unit heaters; (n) vehicu-11 12 lar traffic signal modules; (o) portable light fixtures; (p) bottle-type 13 water dispensers; (q) commercial hot food holding cabinets; (r) portable 14 electric spas; [and] (s) [residential] replacement dedicated-purpose 15 pool [pumps] pump motors; (t) air compressors; (u) air purifiers; (v) 16 commercial dishwashers; (w) commercial fryers; (x) commercial steam 17 cookers; (y) computers and computer monitors; (z) general service lamps; (aa) federally exempt fluorescent lamps; (bb) portable air conditioners; 18 19 (cc) residential ventilating fans; (dd) telephones; (ee) faucets; (ff) showerheads; (gg) urinals; (hh) water closets; (ii) sprinkler bodies; 20 21 (jj) uninterruptable power supplies; (kk) light emitting diode lamps; 22 (11) electric vehicle supply equipment; (mm) commercial battery charger 23 systems; (nn) commercial ovens; (oo) commercial clothes dryers; (pp) 24 commercial and industrial fans and blowers; (qq) imaging equipment; (rr) 25 landscape irrigation controllers; (ss) outdoor lighting; (tt) plug-in 26 <u>luminous signs; (uu) small network equipment; (vv) tub spout diverters;</u> 27 (ww) commercial hot food holding cabinets; (xx) gas fireplaces; (yy) 28 products for which efficiency standards shall have been established 29 pursuant to paragraph (b) or (c) of subdivision one of section 16-106 of this article; and (zz) products that are subject to any federal effi-30 ciency standard referred to in section 16-105 of this article that shall 31 32 have been adopted in this state pursuant to such section 16-105. 33 2. No person shall sell[,] or offer for sale, <u>lease or offer to lease</u>, 34 or rent or offer to rent, or install or offer to install in New York 35 state any new product of the types enumerated in paragraphs (a) through 36 (xx) of subdivision one of this section, or any [of the] new [products 37 identified] product for which efficiency standards shall have been 38 established pursuant to paragraph (b) or (c) of subdivision [four] one 39 of section 16-106 of this article, [unless: (a) the product meets mini-40 mum energy performance standards adopted pursuant to this article upon 41 the effective date of such standards; and, if required by regulations 42 promulgated] or any new product that is subject to any federal efficien-43 cy standard that shall have been adopted in this state pursuant to 44 [this] section[, (b) the manufacturer of such product certifies that the 45 product meets said minimum energy performance standards.] 16-105 of this 46 article, unless: (a) the product meets the efficiency standards applicable to such 47 product as of the date of manufacture of such product or as of such 48 49 other date as may be determined in accordance with the regulation estab-50 lishing the standard for such product; and 51 (b) if required by regulations adopted pursuant to this article, the 52 manufacturer of such product certifies that the product meets said effi-53 ciency standards. As used within this subdivision, reference to any new 54 product means any individual product subject to the requirements of this



<sup>55 &</sup>lt;u>article.</u>

1 3. The prohibitions contained in [subdivisions one and] subdivision 2 two of this section shall not apply to: 3 (a) products manufactured in the state and sold outside the state; (b) products manufactured outside the state and sold at wholesale 4 5 inside the state for final retail sale outside the state; 6 (c) products installed in [mobile] manufactured homes at the time of construction; [or] 7 8 (d) products designed expressly for installation and use in recre-9 ational vehicles[.]; or (e) urinals and water closets designed and marketed exclusively for 10 11 use at prisons or mental health care facilities. 12 § 15. The energy law is amended by adding a new section 16-105 to read 13 as follows: 14 § 16-105. Adoption of certain federal efficiency standards. 1. The 15 federal efficiency standard established in 10CFR Parts 430 and 431, as 16 in effect on January first, two thousand eighteen shall be applicable to products which are subject to such federal efficiency standards and 17 which are sold, offered for sale, or installed in New York state. So 18 long as such federal efficiency standards remain in effect as federal 19 20 efficiency standards, they shall be enforced as provided by federal law. 21 2. If any federal efficiency standard referred to in subdivision one 22 of this section is withdrawn, repealed, voided, or otherwise ceases to 23 remain in effect as a federal efficiency standard: 24 (a) such efficiency standard shall be deemed to be continued in this 25 state and shall be deemed to be an efficiency standard adopted pursuant 26 to this article; 27 (b) the president shall file with the secretary a written description 28 of such efficiency standard, the terms and conditions of such efficiency 29 standard, and the product or products that are subject to such efficiency standard, such description to be in a format consistent with the 30 31 regulations adopted pursuant to this article and in form acceptable to 32 the secretary, together with a certificate, in form acceptable to the 33 secretary, signed and dated by the president and certifying that such 34 efficiency standard is no longer in effect as a federal efficiency stan-35 dard, that such efficiency standard continues in effect in this state 36 pursuant to this section, and that such efficiency standard is adopted 37 pursuant to this section; 38 (c) the secretary shall cause such written description and certif-39 ication to be published in the state register, and shall cause the offi-40 cial compilation of codes, rules and regulations of the state of New 41 York to include such written description; 42 (d) the president shall be authorized to adopt regulations establish-43 ing procedures for testing the energy reduction, water conservation, 44 greenhouse gas reduction, and/or increased demand flexibility associated 45 with such product. In adopting the flexible demand appliance standards, 46 the New York state energy research and development authority shall 47 consider the National Institute of Standards and Technology's reliabil-48 ity and cybersecurity protocols, relevant New York cybersecurity laws, 49 regulations, and advisories, or other cybersecurity protocols that are 50 equally or more protective, and shall adopt, at a minimum, the North 51 American Electric Reliability Corporation's Critical Infrastructure 52 Protection standards; 53 (e) the president shall be authorized to adopt regulations establishing procedures for manufacturers of such product to certify that such 54 55 product meets such efficiency standard, if the president determines that

56 such manufacturer's certifications should be required; and



1	(f) the president shall be sutherized to adopt regulations amonding
1	(f) the president shall be authorized to adopt regulations amending
2	such efficiency standard from time to time, including regulations that
3	repeal such efficiency standard or increase the stringency of such effi-
4	ciency standard.
5	3. The actions to be taken pursuant to paragraphs (b) and (c) of
6	subdivision two of this section to confirm that a federal efficiency
7	standard that shall have been withdrawn, repealed, voided, or that
8	otherwise shall have ceased to remain in effect as a federal efficiency
9	standard, continues to be applicable in this state, and is adopted
10	pursuant to this section, shall be exempt from the provisions of the
11	state administrative procedure act, and the certification to be filed
12	pursuant to paragraph (c) of subdivision two of this section shall so
13	<u>state.</u>
14	4. This section shall not apply to any federal efficiency standard set
15	aside by a court upon the petition of a person who will be adversely
16	affected, as provided in 42 U.S.C. § 6306(b).
17	§ 16. Section 16–106 of the energy law, as added by chapter 431 of the
18	laws of 2005, paragraph (c) of subdivision 2 as added by chapter 222 of
19	the laws of 2010 and subdivision 4 as amended by chapter 69 of the laws
20	of 2020, is amended to read as follows:
21	§ 16-106. [Administration of article] <u>Powers and duties of the presi-</u>
22	dent and the secretary. 1. The [secretary, in consultation with the]
23	president[,] in consultation with the secretary shall have and be enti-
24	tled to exercise the following powers and duties:
25	(a) To [establish energy] <u>adopt regulations establishing</u> efficiency
26	[performance] standards for the products listed in <u>paragraphs (a)</u>
27	<u>through (xx) of</u> subdivision one of section 16–104 of this article,
28	including but not limited to, establishing [energy] efficiency [perform-
29	ance] standards for power supplies in the active mode and no-load mode
30	or other such products while in the active mode and in the standby-pas-
31	sive-mode[.
32	(b) To promulgate regulations to achieve the purposes of this article
33	provided however that no energy efficiency performance standard shall
34	become effective for a product less than one hundred eighty days after
35	it shall become final, provided, however, that no standard adopted
36	pursuant to this article shall go into effect if federal government
37	energy efficiency performance standards regarding such product preempt
38	state standards unless preemption has been waived pursuant to federal
39	law;
40	(c) To administer and enforce the provisions of this article and any
41	rule or regulation promulgated thereunder or order issued pursuant ther-
42	eto;
43	(d) To order, pursuant to section 16–104 of this article, the immedi-
44	ate cessation of any distribution, sale or offer for sale, import or
45	installation of any product for which the secretary, in consultation
46	with the president, determines that the certification of such product
47	listed in subdivision one of section 16-104 of this article was achieved
48	in violation of section 16–108 of this article];
49	(b) To adopt regulations establishing efficiency standards for
50	products other than motor vehicles not specifically listed in paragraphs
51	(a) through (xx) of subdivision one of section 16-104 of this article,
52	provided that the president determines that establishing such efficiency
53	standards would serve to promote energy reduction, water conservation,
54	greenhouse gas reduction, and/or increased demand flexibility associated
55	with the regulated product categories in this state. Any regulation
56	adopted pursuant to this paragraph may include provisions establishing



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1 procedures for testing the efficiency of the covered products and 2 provisions establishing procedures for manufacturers of such product to 3 certify that such products meet the efficiency standards, if the president determines that such manufacturer's certifications should be 4 5 required; 6 (c) To review efficiency standards as adopted from time to time by 7 other states for products other than motor vehicles not listed in para-8 graphs (a) through (xx) of subdivision one of section 16-104 of this 9 article, and to adopt regulations establishing efficiency standards 10 similar to those adopted by any other state for such products, provided 11 that the president determines that establishing such efficiency stand-12 ards would serve to promote energy reduction, water conservation, green-13 house gas reduction, and/or increased demand flexibility associated with 14 the regulated product categories in this state. Any regulation adopted 15 pursuant to this paragraph may include provisions establishing proce-16 dures for testing the efficiency of the covered products and provisions 17 establishing procedures for manufacturers of such product to certify 18 that such products meet the efficiency standards, if the president 19 determines that such manufacturer's certifications should be required; 20 (d) To adopt regulations to achieve the purposes of this article 21 through an open and transparent process to provide meaningful opportu-22 public comment from all segments of the population that nities for will be impacted by the promulgated codes, rules, or regulations, 23 24 including persons living in disadvantaged communities as identified by 25 the climate justice working group established in section 75-0111 of the 26 environmental conservation law; 27 To conduct investigations, test, and obtain data with respect to (e) 28 research experiments and demonstrations, and to collect and disseminate 29 information regarding the purposes to be achieved pursuant to this arti-30 cle; 31 To accept grants or funds for purposes of administration and (f) 32 enforcement of this article. Notwithstanding any other provision of law 33 to the contrary, the president is hereby authorized to accept grants or 34 funds, including funds directed through negotiated settlements or consent orders pursuant to this article, and is authorized to establish 35 36 the appliance standards administration account to be administered by the 37 New York state energy research and development authority, in consulta-38 tion with the secretary, and maintained in a segregated account in the 39 custody of the commissioner of taxation and finance. All funds accepted 40 by the president for the purposes of this article shall be deposited in 41 the efficiency standards administration account established by the New 42 York state energy research and development authority and maintained in a 43 segregated account in the custody of the commissioner of taxation and 44 finance. All expenditures from the efficiency standards administration 45 account pursuant to this article shall be made by the New York state 46 energy research and development authority to carry out studies, investi-47 gations, research, expenses to provide for expert witness, consultant, enforcement, administrative and legal fees, including disbursements to 48 49 the department of state to support enforcement activities authorized by 50 the secretary pursuant to this section, and other related expenses 51 pursuant to this article. All deposits made to the efficiency standards 52 administration account made by the New York state energy research and 53 development authority, all funds maintained in the efficiency standards 54 administration account, and disbursements therefrom, made pursuant to 55 this article shall be subject to an annual independent audit as part of such authority's audited financial statements, and such authority shall 56



1 prepare an annual report summarizing efficiency standards administration 2 account balance and activities for each fiscal year ending March thir-3 ty-first and provide such report to the secretary no later than ninety days after commencement of such fiscal year; 4 [To impose a fine and/or impose injunctive relief for any 5 (g) 6 violation of this article after notice and an opportunity to be heard; 7 (h) The secretary and the president shall consult with the appropriate 8 federal agencies, including, but not limited to, the federal department 9 of energy, industry and other potentially affected parties in carrying out the provisions of this article] To consult with the appropriate 10 federal agencies, including, but not limited to, the federal department 11 12 of energy, the federal department of industry and other potentially 13 affected parties in carrying out the provisions of this article; and 14 (h) To conduct investigations, in consultation with the secretary, to 15 determine if products covered by standards adopted pursuant to this 16 article comply with such standards; to conduct tests to determine if 17 products covered by standards adopted pursuant to this article comply with such standards; to prepare written reports of the results of such 18 investigations and tests; to provide such reports to the secretary; in 19 20 consultation with the secretary, to negotiate settlement agreements with 21 any person that violates the provisions of subdivision two of section 22 16-104 of this article, or fails to perform any duty imposed by this article, or violates or fails to comply with any rule, regulation, 23 24 determination, or order adopted, made, or issued by the president or the 25 secretary pursuant to this article, pursuant to which such person shall 26 agree to cease such violation and to pay such civil penalty as may be 27 specified in such agreement, the terms of which will be incorporated 28 into a consent order signed by such person, the president, and the 29 secretary; to consult with the secretary in connection with determinations made by the secretary pursuant to paragraph (b) of subdivision 30 five of this section; and to cooperate with the secretary in enforcement 31 32 proceedings conducted by the secretary pursuant to this article. 33 1-a. Notwithstanding any other provision of this article, no efficien-34 cy standard adopted pursuant to paragraph (a) of subdivision one of this section shall become effective less than one hundred eighty days after 35 36 publication of the notice of adoption of such standard in the state 37 register; no efficiency standard adopted pursuant to paragraph (b) or 38 (c) of subdivision one of this section shall become effective less than 39 one year after publication of the notice of adoption of such efficiency 40 standard in the state register; no amendment of any efficiency standard 41 adopted pursuant to this article or of any efficiency standard continued 42 in this state pursuant to section 16-105 of this article shall become 43 effective less than one hundred eighty days after publication of the 44 notice of adoption of such amendment in the state register; and no new 45 or amended efficiency standard, or water conservation standard adopted 46 pursuant to this article shall go into effect if federal government 47 efficiency standards regarding such product preempt state standards 48 unless preemption has been waived pursuant to federal law. 49 2. (a) On or before [June thirtieth] January first, two thousand [six] 50 twenty-three, the [secretary, in consultation with the] president, in 51 consultation with the secretary, shall adopt regulations in accordance 52 with the provisions of this article establishing: 53 (i) [energy] efficiency [performance] standards for new products of the types [set forth] referred to in paragraphs (a) through [(n)] (f) 54 55 and paragraphs (h) through (y), paragraphs (aa) through (jj) and paragraphs (mm) through (xx) of subdivision one of section 16-104 of this 56

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1 article[, with the exception of such paragraph (g) (incandescent reflec-2 tor lamps)]; 3 (ii) procedures for testing the [energy] efficiency of the new products [covered by] of the types referred to in paragraphs (a) through 4 [(n)] (f) and paragraphs (h) through (xx) of subdivision one of section 5 6 16-104 of this article; 7 (iii) procedures for manufacturers to certify that <u>new</u> products 8 [covered under] of the types referred to in paragraphs (a) through (f) and paragraphs (h) through (xx) of subdivision one of section 16-104 of 9 this article meet the [energy] efficiency standards to be [promulgated 10 11 under this article] adopted pursuant to this article, if the president 12 determines that such manufacturer's certifications should be required; 13 and 14 (iv) such further matters as are necessary to insure the proper imple-15 mentation and enforcement of the provisions of this article. 16 (b) With respect to [incandescent reflector lamps, included] the types 17 of products referred to in [paragraph] paragraphs (g), (z) or (kk) of subdivision one of section 16-104 of this article (incandescent reflec-18 19 tor lamps, general service lamps, and light emitting diode lamps), the [secretary, in consultation with the] president[,] shall conduct a study 20 21 by December thirty-first, two thousand twenty-two to determine whether 22 [energy] efficiency [performance] standard for such [product] an products should be established, taking into account factors including 23 24 the potential impact on electricity usage, product availability and consumer and environmental benefits. If [it is determined] the president 25 determines based on this study that such a standard would reduce energy 26 27 use and would not be preempted by the federal law, the [secretary, in 28 consultation with the] president[,] shall adopt regulations in accord-29 ance with the provisions of this article establishing [energy perform-30 ance] efficiency standards for such [product on or before January first, two thousand eight] products. 31 32 [(b) With respect to the products defined in subdivision seven of 33 section 16-102 of this article (very large commercial package air conditioning and heating equipment), subdivision nine of section 16-102 of 34 35 this article (commercial refrigerators, freezers and refrigerator-freez-36 ers), subdivision twenty-three of section 16-102 of this article (metal 37 halide lamp fixtures) and subdivision three of section 16-102 of this 38 article (automatic commercial ice-cube makers), the secretary shall issue regulations pursuant to paragraph a of this subdivision establish-39 40 ing energy efficiency performance standards for such products at the 41 following levels and with the following compliance dates: 42 (i) very large commercial package air conditioning and heating equip-43 ment. Each very large commercial package air conditioning and heating 44 equipment sold, offered for sale or installed in New York state on or 45 after January first, two thousand ten shall, when tested according to the test standard specified in Air-Conditioning and Refrigeration Insti-46 47 tute standard 340/360-2004, meet the following standards: (A) The minimum energy efficiency ratio of air-cooled central air 48 49 conditioners at or above two hundred forty thousand BTU per hour (cool-50 ing capacity) and less than seven hundred sixty thousand BTU per hour 51 (cooling capacity) shall be 52 (I) 10.0 for equipment with no heating or electric resistance heating 53 and; 54 (II) 9.8 for equipment with all other heating system types that are 55 integrated into the equipment (at a standard rating of ninety-five

56 degrees Fahrenheit dB).



1 (B) the minimum energy efficiency ratio of air-cooled central air 2 conditioner heat pumps at or above two hundred forty thousand BTU per 3 hour (cooling capacity) and less than seven hundred sixty thousand BTU per hour (cooling capacity) shall be 4 5 (I) 9.5 for equipment with no heating or electric resistance heating; 6 and 7 (II) 9.3 for equipment with all other heating system types that are 8 integrated into the equipment (at a standard rating of ninety-five 9 degrees Fahrenheit dB). (C) the minimum coefficient of performance in the heating mode of 10 11 air-cooled central air conditioning heat pumps at or above two hundred 12 forty thousand BTU per hour (cooling capacity) and less than seven 13 hundred sixty thousand BTU per hour (cooling capacity) shall be 3.2 (at 14 a high temperature rating of forty-seven degrees Fahrenheit dB); 15 (ii) commercial refrigerators and freezers. (A) Each commercial 16 refrigerator, freezer, and refrigerator-freezer with a self-contained condensing unit designed for holding temperature applications sold, 17 18 offered for sale or installed in New York state on or after January 19 first, two thousand ten shall have a daily energy consumption (in kilo-20 watt hours per day) not to exceed: 21 (I) refrigerators with solid doors 0.10 V + 2.04 22 (II) refrigerators with transparent doors 0.12 V + 3.3423 (III) freezers with solid doors 0.40 V + 1.38 24 (IV) freezers with transparent doors 0.75 V + 4.1025 (V) refrigerators/freezers with soliđ doors the greater of: 26 0.27AV-0.71 or 0.70. 27 (B) Each commercial refrigerator with a self-contained condensing unit 28 designed for pull-down temperature applications sold, offered for sale 29 or installed in New York state on or after January first, two thousand 30 ten shall have a daily energy consumption (in kilowatt hours per day) not to exceed: refrigerators with transparent doors 0.126 V + 3.51. 31 metal halide lamp fixtures. Each metal halide lamp fixture that 32 (iii) 33 is sold, offered for sale or installed in New York state on or after January first, two thousand eight and that operates a lamp in a vertical 34 position (including fixtures that operate lamps rated for use within 35 36 fifteen degrees of vertical) and that is capable of operating lamps 37 rated equal to or greater than one hundred fifty Watts and less than or 38 equal to five hundred Watts shall not contain a probe start metal-halide 39 ballast. 40 (iv) automatic commercial ice-cube maker. Each automatic commercial 41 ice-cube maker, that produces cube-type ice with capacities between 42 fifty and two thousand five hundred pounds per twenty-four hour period 43 sold, offered for sale or installed in New York state on or after Janu-44 ary first, two thousand ten, when tested according to the test standard 45 specified in air-conditioning and refrigeration institute standard 810-46 2003, as in effect on January first, two thousand five, shall meet the 47 following standard levels: (A) H means the harvest rate in pounds per twenty-four hours. For 48 49 water-cooled equipment, water use is for the condenser only and does not 50 include potable water used to make ice. 51 (B) For ice making head water-cooled equipment the maximum condenser 52 water use in gal/one hundred pounds of ice shall be 200-0.022H and the 53 maximum energy use with a harvest rate of: (I) < 500 shall be 7.8-0.0055H; 54 (II) 500 and < 1,436 shall be 5.58-0.0044H 55 (III) 1,436 and < 2,500 shall be 4.0 56



1 (C) For ice making head air-cooled equipment the maximum energy use 2 with a harvest rate of: 10.26-0.0086H; 3 (I) < 450 shall be (II) 450 and < 2,500 shall be 6.89-0.0011H 4 5 (D) For remote condensing but not remote compressor air-cooled equipment the maximum energy use with a harvest rate of: 6 (I) < 1,000 shall be 8.85 - 0.0038H; 7 (II) 1,000 and < 2,500 shall be 5.10 8 (E) For remote condensing and remote compressor air-cooled equipment 9 the maximum energy use with a harvest rate of: 10 11 (I) < 934 lbs shall be 8.85 - 0.0038H;</pre> 12 (II) 934 and < 2,500 shall be 5.3 13 (F) For self-contained water-cooled equipment the maximum condenser 14 water use in gal/100 lbs of Ice shall be 191 - 0.0315H and the maximum 15 energy use with a harvest rate of: 16 (I) < 200 shall be 11.4 - 0.019H; 17 (II) 200 and < 2,500 shall be 7.6 18 (G) For self-contained air-cooled equipment the maximum energy use 19 with a harvest rate of: (I) < 175 shall be 18.0 - 0.0469H 20 21 (II) 175 and < 2,500 shall be 9.8 22 (c) On or before December thirty-first, two thousand ten, the secrein consultation with the president, shall adopt regulations in 23 tary, 24 accordance with the provisions of this article establishing: (i) energy 25 efficiency performance standards for new products of the types set forth in paragraphs (o) through (s) of subdivision one of section 16-104 of 26 27 this article; (ii) procedures for testing the energy efficiency of the 28 products covered by paragraphs (o) through (s) of subdivision one of section 16-104 of this article; (iii) procedures for manufacturers to 29 certify that products covered by paragraphs (o) through (s) of subdivi-30 sion one of section 16-104 of this article meet the energy efficiency 31 standards promulgated under this article; and (iv) such further matters 32 33 as are necessary to insure the proper implementation and enforcement of the provisions of this article with respect to the products covered by 34 paragraphs (o) through (s) of subdivision one of section 16-104 of this 35 36 article.] 37 3. Subsequent to adopting regulations pursuant to subdivisions one and 38 two of this section, the [secretary, in consultation with the] presi-39 dent, in consultation with the secretary, may amend such regulations, 40 including increasing the stringency of the [energy] efficiency [perform-41 ance] standards[, provided however that no energy efficiency performance 42 standard shall become effective for a product less than one hundred 43 eighty days after it shall become final]. 44 4. By March fifteenth of two thousand twenty-one, the secretary and 45 the president shall produce a report to the governor, the speaker of the 46 assembly, the temporary president of the senate, the chair of the assem-47 bly committee on energy and the chair of the senate committee on energy and telecommunications on the status of regulations establishing [ener-48 49 efficiency [performance] standards pursuant to this article, which gy] shall indicate for each product enumerated in subdivision one of section 50 16-104 of this article the status of the implementation of [performance] 51 52 efficiency standards. The report shall also set forth the estimated potential annual reductions in energy use and potential utility bill 53 savings resulting from adopted [performance] efficiency standards for 54 the years two thousand twenty-five and two thousand thirty-five and the 55 potential cumulative reductions in energy use through the year two thou-56



sand thirty-five. Such report shall be updated by March fifteenth, two 1 2 thousand thirty and a copy shall be posted by March fifteenth, two thou-3 sand thirty on the websites of the authority and the department of 4 state. 5 5. (a) In addition to all other powers and authority given to the 6 secretary by this article, the secretary shall have and be entitled to 7 exercise the following powers and duties: 8 (i) To request the president to conduct investigations to determine if 9 products covered by efficiency standards adopted pursuant to this arti-10 cle comply with such efficiency standards; to consult with the president 11 in connection with the president's performance of such investigations; 12 to request the president to conduct tests to determine if products 13 covered by efficiency standards adopted pursuant to this article comply 14 with such efficiency standards; and to request the president's cooper-15 ation in connection with enforcement proceedings conducted by the secre-16 tary pursuant to this article; 17 (ii) To order the immediate cessation of any distribution, sale or 18 offer for sale, lease or offer to lease, rent or offer to rent, import, 19 or offer to import, or installation or offer of installation of any 20 product listed in paragraphs (a) through (xx) of subdivision one of 21 section 16-104 of this article, or of any product for which efficiency 22 standards shall have been established pursuant to paragraph (b) or (c) 23 of subdivision one of this section, or any product that is subject to a 24 federal efficiency standard that shall have been continued in this state 25 pursuant to section 16-105 of this article, if the secretary, in consul-26 tation with the president, determines that such product does not meet 27 the applicable efficiency standard or if such product does not satisfy 28 testing procedures or manufacturer's certification procedures the 29 adopted pursuant to the regulations authorized by this article; (iii) To accept grants or funds for purposes of administration and 30 31 enforcement of this article; 32 (iv) To impose, after notice and an opportunity to be heard, civil 33 penalties and/or injunctive relief for any violation of this article or 34 any regulation adopted pursuant to this article. Any penalties collected by the secretary under this section shall be placed in the account 35 36 established under section ninety-seven-www of the state finance law, 37 relating to the consumer protection account; and 38 (v) To adopt such rules and regulations as the secretary may deem 39 necessary or appropriate for the purpose of carrying out the powers and 40 duties granted to the secretary by this article. 41 (b) The secretary may exercise the powers and authority granted to the 42 secretary by this subdivision, or by any other provision of this arti-43 cle, through the consumer protection division established by the secre-44 tary pursuant to section ninety-four-a of the executive law or through 45 such other divisions, officers, or employees of the department of state 46 as the secretary may designate from time to time. 47 § 17. The energy law is amended by adding a new section 16-107 to read 48 as follows: § 16-107. Subpoenas, information and document production, enforcement 49 50 procedures, referrals. 1. (a) In addition to all other powers provided 51 by this article, the secretary or his or her designee shall have the 52 power and authority to subpoena any person doing business in this state 53 and bring such person before such officer or person in the department of 54 state as may be designated in such subpoena, and to administer an oath 55 to and take testimony of any person or cause any person's deposition to 56

<u>be taken.</u>



1 (b) In addition to all other powers provided by this article, the 2 president or his or her designee shall have the power and authority to 3 subpoena any person in this state to compel testimony, the protection of documents, or both, and bring such person before such officer or person 4 5 in the authority as may be designated in such subpoena, and to adminis-6 ter an oath to and take testimony of any person or cause any person's 7 deposition to be taken. 8 (c) A subpoena issued under this subdivision shall be regulated by the 9 civil practice law and rules, and is in addition to and not in limita-10 tion of the power to make information and document requests under subdi-11 vision two of this section. 12 2. Any person that sells or offers for sale, leases or offers for 13 lease, rents or offers for rent, or installs or offers to install, manu-14 factures or tests in New York state any new product of a type listed in 15 paragraphs (a) through (xx) of subdivision one of section 16-104 of this 16 article, or any new product for which efficiency standards shall have 17 been established pursuant to paragraph (b) or (c) of subdivision one of section 16-106 of this article, or any product that is subject to feder-18 19 al efficiency standards that shall have been continued in this state pursuant to section 16-105 of this article, shall be obliged, on the 20 21 request of the secretary or his or her designee, or the request of the 22 president or his or her designee, to supply the secretary and/or the president with such information and documentation as may be required 23 24 concerning such person's business, business practices, or business meth-25 ods, or proposed business practices or methods. The obligations contained in this subdivision shall not apply to any person that sells 26 27 or offers for sale, leases or offers for lease, rents or offers for 28 rent, or installs or offers to install only products described in subdi-29 vision three of section 16-104 of this article. The power to make infor-30 mation and document requests is in addition to and not in limitation of the power to issue subpoenas. 31 32 3. A subpoena may be issued pursuant to subdivision one of this 33 section, and a request for information and documentation may be made 34 pursuant to subdivision two of this section, at any time and in any 35 situation, without regard to whether such subpoena or request is or is 36 not issued or made in connection with an investigation conducted by the 37 president or an enforcement proceeding conducted by the secretary. 38 4. The secretary shall, before ordering the immediate cessation of any 39 distribution, sale or offer for sale, lease or offer to lease, rent or 40 offer to rent, import or offer to import, or installation or offer of 41 installation of any product, or imposing any civil penalty, injunctive 42 relief, or other relief pursuant to this article upon any person who is 43 alleged to be in violation of any provision of this article or of any 44 regulation adopted pursuant to this article, and at least ten days prior 45 to the date set for the hearing, notify in writing and shall afford such 46 person an opportunity to be heard in person or by counsel in reference 47 thereto. Such written notice may be served by delivery of same personally, or by mailing same by certified mail to the last known busi-48 ness address of such person, or by any method authorized by the civil 49 50 practice law and rules. The hearing on such charges shall be at such 51 time and place as the department of state shall prescribe. A hearing 52 held by this subdivision shall be held pursuant to the state administra-53 tive procedure act, and any applicable regulations adopted by the secre-54 tary. 55 5. A final action of the secretary in imposing a civil penalty, or 56 other order, may be subject to review by a proceeding instituted under



article seventy-eight of the civil practice law and rules at the 1 2 instance of the person aggrieved. Final actions that may be subject to 3 judicial review under article seventy-eight of the civil practice law 4 and rules include: 5 (a) a determination that a person is in violation of any provision of 6 this article or of any regulation adopted under this article; 7 (b) an order directing the immediate cessation of the sale or offer 8 for sale, installation or offer to install, lease or offer to lease, 9 rent or offer to rent, or import any product in violation of any 10 provision of this article or of any regulation adopted under this arti-11 cle; 12 (c) an order granting or imposing any other type of injunctive relief; 13 and 14 (d) the imposition of a civil penalty, excluding any consent order, 15 any determination made in a consent order and any civil penalty and/or 16 injunctive relief imposed by a consent order. 17 6. In addition to all other powers provided by this article, the secretary and the president, are authorized, individually or jointly, to 18 19 refer the results of any investigation conducted by the president pursuant to this article to the attorney general and to request the attorney 20 21 general to institute, in the name of the secretary and/or the president, 22 an action or proceeding to enforce the provisions of this article. The 23 attorney general shall, at the request of the secretary or president, or 24 may, on his or her own initiative, institute proceedings to enforce the 25 provisions of this article including the imposition of civil penalties 26 or injunctive relief. Nothing in this subdivision shall limit or impair 27 the power and authority of the secretary to conduct enforcement 28 proceedings, to issue orders pursuant to paragraph (b) of subdivision 29 five of section 16-106 of this article, and to impose penalties pursuant to section 16-108 of this article. 30 31 § 18. Section 16-108 of the energy law, as added by chapter 431 of the laws of 2005, is amended to read as follows: 32 33 § 16-108. Violations, civil liability. 1. Any person who issues: (a) a certification that a product listed in paragraphs (a) through 34 (xx) of subdivision one of section 16-104 of this article complies with 35 36 the [energy] efficiency standards for such product established by or 37 pursuant to this article[,]; 38 (b) a certification that a product not listed in paragraphs (a) 39 through (xx) of subdivision one of section 16-104 of this article 40 complies with efficiency standards for such product established pursuant 41 to paragraph (b) or (c) of subdivision one of section 16-104 of this 42 article; or 43 (c) a certification that a product that is subject to federal effi-44 ciency standards that shall have been continued in this state pursuant 45 to section 16-105 of this article complies with such efficiency stand-46 ards, knowing that such product does not comply with [those] such effi-47 ciency standards, shall be liable for a civil penalty of not more than ten thousand dollars for each such product certified and an additional 48 penalty of not more than ten thousand dollars for each day during which 49 50 such violation continues. 51 2. Any person who violates the provisions of subdivision two of section 16-104 of this article, or [who] fails to perform any duty 52 imposed by this article, or [who] violates or fails to comply with any 53 rule, regulation, determination, or order [of] adopted, made, or issued 54 55 by the president or the secretary [of state promulgated] pursuant to this article, shall be liable for a civil penalty of not more than five 56



1 hundred dollars for each such violation and an additional civil penalty 2 of not more than one hundred dollars for each day during which such 3 violation continues, and, in addition thereto, such person may be enjoined from continuing such violation. 4 5 [The secretary may cause an investigation to be made of complaints 3. 6 received concerning violations of this article and may refer the results 7 of such investigations to the attorney general. The attorney general 8 shall, at the request of the secretary, or may, on his own initiative, institute proceedings to enforce the provisions of this article. 9 4.] An action or cause of action for the recovery of a penalty under 10 11 this section may be settled or compromised in an amount to be approved by the secretary either before or after proceedings are brought to 12 13 recover such penalties and prior to the entry for judgment therefor. 14 § 19. The energy law is amended by adding a new section 16-109 to read 15 as follows: 16 § 16-109. Conflicts with other laws. Nothing in this article or in 17 any regulation adopted pursuant to this article shall limit, impair, or supersede the provisions of subdivision one of section three hundred 18 19 eighty-three of the executive law or the provisions of subdivision three of section 11-103 of this chapter. 20 21 § 20. Subparagraphs 14 and 15 of paragraph (a) of subdivision 3 of 22 section 94-a of the executive law, as added by section 21 of part A of 23 chapter 62 of the laws of 2011, are amended and a new subparagraph 16 is 24 added to read as follows: 25 (14) cooperate with and assist consumers in class actions in proper 26 cases; [and] 27 (15) create an internet website or webpage pursuant to section three 28 hundred ninety-c of the general business law[.], as added by chapter 29 five hundred nine of the laws of two thousand seven; and 30 (16) exercise such powers and duties granted to the secretary by article sixteen of the energy law as the secretary may direct, including, 31 but not limited to: consult with such president of the New York state 32 energy research and development authority in connection with investi-33 gations conducted by such president pursuant to article sixteen of the 34 35 energy law; make determinations relating to compliance by products with 36 the standards adopted pursuant to article sixteen of the energy law; 37 order the immediate cessation of any distribution, sale or offer for 38 sale, import, or installation of any product that does not meet such 39 standards; and impose civil penalties as contemplated by article sixteen 40 of the energy law. 41 § 21. The opening paragraph and paragraphs a and c of subdivision 1 42 and subdivision 3 of section 374 of the executive law, the opening para-43 graph of subdivision 1 as amended by chapter 309 of the laws of 1996, 44 paragraph a of subdivision 1 as amended by section 96 of subpart B of 45 part C of chapter 62 of the laws of 2011 and as further amended by 46 section 104 of part A of chapter 62 of the laws of 2011, paragraph c of 47 subdivision 1 as amended by chapter 920 of the laws of 1985, and subdivision 3 as added by chapter 707 of the laws of 1981, are amended to 48 49 read as follows: There is hereby created and established in the department of state a 50 51 council, to be known as the state fire prevention and building code 52 council. Such council shall consist of the secretary of state, as [chairman] chair, the state fire administrator, the president of the New 53 54 York state energy research and development authority, the commissioner of the department of environmental conservation and fifteen other 55 members to be appointed as follows: 56



1 Two members, to be appointed by the governor, from among the a. 2 commissioners of [the departments of economic development, corrections 3 and community supervision, education, health, labor, mental health and social services, office of general services, division of housing and community renewal,] <u>economic development; corrections and community</u> 4 5 6 supervision; education; health; labor; mental health; general services; housing and community renewal; parks, recreation and historic preserva-7 8 tion; and temporary and disability assistance; and the superintendent of 9 financial services.

c. Seven members, to be appointed by the governor with the advice and 10 11 consent of the senate, one of whom shall be a fire service official, one 12 of whom shall be a registered architect, one of whom shall be a profes-13 sional engineer, one of whom shall be a code enforcement official, one 14 of whom shall represent builders, one of whom shall represent trade 15 unions, and one of whom shall be a person with a disability as defined 16 in section two hundred ninety-two of this chapter who would directly 17 benefit from the provisions of [article thirteen of] the state uniform fire prevention and building code relating to accessibility. The regis-18 19 tered architect and professional engineer shall be duly licensed to 20 practice their respective professions in the state of New York. After 21 the certification of code enforcement personnel pursuant to this chapter 22 shall have begun said code enforcement official shall be so certified.

3. <u>(a)</u> The council shall meet at least quarterly at the call of the chairman. Additional meetings may be called upon at least five [days] <u>days'</u> notice by the chairman or by petition of five members of the council.

27 (b) Notwithstanding the provisions of any other law to the contrary, a 28 majority, but no fewer than seven, of the members of the council then in 29 office, gathered together in the presence of each other or through the use of videoconferencing, at a meeting duly held at a time fixed by law 30 or by any by-law duly adopted by the council, or at any meeting duly 31 32 held upon reasonable notice to all members of the council then in 33 office, or at any duly adjourned meeting of such meeting, shall constitute a quorum, and a majority, but no fewer than seven, of the members 34 of the council then in office may perform and exercise any power, 35 36 authority, or duty of the council at any such meeting or adjourned meet-37 ing.

38 § 22. Subdivision 2 of section 97-www of the state finance law, as 39 amended by section 53 of part A of chapter 62 of the laws of 2011, is 40 amended to read as follows:

2. Such account shall consist of all penalties received by the department of state pursuant to section three hundred ninety-nine-z of the general business law, section 16-106 of the energy law and any additional monies appropriated, credited or transferred to such account by the Legislature. Any interest earned by the investment of monies in such account shall be added to such account, become part of such account, and be used for the purposes of such account.

48 § 23. A building code or other requirement applicable to commercial 49 or residential buildings or construction may not prohibit the use of a substance authorized pursuant to 42 U.S.C. 7671k. Substances under 50 51 review but not yet listed by the United States Environmental Protection 52 Agency pursuant to 42 U.S.C. 7671k may be allowed for use provided that such substance and the refrigeration or air conditioning system or other 53 54 equipment or products utilizing such substance are designed, installed, 55 and used in accordance with nationally recognized published standards that protect building occupant safety and reduce fire risks. 56



1	§ 24. Section 17-101 of the energy law is amended by adding twenty new
2	subdivisions 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20,
3	21, 22, 23 and 24 to read as follows:
4	5. "Authority" means the New York state energy research and develop-
5	ment authority.
6	6. "Benchmark" means inputting and submitting the total energy and
7	water consumed for a property for the previous calendar year and other
8	descriptive information for such property as required by the benchmark-
9	ing tool. Total energy and water consumption shall not include separate-
10	ly metered uses that are not integral to building operations, such as
11	broadcast antennas, as determined by the president.
12	7. "Benchmarking information" means information generated by the
13	benchmarking tool and descriptive information about the physical proper-
14	ty and its ownership, management, and operational characteristics.
15	8. "Public benchmarking information" means information generated by
16	the benchmarking tool and descriptive information about the physical
17	property and its operational characteristics that is disclosed to the
18	public. The public benchmarking information shall include, but shall not
19	be limited to:
20	(a) descriptive information, including property address; primary use
21	type; gross floor area as defined by the benchmarking tool glossary;
22	(b) output information, including site and source energy use intensi-
23	ty; weather normalized site and source energy use intensity; total annu-
24	al greenhouse gas emissions; water use per gross square foot; the Energy
25	Star score, where available;
26	(c) compliance or noncompliance with this law; and
27	(d) a comparison of the annual summary statistics across calendar
28	years for all years since annual reporting and disclosure has been
29	required for the covered property.
30	9. "Benchmarking submission" means a subset of:
31	(a) information input into the benchmarking tool; and
32	(b) benchmarking information generated by the benchmarking tool, as
33	determined by the president.
34	10. "Benchmarking tool" means the portfolio manager or any similar
35	tool may as determined by the president to be reasonably comparable, and
36	any additional tools specified in regulations adopted by the president.
37	11. "Building energy benchmarking" means the process of measuring a
38	building's energy use, tracking that use over time, and comparing
39	performance to similar buildings nationwide.
40	12. "Covered property" means: on and after the first day of January,
41	two thousand twenty-three, any property that has one or more buildings
42	that together exceed twenty-five thousand gross square feet in total
43	combined floor area.
44	13. "Energy" means electricity, natural gas, steam, hot or chilled
45	water, fuel oil, kerosene, propane, or other fuel product for use in a
46	building, or on-site electricity generation, including renewable and
47	storage technologies for purposes of providing heating, cooling, light-
48	ing, water heating, or for powering or fueling other end-uses in the
49	building and related facilities.
50	14. "Energy Star score" means the one through one hundred (1-100)
51	numeric rating generated by the Energy Star portfolio manager tool.
52	15. "Energy use intensity" means the kBTUs (one thousand British Ther-
53	mal Units) used per square foot of gross floor area.
54	16. "Exempt municipality" means a municipality with a benchmarking
55	requirement in effect that meets or exceeds the benchmarking rules

56 established by the authority.



1	17. "Gross floor area" means the total number of enclosed square feet
2	measured between the exterior surfaces of the fixed walls within any
3	structure used or intended for supporting or sheltering any use or occu-
4	pancy.
5	18. "Owner" means:
6	(a) an individual or entity possessing title to a covered property;
7	(b) the net lessee in the case of a property subject to a triple net
8	<u>lease;</u>
9	(c) the board of managers in the case of a condominium;
10	(d) the board of directors in the case of a cooperative apartment
11 12	<u>corporation;</u> (e) an agent authorized to act on behalf of any of the above; or
13	(f) the entity in physical possession of the property or having bene-
14	ficial use and occupancy of the property in the case of a covered prop-
15	erty with title possessed by a state entity solely for purposes of
16	securing bonds, notes or other obligations issued by such state entity,
17	in which case, the state entity will not also be deemed the owner here-
18	under. For the purpose of this subparagraph, a "state entity" shall mean
19	any state agency, state authority or subsidiary of a state authority.
20	<u>19. "Portfolio manager" means the Energy Star portfolio manager, the</u>
21	internet-based tool developed and maintained by the United States Envi-
22	ronmental Protection Agency to track and assess the relative energy
23	performance of buildings nationwide, or successor.
24	20. "President" means the president of the authority.
25	21. "Qualified benchmarker" means an individual or entity that
26	possesses a benchmarking certification or other credential or creden-
27	tials approved by the president.
28	22. "Qualifying financial distress" means:
29	(a) the covered property is the subject of a qualified tax lien sale
30	or public auction due to property tax arrearages;
31 32	(b) the covered property is controlled by a court appointed receiver;
33	(c) a foreclosure action has commenced on the covered property during the calendar year for which benchmarking is required;
34	(d) title to the covered property was transferred by deed in lieu of
35	foreclosure or by a referee's deed in foreclosure during the calendar
36	year for which benchmarking is required;
37	(e) the owner of a covered property has commenced a bankruptcy filing;
38	or
39	(f) other situations as authorized by the president or the president's
40	designee.
41	23. "Tenant" means a person or entity occupying or holding possession
42	of a building, part of a building or premises pursuant to a rental
43	agreement.
44	24. "Utility" means an entity that distributes and sells energy to
45 46	<u>covered properties.</u> § 25. The energy law is amended by adding a new section 17-107 to read
40 47	as follows:
48	§ 17-107. Benchmarking applicability and submission. 1. No later than
49	the first day of May, two thousand twenty-three, and no later than the
50	first day of May of every year thereafter, each owner shall ensure that
51	such owner's covered properties shall be benchmarked for the previous
52	calendar year and the benchmarking submission shall be provided to the
53	authority as directed by the president.
54	2. The president or the president's designee may temporarily exempt
55	from the benchmarking requirement the owner of a covered property that



submits documentation establishing, to the satisfaction of the president 1 2 or the president's designee, any of the following: 3 (a) the covered property has characteristics that make benchmarking impracticable, including buildings that do not fit any of the property 4 5 types, definitions or use details listed in the portfolio manager; 6 (b) the covered property had average physical occupancy of less than fifty percent throughout the calendar year for which benchmarking is 7 8 required; 9 (c) the covered property is a new construction and the covered proper-10 ty's certificate of occupancy or temporary certificate of occupancy was 11 issued during the calendar year for which benchmarking is required; 12 (d) the covered property experienced qualifying financial distress 13 during the year for which benchmarking is required; or 14 the covered property has been issued a full demolition permit for (e) 15 the prior calendar year, provided that demolition work has commenced, some energy-related systems have been compromised and legal occupancy is 16 no longer possible prior to the first day of May of the year in which 17 18 the benchmarking report is due. 19 3. The president or the president's designee may exempt from the 20 benchmarking requirement the owners of all covered properties located 21 within an exempt municipality that comply with the municipality's bench-22 marking requirement. 4. The president or the president's designee may exempt from the 23 24 benchmarking requirement related to water the owner of a covered proper-25 ty in jurisdictions where whole building water use data is not available in increments required by the benchmarking tool or as defined by the 26 27 president or the president's designee. 28 5. The president or the president's designee may grant an extension of 29 time if the owner of the covered property demonstrates, to the satisfaction of the president or the president's designee, that despite good 30 faith efforts, the owner could not satisfy the requirements of this 31 32 article by the imposed deadlines. 6. The president or the president's designee may require that data be 33 34 validated by a qualified benchmarker or that benchmarking be performed 35 by a qualified benchmarker. 36 § 26. The energy law is amended by adding a new section 17-108 to read 37 as follows: 38 § 17-108. Benchmarking notification and posting. 1. Between September 39 first and December thirty-first of each year, the authority shall notify 40 owners of their obligation to benchmark pursuant to section 17-107 of 41 this article. 42 2. By December first of each year, the authority shall post the list 43 of the addresses of covered properties on the authority's website. 44 § 27. The energy law is amended by adding a new section 17-109 to read 45 as follows: 46 § 17-109. Disclosure, analysis, and publication of benchmarking infor-47 mation. 1. No later than the thirty-first day of December, two thousand 48 twenty-three and by the fifteenth day of September of each year there-49 after, the authority shall publish public benchmarking information 50 regarding all covered properties for the previous calendar year; except 51 that public benchmarking information regarding a covered property for 52 such property's first year of required compliance, other than whether or 53 not the property complied, shall not be published by the authority. 2. In addition to the publishing of public benchmarking information 54 55 required by subdivision one of this section, the authority shall annual-

56 <u>ly publish:</u>



1 (a) summary statistics and trend analyses regarding energy consumption 2 for covered properties derived from aggregation of benchmarking informa-3 tion; and (b) information regarding how each covered property compares with 4 comparable covered properties in New York State, and how each covered 5 6 property's performance has changed over time. 7 3. No later than the thirty-first day of December, two thousand twen-8 ty-two, and no later than the fifteenth day of September of each year 9 thereafter, each exempted municipality shall make available to the authority, in a form as required by the authority, any benchmarking 10 11 information possessed by such municipality. 12 4. Any analysis or possession of information concerning covered prop-13 erties by the authority is subject to rules regarding personal, private 14 or sensitive information as defined by the New York state office of 15 information technology services and article six of the public officers 16 <u>law.</u> 17 5. The authority may provide an owner or manager of a covered property with benchmarking information related to such covered building that is 18 19 not public benchmarking information. 20 6. Nothing in this section should be construed to supersede sections 21 eighty-four through section ninety of the public officers law, except with respect to the authority's publishing of public benchmarking infor-22 23 mation as required in this section. 24 § 28. The energy law is amended by adding a new section 17-110 to read 25 as follows: 26 § 17-110. Maintenance of benchmarking records. 1. Owners shall main-27 tain records sufficient to provide for the reporting of public bench-28 marking information to the authority. Such records shall be preserved 29 for a period of at least three years. At the request of the president such records shall be made available for inspection and audit. 30 31 2. At the time legal title of any covered property is transferred, the 32 buyer and seller shall arrange for the seller to provide to the buyer, 33 at or before closing, all information necessary for the buyer to report 34 benchmarking information for the entire year in a timely manner. 35 § 29. The energy law is amended by adding a new section 17-111 to read 36 as follows: § 17-111. Benchmarking enforcement and administration. 1. The presi-37 38 dent may promulgate rules and regulations necessary for the adminis-39 tration and enforcement of the requirements of this article. 40 2. It shall be unlawful for any entity or person to fail to comply 41 with the requirements of this article or any rule or regulation promul-42 gated by the authority of this article or to misrepresent any material 43 fact in a document required to be prepared or disclosed pursuant to this 44 article or any rule or regulation promulgated by the authority of this 45 <u>article.</u> 46 3. Any person or entity who violates the benchmarking provisions of this article, not including sections 17-103 and 17-105 of this article, 47 48 shall be subject to a civil penalty not to exceed five thousand dollars 49 per violation. 50 4. The attorney general may bring an action to recover the civil penalties provided by subdivision three of this section and for such 51 52 other relief as may be deemed necessary. § 30. This act shall take effect immediately; provided, however, that 53 54 sections six through twenty and section twenty-two of this act shall 55 take effect on the one hundred eightieth day after it shall have become 56 a law; provided, however, that the amendments to subdivision 4 of



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section 16-106 of the energy law made by section sixteen of this act

shall not affect the repeal of such subdivision and shall be deemed 2 repealed therewith. Effective immediately, the addition, amendment, 3 and/or repeal of any rule or regulation necessary for the timely imple-4 mentation of this act on or before its effective date are hereby author-5 ized to be made and completed on or before such effective date. 6 7 PART FFF 8 Section 1. Section 1005 of the public authorities law is amended by 9 adding a new subdivision 29 to read as follows: 10 29. Notwithstanding any other provision of law, the authority is 11 further authorized, as deemed feasible and advisable by the trustees, to 12 lease or otherwise dispose of interests in excess capacity in the 13 authority's broadband technologies and infrastructure to other instru-14 mentalities of the state to support broadband and other initiatives of 15 the state. 16 § 2. This act shall take effect immediately and shall be deemed to 17 have been in full force and effect on and after April 1, 2022. 18 PART GGG 19 Section 1. Paragraph (d) of subdivision 5 of section 502 of the vehi-20 cle and traffic law, as added by chapter 618 of the laws of 2021, is 21 amended read as follows: (d) (i) The commissioner shall not issue a class A commercial driver's 22 23 license to a person who is eighteen, nineteen or twenty years old 24 unless, in addition to meeting the requirements of this chapter with 25 respect to the issuance of commercial driver's licenses, such person submits [acceptable] proof in a form prescribed by the commissioner of 26 27 successful completion of the commercial driver's license (CDL) class A young adult training program established [by the commissioner of trans-28 portation pursuant to subdivision thirty-six of section fourteen of the 29 transportation law, and proof of completion of the minimum hours of 30 supervised driving required by such subdivision] pursuant to subpara-31 32 graph (ii) of this paragraph. The commissioner shall place an "intra-33 state only" restriction on any class A commercial driver's license 34 issued to a person who is eighteen, nineteen or twenty years old and 35 such restriction shall remain until such person turns twenty-one years 36 of age. 37 (ii) The commissioner shall establish a class A young adult training 38 program which shall consist of the entry-level driver training requirements prescribed by the federal motor carrier safety administration 39 40 under appendices A, C, D and E of part 380 of title 49 of the code of 41 federal regulations, as may be amended from time to time and include no 42 less than three hundred hours of behind-the-wheel training under the 43 immediate supervision and control of an experienced driver. For 44 purposes of this paragraph, the following terms shall have the following 45 meanings: 46 (A) "Young adult" shall mean an individual who is eighteen, nineteen 47 or twenty years old. 48 (B) "Experienced driver" shall mean an individual who: 49 (1) is not less than twenty-one years of age; (2) holds a valid class A commercial driver's license which is not 50 51 suspended, revoked or cancelled pursuant to the provisions of this chap-



1 ter or rules and regulations promulgated thereunder and has held such 2 commercial driver's license for at least two years; 3 (3) has not, for at least a one-year period: been the operator of a motor vehicle involved in an accident reportable to the federal motor 4 carrier safety administration, or been the operator of a commercial 5 motor vehicle involved in an accident reportable to the commissioner, or 6 been convicted of a serious traffic violation, or been convicted of any 7 8 violation of title VII of this chapter for which the commissioner 9 assesses points, or been disqualified from operating a commercial motor 10 vehicle pursuant to this chapter or rules and regulations promulgated 11 thereunder; and 12 (4) has a minimum of one year of experience driving, in commerce, a 13 commercial motor vehicle which can only be operated with a class A 14 commercial driver's license. 15 (C) "Serious traffic violation" shall have the same meaning as such 16 term is defined in subdivision four of section five hundred ten-a of 17 this chapter. 18 § 2. Subdivision 36 of section 14 of the transportation law, as added 19 by chapter 618 of the laws of 2021, is REPEALED. 20 § 3. This act shall be deemed repealed if any federal agency deter-21 mines in writing that this act would render New York state ineligible 22 for the receipt of federal funds or any court of competent jurisdiction 23 finally determines that this act would render New York state out of 24 compliance with federal law or regulation. 25 § 4. Severability. If any clause, sentence, subdivision, paragraph, section or part of this act be adjudged by any court of competent juris-26 27 diction to be invalid, such judgment shall not affect, impair or invali-28 date the remainder thereof, but shall be confined in its operation to 29 the clause, sentence, subdivision, paragraph, section or part thereof directly involved in the controversy in which such judgment shall have 30 31 been rendered. 32 § 5. This act shall take effect on the same date and in the same 33 manner as chapter 618 of the laws of 2021; provided that the commissioner of motor vehicles shall notify the legislative bill drafting commis-34 sion upon the occurrence of the repeal of this act provided for in 35 36 section three of this act in order that the commission may maintain an 37 accurate and timely effective data base of the official text of the laws 38 of the state of New York in furtherance of effectuating the provisions 39 of section 44 of the legislative law and section 70-b of the public 40 officers law.

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## PART HHH

42 Section 1. Subdivisions 3, 4 and 5 of section 16-n of section 1 of 43 chapter 174 of the laws of 1968 constituting the New York state urban 44 development corporation act, as added by section 2 of part C-2 of chap-45 ter 109 of the laws of 2006, are amended to read as follows:

To be eligible for the demolition and 46 Property assessment list. 3. 47 deconstruction program or rehabilitation and reconstruction program assistance, as established in subdivisions four and five of this 48 49 section, municipalities shall conduct an assessment of vacant, aban-50 doned, surplus or condemned buildings in communities within their juris-51 diction. Such real property may include both residential and commercial real properties. 52 Such properties shall be selected for the purpose of 53 revitalizing urban centers or rural areas, encouraging commercial investment and adding value to the municipal housing stock. The proper-54



1 ty assessment list shall be organized to indicate the location, size, 2 whether the building is residential or commercial and whether the build-3 ing will be demolished, deconstructed, rehabilitated or reconstructed. 4 Such properties shall be published in a local daily newspaper for no 5 less than three consecutive days. Additionally, the municipality shall 6 conduct public hearings in the communities where the buildings are iden-7 tified.

8 4. Demolition and deconstruction program. Real property in need of demolition or deconstruction on the property assessment list may receive 9 grants of up to [twenty] thirty thousand dollars per residential real 10 11 property. The corporation shall determine the cost of demolition and 12 deconstruction of commercial properties on a per-square foot basis and 13 establish maximum grant awards accordingly. The corporation shall also 14 consider geographic differences in the cost of demolition and decon-15 struction in the establishment of maximum grant awards.

16 5. Rehabilitation and reconstruction program. Real property in need of 17 rehabilitation or reconstruction on the property assessment list may 18 receive grants of up to one hundred fifty thousand dollars per residen-19 tial real property; notwithstanding such limitation, a residential 20 apartment unit may receive a grant of up to seventy thousand dollars per 21 unit. The corporation shall determine the cost of rehabilitation and 22 reconstruction of commercial properties on a per-square foot basis and 23 establish maximum grant awards accordingly. The corporation shall also 24 consider geographic differences in the cost of rehabilitation and recon-Provided, 25 struction in the establishment of maximum grant awards. 26 however, to the extent possible, all such rehabilitation and recon-27 struction program real property shall be architecturally consistent with 28 nearby and adjacent properties or in a manner consistent with a local 29 revitalization or urban development plan. Provided, further, such grant 30 may be used for site development needs including but not limited to water, sewer and parking. 31

32 § 2. Paragraph (b) of subdivision 6 of section 16-n of section 1 of 33 chapter 174 of the laws of 1968 constituting the New York state urban 34 development corporation act, as added by section 2 of part C-2 of chap-35 ter 109 of the laws of 2006, is amended to read as follows:

36 (b) Priority in granting such assistance shall be given to properties 37 eligible under this section that have approved applications or are 38 receiving grants pursuant to other state or federal redevelopment, reme-39 diation or planning programs including, but not limited to, to the 40 brownfield opportunity areas program adopted pursuant to section 970-r 41 of the general municipal law or [empire zone development plans pursuant 42 to article 18-B] an investment zone designated pursuant to paragraph (i) 43 of subdivision (a) or subdivision (d) of section 958 of the general 44 municipal law.

45 § 3. This act shall take effect immediately.

46 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-47 section or part of this act shall be adjudged by any court of sion, competent jurisdiction to be invalid, such judgment shall not affect, 48 49 impair, or invalidate the remainder thereof, but shall be confined in 50 its operation to the clause, sentence, paragraph, subdivision, section 51 or part thereof directly involved in the controversy in which such judg-52 ment shall have been rendered. It is hereby declared to be the intent of the legislature that this act would have been enacted even if such 53 54 invalid provisions had not been included herein.



1 § 3. This act shall take effect immediately; provided, however, that 2 the applicable effective date of Parts A through HHH of this act shall 3 be as specifically set forth in the last section of such Parts.

