

PUBLIC PROTECTION & GENERAL GOVERNMENT

**Summary of Recommended Appropriations
By Agency**

DIVISION OF ALCOHOLIC BEVERAGE CONTROL

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	17,001,000	18,893,000	1,892,000	11.1%
Total for AGENCY SUMMARY:	17,001,000	18,893,000	1,892,000	11.1%

Agency Mission

The Division of Alcoholic Beverage Control (Division) regulates and controls the manufacture, sale and distribution of alcoholic beverages within the state. The Division also issues and renews licenses and permits to manufacturers, distributors, wholesalers and retailers and works with local law enforcement agencies to ensure compliance with the Alcohol Beverage Control law.

Budget Summary

The Executive Budget recommends an All Funds appropriation of \$18.9 million for the Division, which reflects an increase of \$1.9 million or 11 percent over the State Fiscal Year (SFY) 2012-13 funding level. The increase provides for growth in costs related to the implementation and development of the statewide e-Licensing Project, which will streamline and improve the processing of license applications.

The Executive Budget recommends funding for a workforce of 127 full-time equivalent positions, which reflects no change from the SFY 2012-13 staffing level.

State Operations Appropriations

(A. 3000)

The Executive Budget recommends \$18.9 million in State Operations appropriations, an increase of \$1.9 million over the current funding level. The increased amount provides for the following recommendations:

- \$1 million for e-licensing;
- \$236,000 reflect additional NPS for e-licensing and the call center;
- \$219,000 for mandated collective bargaining increases; and
- \$218,000 to cover the cost of fringe benefit and indirect cost rate adjustments.

In addition, under the Executive proposal New York City and the ABC would partner to administer a program designed to prevent underage drinking; an appropriation of \$200,000 is provided in anticipation of a federal grant.

**ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS**

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	127	127	0
TOTAL:	127	127	0

DEPARTMENT OF AUDIT AND CONTROL

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	272,259,000	273,089,000	830,000	0.3%
AID TO LOCALITIES	32,025,000	32,025,000	0	0.0%
Total for AGENCY SUMMARY:	304,284,000	305,114,000	830,000	0.3%

Agency Mission

The Department of Audit and Control (Department) is responsible for paying the state's bills and payrolls, verifying all financial transactions of the state, reviewing the financial and management practices of state agencies, supervising the fiscal affairs of local governments, investing state funds, issuing bonds and notes, and administering the retirement program for the state and most local government employees. The Department has its main office in Albany and regional offices in New York City, Buffalo, Rochester, Syracuse, Binghamton, Glens Falls, Newburgh, and Hauppauge.

Budget Summary

The Executive recommends \$305.1 million in All Funds appropriations for the Department of Audit and Control reflecting a slight increase of 0.3 percent or \$830,000.

The Executive recommends a workforce of 2,614 full-time equivalent (FTE) positions reflecting no change from the current State Fiscal Year (SFY) 2012-13 staffing level.

State Operations Appropriations

(A. 3000)

The Executive recommends an All Funds State Operations appropriation of \$273.1 million which represents a slight net increase of \$830,000 and reflects the following:

- \$38.3 million is recommended for the Chief Information Office Program, reflecting a \$1.8 million increase over the current year, which reflects a change in internal accounting of IT contracts;

- \$44.9 million is recommended to fund the State and Local Accountability Program reflecting a net increase of \$129,000 over current year funding level; and
- \$44.9 million is recommended to support the State Operations Program, a net decrease of \$1.1 million over current year funding level of \$46 million.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	1,384	1,384	0
All Other Funds:	1,230	1,230	0
TOTAL:	2,614	2,614	0

Aid to Localities Appropriations
(A. 3003)

The Executive recommends \$32.02 million in General Fund support for state reimbursements to cities, towns, or villages for special accidental benefits and for reimbursement to New York City for payments of special accidental death benefits arising from the World Trade Center attack.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- provide local governments and school districts with a plan which offers a long-term pension contribution option.
 - Under this proposal, local governments and school districts would be given the option to “lock in” pension contributions for a period to be determined by the Comptroller and the Teachers’ Retirement System. The initial rates would be as follows:
 - 12 percent for the New York State and Local Employees’ Retirement System (NYSLERS);
 - 12.5 percent for the New York State Teachers’ Retirement System (NYSTRS); and
 - 18.5 percent for the New York State and Local Police and Fire Retirement System (NYSLPFRS).

- By comparison, the long term rates would be less than the scheduled contribution rates of 20.9 percent for the NYSLERS, 16.5 percent for NYSTRS and 28.9 percent for the NYSLPFRS.
- After five years, the Comptroller and the Teachers' Retirement system could elect to increase the rate by up to two percentage points. After ten years, the rates could again be increased or decreased by up to two percentage points.

DIVISION OF THE BUDGET

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	51,857,000	53,878,000	2,021,000	3.9%
Total for AGENCY SUMMARY:	51,857,000	53,878,000	2,021,000	3.9%

Agency Mission

The Division of the Budget (DOB) prepares the Governor's proposed budget and executes the budget as enacted by the Legislature. DOB also serves as the Governor's primary advisor on such fiscal matters as local government and public authority finances.

Budget Summary

The Executive recommends All Funds appropriations of \$53.9 million, an increase of \$2.02 million over State Fiscal Year (SFY) 2012-13.

The Executive recommends funding 290 full-time equivalent positions in SFY 2013-14, representing no change from the SFY 2012-13 staffing level.

State Operations Appropriations

(A. 3000)

The Executive recommends \$53.9 million in State Operations appropriations, reflecting a net increase of \$2.02 million in funding from SFY 2012-13 funding level. This increase in appropriations represents the following actions:

- a \$2.5 million increase is recommended to fund a new Financial Restructuring Assistance Program, which is led by a joint task force comprised of the Office of the State Comptroller, the Office of the Attorney General, DOB, and private-sector restructuring consultants. This program will offer advice to counties, cities, towns and villages that are in need of assistance in restructuring their finances; and

- a \$479,000 decrease is requested, reflecting the elimination of membership dues for the Council of State Governments (\$469,000) and the National Conference of Insurance Legislators (\$10,000).

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	266	266	0
All Other Funds:	24	24	0
TOTAL:	290	290	0

DEPARTMENT OF CIVIL SERVICE

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	55,446,000	56,549,000	1,103,000	2.0%
Total for AGENCY SUMMARY:	55,446,000	56,549,000	1,103,000	2.0%

Agency Mission

The Department of Civil Service is charged with providing human resource management services to state and local governments.

State Operations Appropriations

(A. 3000)

The Executive recommends an All Funds appropriation of \$56.55 million, an increase of \$1.1 million or 2 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 350 full-time equivalent (FTE) positions, an increase of 26 from SFY 2012-13 levels.

Agency Operations

The Executive Budget proposes a net \$1.1 million increase to the SFY 2012-13 appropriation to reflect an increase in fringe benefits and an adjustment to align actual spending with appropriations. These increases are offset by commensurate reductions in non-personal service spending.

Mergers

The Executive Budget would merge the Governor's Office of Employee Relations (GOER) with the Department of Civil Service to manage the State government workforce. GOER would be merged into the Department of Civil Service administratively; the Executive does not propose Article VII language to effectuate the merger and no appropriations are combined.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	324	350	26
TOTAL:	324	350	26

DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	2,998,025,000	2,791,779,000	(206,246,000)	-6.9%
AID TO LOCALITIES	31,171,000	31,171,000	0	0.0%
CAPITAL PROJECTS	320,000,000	310,000,000	(10,000,000)	-3.1%
Total for AGENCY SUMMARY:	3,349,196,000	3,132,950,000	(216,246,000)	-6.5%

Agency Mission

The Department of Corrections and Community Supervision (Department) is responsible for providing services that felony offenders need in safe and secure facilities, preparing offenders for release, and ensuring they receive appropriate monitoring and support while under community supervision. The goal of the Department is to enhance public safety by ensuring the successful completion of an offender's sentence in order to lower the risk of recidivism.

Budget Summary

The Executive proposes All Funds appropriations of \$3.13 billion, a decrease of \$216.25 million or 6.5 percent, from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 29,471 full-time equivalent positions, an increase of 134 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations appropriations of \$2.79 billion, a decrease of \$206.25 million or 6.9 percent from the SFY 2012-13 level. This significant year to year decrease is attributable to the elimination of non-recurring, retroactive contract settlements with employee compensation.

Prison Closures

The Executive proposes an appropriation decrease of \$18.69 million in SFY 2013-14 funding related to the closure of two women's correctional facilities including the Bayview Correctional Facility

(a \$12.08 million reduction) and Beacon Correctional Facility (a \$6.12 million reduction). In addition to the reduction in operating costs, the Executive assumes to decrease appropriations by \$3.99 million related to a continued decline in undercustody population. These reductions would be offset by \$3.5 million in targeted reinvestments to assist employees impacted by the closures.

Prison Workforce

The Executive proposes an additional \$33.41 million to support projected increases in personal services costs for SFY 2013-14 and \$22.87 million to support prior year personal service obligations. In addition, the Executive recommends an increase of \$3.5 million to hire new employees to fill crucial positions in health and safety. These increases would be offset by a decrease of \$16.04 million due to a reduction in employee overtime.

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS			
Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	28,362	28,496	134
All Other Funds:	975	975	0
TOTAL:	29,337	29,471	134

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$31.17 million, unchanged from the SFY 2012-13 level.

Capital Projects Appropriations
(A.3004)

The Executive proposes an All Funds capital projects appropriation of \$310 million, a decrease of \$10 million or 3.1 percent from the SFY 2012-13 level.

The Executive proposes a decrease of \$26 million in appropriations for health and safety programs; preservation maintenance of assets programs; and program improvements. The Executive proposes an increase of \$4 million to support necessary upgrades to various water systems, wastewater systems, petroleum bulk storage projects and the decommissioning of a dam. An increase of \$12 million is provided for various electrical, heating, window and roof repair and replacement projects.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- allow the closure of Bayview Women's Correctional Facility in Manhattan and Beacon Women's Correctional Facility in Dutchess County in SFY 2013-2014, provided that the Governor provides the legislature with at least 60 days notice;
- convey the land and buildings of the former Fulton Correctional Facility located in the Bronx to the Thomas Mott Osborne Memorial Fund for use in providing reform and rehabilitation programs, alternatives to incarceration programs and reentry services; and
- extend various criminal justice programs set to expire in 2013.

COMMISSION OF CORRECTION

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	2,915,000	2,915,000	0	0.0%
Total for AGENCY SUMMARY:	2,915,000	2,915,000	0	0.0%

Agency Mission

The Commission of Correction works to provide for a safe, stable and humane correctional system statewide by: monitoring, adjusting, and enhancing prison and jail population capacity; overseeing new jail facility development; assisting jails in the implementation of new correctional technologies; investigating prisoner mortality; providing advice on staffing, health and mental health services, and advising the Governor on correctional policy.

Budget Summary

The Executive proposes an All Funds appropriation of \$2.9 million which is unchanged from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 29 full-time equivalent positions, which is unchanged from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$2.9 million; unchanged from the SFY 2012-13 level.

**ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS**

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	29	29	0
TOTAL:	29	29	0

DIVISION OF CRIMINAL JUSTICE SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	85,193,000	88,428,000	3,235,000	3.8%
AID TO LOCALITIES	176,442,997	177,265,000	822,003	0.5%
Total for AGENCY SUMMARY:	261,635,997	265,693,000	4,057,003	1.6%

Agency Mission

The Division of Criminal Justice Services (DCJS) is the agency administering both state and federal funds that support programs designed to combat crime, drug abuse, and violence. DCJS maintains criminal history and statistical data for federal, state and local law enforcement agencies, identifies criminals through fingerprints, maintains the sex offender registry and provides training and management services to local law enforcement agencies.

Budget Summary

The Executive proposes an All Funds appropriation of \$265.73 million, an increase of \$4.06 million or 1.6 percent, from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 443 full-time equivalent positions, an increase of 20 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$88.43 million, an increase of \$3.24 million or 3.8 percent, from the SFY 2012-13 level.

DNA Databank Expansion

The Executive proposes an increase of \$135,000 to support the expansion of the DNA Databank.

Other Proposals

The Executive proposes an increase of \$910,000 related to the transfer of federally funded positions to the General Fund and an increase of \$640,000 to support past obligations to state workers. These increases would be offset by a \$1.45 million decrease in General Fund appropriations due to savings realized by DCJS due to its relocation to the Alfred E. Smith Building.

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	384	404	20
All Other Funds:	39	39	0
TOTAL:	423	443	20

Aid to Localities Appropriations (A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$177.26 million, an increase of \$4.06 million, from the SFY 2012-13 level.

Alternatives to Incarceration (ATI)

The Executive proposes a consolidation of \$5.16 million in existing funding for the Classification Alternatives (CLASS) program (\$3.25 million) and the Drug Alcohol program (\$1.91 million).

The Executive Budget also proposes a consolidation of \$11.44 million in funding to support Community Corrections programs that would target the highest risk offenders. This consolidation includes \$3.97 million from existing ATI demonstration programs and \$469,000 from existing supervision and treatment programs. These amounts would be supplemented with \$7 million in new General Fund support to replace prior-year Federal Byrne/JAG funding formerly provided through the American Recovery and Reinvestment Act of 2009.

The Executive also proposes the elimination of \$1.2 million in support for certain ATI programs.

District Attorney Salary Reimbursement

The Executive proposes a total of \$3.9 million, an increase of \$1.05 million from SFY 2012-13 levels, to support the full cost of local district attorney salary increases that are tied to scheduled increases in judicial compensation.

General Fund Program Eliminations

The Executive proposes the elimination of \$4.3 million in General Fund support for various programs, as noted below:

Program	SFY 2012-13	SFY 2013-14
New York State Defenders Association	\$1,000,000	-
Family Court Domestic Violence Services	\$600,000	-
Prisoners' Legal Services	\$500,000	-
DV Training for Local Law Enforcement and Judges	\$500,000	-
Law Enforcement, Anti-Violence, Anti-Drug, Crime Control Prevention	\$450,000	-
Immigration Legal Services	\$450,000	-
Stab Resistant Gloves for New York City Correction Officers	\$250,000	-
Common Justice at the Vera Institute	\$200,000	-
Greenpoint Outreach Domestic and Family Intervention Program	\$150,000	-
John Jay College Prison to College Pipeline	\$100,000	-
Consortium of the Niagara Frontier	\$100,000	-
Grand Total	\$4,300,000	-

Federal Edward Byrne Memorial/Justice Assistance Grant (JAG) Support

The Executive proposes a \$1.60 million increase to Byrne/JAG appropriations to match projected levels of federal support.

Legal Services Assistance Fund Allocations

The Executive proposes the elimination of \$2.65 million in civil legal services grants, \$650,000 in support for the Indigent Parolee Program and \$650,000 in domestic violence legal services.

Instead, the Executive proposes a new \$3.7 million appropriation for a new combined legal services funding source that will offer grants for civil/criminal legal services and domestic violence related legal services, awarded on a competitive basis.

Criminal Justice Improvement Account Allocations

The Executive proposal would eliminate \$1.22 million in funding for various civil and criminal domestic violence legal services providers.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- transfer the former Fulton Correctional Facility to the Thomas Mott Osborne Memorial Fund for the purpose of reform and rehabilitation of individuals in conflict with the law, alternatives to incarceration, re-entry services, victim services, and related community activities;
- extend for one year the effective date of the provision of law that allows a district attorney in New York City to retain a portion of monies recovered before the filing of an accusatory instrument; and
- ratify the National Crime Prevention and Privacy Compact Act to permit New York State to participate in the National Fingerprint File program, which allows for the sharing of criminal history records for civil purposes directly with the federal government and 29 other states that have ratified the compact; and
- extend various criminal justice programs set to expire in 2013.

STATE BOARD OF ELECTIONS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	5,305,000	5,200,000	(105,000)	-2.0%
AID TO LOCALITIES	1,000,000	0	(1,000,000)	-100.0%
Total for AGENCY SUMMARY:	6,305,000	5,200,000	(1,105,000)	-17.5%

Agency Mission

The State Board of Elections (SBOE) executes and enforces the Election Law and oversees the disclosure of campaign financing practices. The Board reviews the practices of all 58 local boards of elections; regulates access to the ballot for State offices; approves voting systems for use within the State; maintains the statewide voter registration database. The Board is also responsible for the disclosure and enforcement of campaign financing and practices; and implementing various Federal voting programs (i.e. Help America Vote Act, National Voter Registration Act, Military and Overseas Voter Empowerment Act).

Budget Summary

The Executive recommends an All Funds appropriation of \$5.2 million for the State Board of Elections (SBOE) reflecting a decrease of \$1.11 million in funding from the SFY 2012-13 funding level.

The Executive recommends funding 58 full-time equivalent (FTE) positions in State Fiscal Year (SFY) 2013-14, reflecting no change from the SFY 2012-13 staffing level.

State Operations Appropriations

(A. 3000)

The Executive recommends \$5.2 million in General Fund support for SBOE, providing for a net decrease of \$105,000 reflecting in part SBOE's relocation into state-owned office space.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	58	58	0
TOTAL:	58	58	0

Aid to Localities Appropriations
(A. 3003)

The Executive proposes a decrease of \$1 million federal appropriations, representing the elimination of federal grants for the alteration of poll sites to promote handicap accessibility.

OFFICE OF EMPLOYEE RELATIONS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	7,707,000	12,992,000	5,285,000	68.6%
Total for AGENCY SUMMARY:	7,707,000	12,992,000	5,285,000	68.6%

Agency Mission

In accordance with the Public Employees' Fair Employment Act (the Taylor Law), the Office of Employee Relations represents the Governor in collective bargaining with public employee unions and directs the state's employee relations policies so that agencies and employees provide high quality, uninterrupted state government services.

Budget Summary

(A. 3000)

The Executive recommends All Funds appropriations of \$12.99 million, an increase of \$5.29 million or 68.6 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 50 full-time equivalent positions, reflecting no change from SFY 2012-13 levels.

State Operations Appropriations

The Executive recommends All Funds appropriations of \$12.99 million, an increase of \$5.29 million or 68.6 percent over the SFY 2012-13 level.

Job Training

The Executive proposes a \$5 million appropriation for a pilot program to provide job placement training. The pilot training program is for employees that would be impacted by facility closures or restructurings, including employees at the Office of People with Developmental Disabilities, the Office of Children and Family Services, the Office of Mental Health, and the Division of Corrections and Community Supervision, who are not offered a State employment opportunity within 100 miles of their current work location. The purpose of this training is to assist these employees in securing an alternative employment opportunity in state service.

Agency Operations

The Executive provides increases of \$406,000 related to personal service, fringe, and indirect costs, which are offset by the elimination of \$121,000 in appropriation authority from underutilized accounts.

Merger

The Executive Budget would merge the Governor's Office of Employee Relations (GOER) with the Department of Civil Service to jointly manage the state government workforce. This agency would be merged administratively; the Executive does not propose Article VII language to effectuate the merger.

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	50	50	0
TOTAL:	50	50	0

EXECUTIVE CHAMBER

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	18,484,000	18,484,000	0	0.0%
Total for AGENCY SUMMARY:	18,484,000	18,484,000	0	0.0%

Agency Mission

The Executive Chamber is the Office of the Governor and includes the immediate staff that assists the Governor. The Office of the Governor is located in the State Capitol in Albany and also has offices in New York City and Washington, DC.

The Office of the Lieutenant Governor, in addition to other projects, is responsible for assisting the Governor in leading the Regional Economic Development Councils that will coordinate and integrate State agency responses with local government and business activities to create jobs.

Appropriations for the Executive Chamber and the Office of the Lieutenant Governor are included in State Operations appropriations bill.

Budget Summary

The Executive recommends an All Funds appropriation of \$18.5 million to support the activities of the Executive Chamber (\$17.9 million) and the Office of the Lieutenant Governor (\$630,000), reflecting no change from the State Fiscal Year (SFY) 2012-13 level.

The Executive budget recommends funding for 136 full-time equivalent (FTE) positions for the Office of the Governor and seven FTE positions for the Office of the Lieutenant Governor, which also reflects no change from the SFY 2012-13 staffing level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	143	143	0
TOTAL:	143	143	0

DEPARTMENT OF FINANCIAL SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	326,630,823	326,630,823	0	0.0%
AID TO LOCALITIES	225,566,000	225,566,000	0	0.0%
Total for AGENCY SUMMARY:	552,196,823	552,196,823	0	0.0%

Agency Mission

The Department of Financial Services (DFS) supports the regulatory and oversight functions of the Banking and Insurance Departments to make the state's oversight of financial services responsive to the current needs of the industry and its customers. DFS was consolidated as part of the State Fiscal Year (SFY) 2011-12 Budget and began its joint operations on October 3, 2011. The consolidation enabled the state to unify oversight functions of financial services and to more rapidly respond to changing market practices.

Budget Summary

The Executive proposes All Fund appropriations of \$552.2 million, reflecting no change from the SFY 2012-13 levels. The Executive proposes funding support for 1,337 full-time equivalent positions, an increase of 94 from SFY 2012-13 revised levels.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$326.6 million, reflecting no change from SFY 2012-13.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	1,243	1,337	94
TOTAL:	1,243	1,337	94

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$225.6 million, reflecting no change from the SFY 2012-13.

Article VII Proposals
(A. 3006)

The Executive recommends Article VII language that would make several changes to Insurance Law provisions to conform with Affordable Care Act (ACA), which include requirements:

- exempting navigators from being required to have a broker license;
- converting the autism mandate from dollars to an equivalent number of hours;
- establishing a new category of student health insurance;
- requiring HMO's to offer coverage that meets the requirements of the ACA;
- bringing conversion health insurance policies into compliance with the ACA;
- requiring policies issued to small groups to provide coverage for essential health benefits;
- eliminating Healthy NY coverage and program funding on December 31, 2013;
- making August 31 the reporting date for Medical Loss Ratio rebates;
- implementing a risk-adjustment system on all the non-grandfathered individual and small group markets;
- establishing an alternate process by which insurers may cease to offer a particular class of group or blanket policy of hospital, surgical or medical expense insurance; and
- establishing group definitions to conform with ACA and regulations.

The Executive further recommends Article VII language that would:

- limit the number of excess medical malpractice policies purchased for physicians using State funds to the number of policies that can be supported by the amount appropriated. Priority would be granted to the highest risk specialties; and
- modify several insurance related provisions including rate setting and adequate network coverage to require health insurer coverage of EI services and prohibit HMOs from discriminating against insured individuals receiving EI services.

OFFICE OF GENERAL SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	982,315,000	1,026,425,000	44,110,000	4.5%
CAPITAL PROJECTS	82,065,000	97,000,000	14,935,000	18.2%
Total for AGENCY SUMMARY:	1,064,380,000	1,123,425,000	59,045,000	5.5%

Agency Mission

The Office of General Services (OGS) supports the operation of state agencies by providing space planning and leasing; real property management and maintenance; centralized contracting for commodities, printing and equipment maintenance services; employee and visitor parking management; and interagency mail and courier assistance. OGS also provides a number of smaller agencies with various centralized administrative and information processing services.

Budget Summary

The Executive proposes All Funds appropriations of \$1.12 billion, an increase of \$59.05 million or 5.5 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 1,439 full-time equivalent (FTE) positions, an increase of 87 FTEs.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations appropriations of \$1.03 billion, an increase of \$44.11 million or 4.5 percent over the SFY 2012-13 level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	1,352	1,439	87
TOTAL:	1,352	1,439	87

Business Services Center

The Executive proposes the transfer of the human resources and finance staff and funding resources from over 50 agencies into the new OGS Business Services Center (BSC). This consolidation will be completed by the end of SFY 2013-14. The Executive also proposes an increase of \$9.1 million for consultant services related to the BSC transformation.

The Executive also proposes to continue the Strategic Sourcing initiative in order to consolidate the procurement of various resources for all agencies, including automobiles, computers, health services, and many other categories. This will result in a savings of \$622,000 in SFY 2013-14.

Real Estate

The state has continued their “restacking initiative” by consolidating various agencies into state-owned buildings, resulting in a savings on real estate. The Albany and New York City phases of restacking are being finalized and the current focus has shifted to the rest of the state where there are fewer state-owned buildings. As a result, the Executive proposes reductions of \$4.02 million in SFY 2013-14. The Executive proposes an increase of \$30,000 for the development of a Real Estate Asset System. In addition, the Executive proposes \$2.89 million for staff to perform maintenance and updates on state properties.

There were a number of large properties sold in SFY 2012-13, and the Executive proposal includes funding of \$6 million to pay for brokerage fees and other associated costs for future sales of large buildings.

Agriculture and Markets Food Laboratory

The Executive proposes a transfer of \$2.4 million in funding to allow OGS to provide operational management and maintenance services to a new food laboratory operated by the Department of Agriculture and Markets.

NYS Media Center

The Executive proposes that \$2.7 million and 35 FTEs associated with the New York State Media Center, which is used as a satellite network by the SUNY system and the New York State Lottery, be transferred into OGS.

Print Shop Consolidation

The Executive proposes the consolidation of the print shops of the Department of Homeland Security and Emergency Services, the Office for People with Developmental Disabilities, and the Department of Health within OGS and includes an additional 8 FTEs and funding of \$1.5 million for this purpose.

Capital Projects Appropriations

(A. 3004)

The Executive proposes All Funds Capital Projects appropriations of \$97 million, an increase of \$14.94 million or 18.2 percent over the SFY 2012-13 level. This increase will primarily support the rehabilitation and improvement of the State Capitol and Empire State Plaza.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- allow state agencies to purchase food grown, harvested, produced, manufactured, or processed in New York State, in an amount not exceeding \$200,000, without a competitive bid process; and
- permit state agencies and authorities to use design-build contracts and design-build-finance contracts as alternative project delivery methods.

DIVISION OF HOMELAND SECURITY AND EMERGENCY SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	63,456,000	65,622,000	2,166,000	3.4%
AID TO LOCALITIES	1,463,051,000	13,703,751,000	12,240,700,000	836.7%
Total for AGENCY SUMMARY:	1,526,507,000	13,769,373,000	12,242,866,000	802.0%

Agency Mission

The Division of Homeland Security and Emergency Services (DHSES) was established in July 2010 through the merger of several existing state entities. Today, the Division is comprised of: the Office of Counter-Terrorism, the Office of Emergency Management, the Office of Fire Prevention and Control, the Office of Cyber Security, and the Office of Interoperable and Emergency Communications. Legislation accompanying the Executive Budget would transfer the Office of Cyber Security to the Office of Information Technology Services, which would assume responsibility for monitoring the state's electronic networks.

DHSES is responsible for the protection of the state's citizens, communities, resources, economy, and infrastructure from acts of terrorism, natural disasters, and other emergencies.

Budget Summary

The Executive proposes All Funds appropriations of \$13.77 billion, an increase of \$12.24 billion or 802 percent from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 388 full-time equivalent positions, a decrease of one position.

The Executive proposes a new \$8 billion Public Security and Emergency Response appropriation which may be used to implement Superstorm Sandy recovery or disaster mitigation and preparedness programs authorized by state and federal government, including making payments to local governments, public authorities, not-for-profit corporations, businesses and individuals.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations appropriations of \$65.62 million, an increase of \$2.17 million or 3.4 percent from the SFY 2012-13 level.

The Executive proposes an increase of \$1.37 million in appropriations to provide for prior year personal service obligations and an increase of \$807,000 to cover the state share for disaster assistance.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	89	88	(1)
All Other Funds:	299	299	0
TOTAL:	388	387	(1)

Aid to Localities Appropriations

(A. 3003)

The Executive proposes All Funds Aid to Localities appropriations of \$13.7 billion, an increase of \$12.24 billion or 836.7 percent from the SFY 2012-13 level.

Superstorm Sandy Recovery

The Executive proposes an increase of \$200 million in General Fund disaster relief appropriations under DHSES. While the Executive projects that all damage related to Superstorm Sandy would be reimbursed by the federal government, this appropriation would provide a contingency in the event of future disasters or other unforeseen events.

The Executive also proposes an increase of \$12.65 billion in federal appropriations to the disaster assistance program to facilitate the payment of the federal government's share of Public Assistance and hazard mitigation costs resulting from natural or man-made disasters, including those costs associated with Superstorm Sandy.

Interoperability Grants

The Executive proposes a decrease of \$9.3 million in appropriations resulting from the elimination of funding for local wireless public safety answering points.

The Executive proposes to accelerate the next phase of statewide interoperable communications grants to counties by combining two award cycles into one thereby increasing the current year grant awards to \$102 million from \$66 million.

Article VII Proposals

The Executive recommends Article VII legislation that would include schools in the Intermutual Aid Program (IMAP) for disaster response which establishes financial and workforce rules that all participating municipalities must follow when they assist one another in disaster response activities.

OFFICE OF INDIGENT LEGAL SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	1,500,000	1,500,000	0	0.0%
AID TO LOCALITIES	81,000,000	77,000,000	(4,000,000)	-4.9%
Total for AGENCY SUMMARY:	82,500,000	78,500,000	(4,000,000)	-4.8%

Agency Mission

The Office of Indigent Legal Services (Office) and its associated Indigent Legal Services Board, is responsible for the study, oversight, and improvement of the public criminal defense system in New York State. The Indigent Legal Services Board is responsible for the approval or modification of grant distribution proposals, advising the Office with regard to legal defense programs, and for reporting to the Governor, the Legislature and the Judiciary on an annual basis.

Budget Summary

The Executive proposes All Funds appropriations of \$78.5 million, a decrease of \$4 million or 4.8 percent, from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 10 full-time equivalent positions, which is unchanged from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$1.5 million unchanged from the SFY 2012-13 level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	10	10	0
TOTAL:	10	10	0

Aid to Localities Appropriations
(A. 3003)

The Executive proposes All Funds Aid to Localities appropriations of \$78.50 million, a decrease of \$4 million or 4.9 percent from the SFY 2012-13 level, reflecting a reduction in support for county indigent defense services.

OFFICE OF THE INSPECTOR GENERAL

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	8,276,000	8,056,000	(220,000)	-2.7%
Total for AGENCY SUMMARY:	8,276,000	8,056,000	(220,000)	-2.7%

Agency Mission

The Office of the Inspector General is responsible for investigating instances of fraud, abuse, criminal activity, and corruption in state agencies. Its mission is designed to ensure that state government maintains the highest standards of integrity and accountability.

The Office's jurisdiction includes more than 150 Executive Branch agencies, departments, divisions, offices, boards, commissions, public authorities and public benefit corporations and any entity of State government headed by an appointee of the Governor, that does not have its own statutory Inspector General.

Budget Summary

The Executive recommends an All Funds appropriation of \$8.1 million for the Office of the Inspector General (OIG). The Executive proposes to merge the Office of Welfare Inspector General (OWIG) into OIG in order to jointly combat welfare fraud and help ensure the most efficient use of resources.

The Executive recommends a workforce of 72 full-time equivalent (FTE) positions in State Fiscal Year (SFY) 2013-14 for OIG, reflecting an increase of four FTEs over the SFY 2012-13 funding level.

State Operations Appropriations

(A. 3000)

The Executive recommends \$8.1 million in General Fund State Operations support, which reflects a decrease of \$220,000 due to non-recurring savings associated with personnel transfers from OWIG.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	68	72	4
TOTAL:	68	72	4

Article VII Proposals

The Executive recommends Article VII legislation that would merge the Office of Welfare Inspector General (OWIG) into Office of the Inspector General (OIG).

NEW YORK INTEREST ON LAWYERS ACCOUNT

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	1,841,000	1,841,000	0	0.0%
AID TO LOCALITIES	45,000,000	45,000,000	0	0.0%
Total for AGENCY SUMMARY:	46,841,000	46,841,000	0	0.0%

Agency Mission

The Interest on Lawyer Account (IOLA) Fund was established in 1983 to finance civil legal services for the indigent. Revenues are derived from the interest earned on small trust accounts in which attorneys hold certain funds for their clients. Banks transfer the interest earned on these escrow accounts to the IOLA Fund to finance grants to not-for-profit, tax-exempt entities providing civil legal services to the indigent, elderly, disabled and others.

Budget Summary

The Executive proposes an All Funds appropriation of \$46.84 million, which is unchanged from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of eight full-time equivalent positions, which is unchanged from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$1.84 million, which is unchanged from the SFY 2012-13 level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	8	8	0
TOTAL:	8	8	0

Aid to Localities Appropriations

(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$45 million, which is unchanged from the SFY 2012-13 level.

JUDICIAL COMMISSIONS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	5,452,000	5,452,000	0	0.0%
Total for AGENCY SUMMARY:	5,452,000	5,452,000	0	0.0%

Agency Mission

New York State's three judicial commissions serve to maintain the integrity of the court system. The Commission on Judicial Nomination and the Judicial Screening Committees examine potential nominees for high-level judicial appointments by the Governor. The Commission on Judicial Conduct investigates and acts upon allegations of judicial misconduct.

Budget Summary

The Executive proposes an All Funds appropriation of \$5.45 million which is unchanged from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 50 full-time equivalent (FTE) positions, an increase of one position.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$5.45 million which is unchanged from the SFY 2012-13 level. Administrative actions and lower staff salaries at the Commission on Judicial Conduct would allow the Commission to add one new FTE with no additional cost.

**ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS**

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	49	50	1
TOTAL:	49	50	1

DEPARTMENT OF LAW

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	215,278,000	220,641,000	5,363,000	2.5%
Total for AGENCY SUMMARY:	215,278,000	220,641,000	5,363,000	2.5%

Agency Mission

The Department of Law (Department) provides legal counsel to the state and represents the state in court proceedings. The Department protects state agencies and citizens through the investigation of organized and white-collar crime as well as Medicaid fraud. It also protects consumers from fraudulent business practices and brings civil and/or criminal actions against violators of anti-trust laws. The Department defends the public interest through protecting charitable donors and beneficiaries, enforcing laws that prohibit discrimination, and pursuing actions against violators of environmental law.

Budget Summary

The Executive proposes All Funds appropriations of \$220.64 million, an increase of \$5.36 million or 2.5 percent, from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 1,798 full-time equivalent positions, which is unchanged from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriations of \$220.64 million, an increase of \$5.36 million or 2.5 percent, from the SFY 2012-13 level.

The Executive proposes a General Fund increase of \$600,000 which would support the relocation of the White Plains regional office and vehicle replacements, and an increase of \$552,000 to provide the state match for increased federal funding. The Executive also provides an increase of \$4 million to support additional personal service cots, including fringe benefit increases.

**ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS**

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	1,066	1,066	0
All Other Funds:	732	732	0
TOTAL:	1,798	1,798	0

DIVISION OF MILITARY AND NAVAL AFFAIRS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	75,739,000	75,752,000	13,000	0.02%
AID TO LOCALITIES	900,000	900,000	0	0.0%
CAPITAL PROJECTS	102,400,000	39,200,000	(63,200,000)	-61.7%
Total for AGENCY SUMMARY:	179,039,000	115,852,000	(63,187,000)	-35.3%

Agency Mission

The Division of Military and Naval Affairs (DMNA) maintains a well-trained military force ready to respond to civil emergencies, natural disasters, and threats to national security.

Budget Summary

The Executive proposes All Funds appropriations of \$115.85 million, a decrease of \$63.18 million or 35.3 percent, from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 426 full-time equivalent positions, unchanged from the SFY 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations appropriations of \$75.75 million, an increase of \$13,000 from the SFY 2012-13 level.

The Executive proposes an increase of \$145,000 increase for various personal service costs and an increase of \$67,000 to address lease escalations and utility costs.

The Executive proposal includes a \$21,000 decrease related to savings realized by DMNA after the Hoosick Falls, Riverhead, Schenectady, Poughkeepsie and Whitehall armories were transferred to localities and a decrease of \$178,000 related to efficiencies achieved by the Joint Task Force Empire Shield.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	162	162	0
All Other Funds:	264	264	0
TOTAL:	426	426	0

Aid to Localities Appropriations
(A. 3003)

The Executive proposes All Funds Aid to Localities appropriations of \$900,000, unchanged from the SFY 2012-13 level.

Capital Projects Appropriations
(A. 3004)

The Executive proposes All Funds Capital Projects appropriations of \$39.2 million, a decrease of \$63.19 million or 61.7 percent from the SFY 2012-13 level.

Comprehensive Construction Program

The Executive proposes a \$63.2 million decrease in Maintenance and Improvement appropriations, reflecting the elimination of one-time support for a maintenance shop in Stormville, New York and improvements to the 269th Regimental Armory in Harlem.

OFFICE FOR THE PREVENTION OF DOMESTIC VIOLENCE

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	3,556,000	3,776,000	220,000	6.2%
AID TO LOCALITIES	1,185,000	1,185,000	0	0.0%
Total for AGENCY SUMMARY:	4,741,000	4,961,000	220,000	4.6%

Agency Mission

The Office for the Prevention of Domestic Violence (OPDV) develops statewide policies to protect victims of domestic violence and provides domestic violence training and information to judges, attorneys, law enforcement officers, and social services and health care providers.

Budget Summary

The Executive proposes an All Funds Appropriation of \$4.96 million, an increase of \$220,000, or 4.64 percent over State Fiscal Year (SFY) 2012-13 levels.

State Operations Appropriations

(A. 3000)

The Executive proposes a State Operations appropriation of \$3.78 million, a 220,000 or 6.19 percent increase over SFY 2012-13 levels.

Statewide Fatality Review Team

The Executive Budget proposes an increase of \$220,000 to fund the creation of a new Statewide Fatality Review Team. Such teams were created by 2012 domestic violence prevention legislation and will analyze trends and patterns of domestic violence fatalities; educate the public, service providers and policymakers about such fatalities while seeking strategies for prevention and intervention; and to recommend policies, practices, procedures and services to reduce and prevent these fatalities.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	17	17	0
All Other Funds:	10	10	0
TOTAL:	27	27	0

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an Aid to Localities appropriation of \$1.20 million, which represents no change from SFY 2012-13 funding levels.

PUBLIC EMPLOYMENT RELATIONS BOARD

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	3,984,000	3,984,000	0	0.0%
Total for AGENCY SUMMARY:	3,984,000	3,984,000	0	0.0%

Agency Mission

The Public Employment Relations Board (PERB) resolves major labor disputes between public employers and employees. PERB provides mediation, fact finding, and arbitration in contract disputes for approximately 4,760 negotiating units in New York State. In addition, PERB settles questions of union representation, conducts hearings on charges of improper practices, designates Management/Confidential positions, and acts as a clearinghouse for information on wages, benefits, and employment practices. PERB is also responsible for administering the State Employment Relations Act, and PERB's labor management oversight activities include private sector employers that are not provided services by the National Labor Relations Board, the Federal Mediation and Conciliation Service, or the National Mediation Board.

State Operations Appropriations

(A. 3000)

The Executive recommends an All Funds appropriation of \$3.98 million, which represents no change from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 33 full-time equivalent positions, reflecting no change from SFY 2012-13 levels.

Agency Operations

The Executive proposes no change in total funding for PERB but would shift \$191,000 in funding to support arbitration services to the General Fund from a Special Revenue Fund with a depleted balance.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	33	33	0
TOTAL:	33	33	0

JOINT COMMISSION ON PUBLIC ETHICS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	4,100,000	4,931,000	831,000	20.3%
Total for AGENCY SUMMARY:	4,100,000	4,931,000	831,000	20.3%

Agency Mission

The Joint Commission on Public Ethics (JCOPE) was established in 2011 by the Public Integrity Reform Act. JCOPE succeeds the Commission on Public Integrity and assumes responsibility for administering and enforcing the state's ethics and lobbying statutes. JCOPE's mission is to foster public trust and confidence in state government by ensuring compliance with financial disclosure and ethical standards.

JCOPE is comprised of 14 appointed members and has jurisdiction over 190,000 statewide officials, state officers and employees, and candidates for statewide elected office and political party chairs. The responsibility of this new agency is broadened to include not only executive branch employees and elected officials, but also some jurisdiction in relation to legislative employees.

Budget Summary

The Executive recommends an All Funds budget of \$4.9 million for JCOPE, which reflects an increase of \$831,000 or 20.3 percent over the State Fiscal Year (SFY) 2012-13 funding level.

The Executive recommends funding for 53 full time equivalent (FTE) positions, which reflects an increase of eight FTEs over the SFY 2012-13 level. The eight additional FTEs consist of six auditors, one associate counsel and one confidential secretary.

State Operations Appropriations

(A. 3000)

The Executive recommends \$4.9 million in Fund appropriations representing an increase of \$831,000 over the 2012-13 SFY funding level. The increase represents a net adjustment of \$141,000 to reflect the cost of continuing existing programs and other fixed cost increases; and a personal service increase of \$690,000 to support the additional personnel needed to meet the Commission's broader responsibilities.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	45	53	8
TOTAL:	45	53	8

DIVISION OF STATE POLICE

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	683,099,400	674,304,000	(8,795,400)	-1.3%
CAPITAL PROJECTS	6,000,000	57,740,000	51,740,000	862.3%
Total for AGENCY SUMMARY:	689,099,400	732,044,000	42,944,600	6.2%

Agency Mission

The primary mission of the Division of State Police is to promote highway safety and protect the citizens of New York State. The Division's responsibilities include patrolling roads and highways outside major urban areas, providing specialty and investigative police services, conducting investigations of criminal activities like drug trafficking and child endangerment, and working with various levels of law enforcement throughout the state.

Budget Summary

The Executive proposes All Funds appropriations of \$732.04 million, an increase of \$42.94 million or 6.2 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 5,408 full-time equivalent positions, an increase of 176 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations appropriations of \$674.3 million, a decrease of \$8.79 million or 1.3 percent from the SFY 2012-13 level.

New York State Thruway Authority

The Executive proposes a General Fund increase of \$55.22 million to provide state support for highway patrols. This commitment of the state funds is provided to deny the Thruway Authority's proposal to increase tolls on commercial vehicles.

New York Secure Ammunition and Firearms Enforcement (NY SAFE) Act

The Executive proposes \$3.2 million to support the costs of the implementing provisions of the NY SAFE Act, including new enforcement activities, the development of school safety improvement teams, and costs associated with the recertification of pistol licenses every five years.

DNA Database

The Executive proposes an increase of \$190,000 to support the expansion of the DNA Databank enacted in 2012.

State Police Academy Classes

The Executive proposes \$3.4 million of new support to hold two State Police Academy classes which would bring in 330 new recruits. These classes would result in a total member strength of 4,657.

Vehicle Purchases

The Executive proposes a \$2.28 million increase to purchase patrol vehicles and pay for lease escalations

ALL FUNDS PERSONNEL BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	4,866	5,319	453
All Other Funds:	366	89	(277)
TOTAL:	5,232	5,408	176

Capital Projects Appropriations

(A. 3004)

The Executive proposes an All Funds Capital Projects appropriations of \$57.74 million, an increase of \$51.74 million or 863 percent, from the SFY 2012-13 level.

NY Safe Act

The Executive Budget proposal includes \$32.74 million to provide for the costs of developing an electronic database of all current gun licenses issued in the State of New York.

Troop L Facility

The Executive Budget proposes \$7 million in new appropriation authority related to the development of a new facility for Troop L on Long Island.

Aviation

The Executive proposal includes \$12.5 million for the purchase and outfitting of a replacement helicopter.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- limit plea bargaining of traffic tickets, extend state surcharges to new offenses, and establish minimum fines for traffic violations involving texting and cell phone use;
- ratify the National Crime Prevention and Privacy Compact to allow the state to participate in the National Fingerprint File program; and
- extend various criminal justice programs set to expire in 2013.

STATEWIDE FINANCIAL SYSTEM

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	55,000,000	55,000,000	0	0.0%
Total for AGENCY SUMMARY:	55,000,000	55,000,000	0	0.0%

Agency Mission

The Statewide Financial System Project is responsible for developing and maintaining a single statewide financial system for use by all agencies. The first operational phase of the new system was launched in April 2012 with more than 50 agencies utilizing the system.

Budget Summary

The Executive proposes an All Funds appropriation of \$55 million to supports the ongoing maintenance and operating costs for the activities of the Statewide Financial System (SFS) representing no change from the from the State Fiscal Year (SFY) 2012-13 funding level.

The Executive recommends funding for 145 full-time equivalent (FTE) positions for SFS, which reflects an increase of 30 FTEs over the SFY 2012-13 staffing level.

State Operations Appropriations

(A. 3000)

The Executive budget recommends \$55 million to provide for ongoing maintenance and operating costs of the SFS operating system.

The SFS system became fully operational for general use in April 2012 and the development of additional functionality is ongoing.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	115	145	30
TOTAL:	115	145	30

OFFICE OF INFORMATION TECHNOLOGY SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	556,228,000	563,005,000	6,777,000	1.2%
CAPITAL PROJECTS	0	60,000,000	60,000,000	--
Total for AGENCY SUMMARY:	556,228,000	623,005,000	66,777,000	12.0%

Agency Mission

The Office of Information Technology Services (ITS), formerly the Office for Technology, is responsible for developing the state's technology resources and policies. The mission of ITS is to provide centralized technology services, develop technology policy and coordinate statewide technology initiatives to improve the efficiency of New York State government.

Budget Summary

The Executive proposes an All Funds appropriation of \$623.01 million, an increase of \$66.78 or 12 percent over the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 3,890 full-time equivalent (FTE) positions, an increase of 55 positions.

State Operations Appropriations

(A. 3000)

The Executive proposes All Funds State Operations Appropriations of \$563.01 million, an increase of \$6.78 million, or 1.2 percent over the SFY 2012-13 level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	1,821	1,860	39
All Other Funds:	2,014	2,030	16
TOTAL:	3,835	3,890	55

ITS Transfer

During SFY 2012-13, 3,342 full-time equivalent (FTE) positions with substantial information technology responsibilities were transferred from their respective agencies to ITS. Personal service funding in the amount of \$36.77 million in SFY 2012-13 was transferred in conjunction with this action. The Executive Budget continues the transfer of IT funds and FTEs from their respective agencies during SFY 2013-14 and accordingly transfers \$123.23 million in appropriations to ITS.

Capital Projects Appropriations (A. 3004)

The Executive proposes a new Capital Projects appropriation of \$60 million, an increase of \$60 million over the SFY 2012-13 level.

Continue Enterprise Initiatives

The Executive Budget proposes a \$60 million appropriation to support several Enterprise Projects, including Data Center Consolidation, Email Consolidation, Voice Over Internet Protocol Implementation, and Enterprise Identity Access Management.

Article VII Proposals

The Executive recommends Article VII legislation that would eliminate the Office of Cybersecurity, located in the Department of Homeland Security and Emergency Services, and transfer its duties to ITS.

DIVISION OF VETERANS' AFFAIRS

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	7,772,000	8,372,000	600,000	7.7%
AID TO LOCALITIES	9,076,000	9,106,000	30,000	0.3%
Total for AGENCY SUMMARY:	16,848,000	17,478,000	630,000	3.7%

Agency Mission

The Division of Veterans' Affairs (DVA) assists, counsels, and advocates for veterans, military personnel and their families. The DVA coordinates with state agencies and the federal government on programs affecting veterans. The DVA serves more than 1,100,000 New Yorkers who are veterans, including their dependents and those on active duty. The DVA's central office is located in Albany and it has regional offices in New York City and Buffalo.

Budget Summary

The Executive recommends All Funds appropriations of \$17.5 million to support the activities of DVA, reflecting a net increase of \$630,000 over State Fiscal Year (SFY) 2012-13.

The Executive recommends a workforce of 98 full-time equivalent positions for the DVA, reflecting no change in staffing levels from SFY 2012-13.

State Operations Appropriations

(A. 3000)

The Executive proposes General Fund State Operations appropriations of \$8.4 million which represents a net increase of \$600,000 over the SFY 2012-13 funding level which reflects adjustments to personal service spending levels.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	90	90	0
All Other Funds:	8	8	0
TOTAL:	98	98	0

Aid to Localities Appropriations
(A. 3003)

Veteran Services

The Executive preserves local funding for Veteran services and provides General Fund Aid to Localities appropriations of \$9.1 million; a net increase of \$30,000 over SFY 2012-13. Funding is maintained for the following programs at SFY 2012 13 funding levels:

- Gold Star Annuity Benefits (\$599,000);
- Supplemental Burial Benefits (\$200,000)
- Veteran’s counseling and outreach (\$500,000);
- Aid to County and City Veterans’ service agencies (\$1.2 million); and
- Services and expenses of the Monroe County Veteran Outreach Center (\$250,000).

The Executive proposes \$6.380 million to support the Blind Veteran Annuity Program representing a \$180,000 net increase over the present funding level to support a cost of living adjustment.

Local assistance appropriations are reduced by \$150,000, reflecting the elimination of local assistance grants.

OFFICE OF VICTIM SERVICES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	10,283,000	10,283,000	0	0.0%
AID TO LOCALITIES	66,120,000	66,120,000	0	0.0%
Total for AGENCY SUMMARY:	76,403,000	76,403,000	0	0.0%

Agency Mission

The Office of Victim Services provides compensation to innocent victims of crime; funds direct services to crime victims via a network of community-based programs; and advocates for the rights and benefits of all innocent victims of crime.

Budget Summary

The Executive proposes an All Funds appropriation of \$76.40 million which is unchanged from the State Fiscal Year (SFY) 2012-13 level. The Executive recommends funding support of 74 full-time equivalent (FTE) positions, an increase of four positions. The four additional FTEs would refill positions related to the timely processing of victims claims.

State Operations Appropriations

(A. 3000)

The Executive proposes an All Funds State Operations appropriation of \$10.28 million which is unchanged from the SFY 2012-13 level.

ALL FUNDS PERSONNEL
BUDGETED FILL LEVELS

Fund	Current 2012-13	Requested 2013-14	Change
All Other Funds:	70	74	4
TOTAL:	70	74	4

Aid to Localities Appropriations
(A. 3003)

The Executive proposes an All Funds Aid to Localities appropriation of \$66.12 million which is unchanged from the SFY 2012-13 level.

WORKERS' COMPENSATION BOARD

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	196,801,000	203,227,000	6,426,000	3.3%
Total for AGENCY SUMMARY:	196,801,000	203,227,000	6,426,000	3.3%

Agency Mission

The Workers' Compensation Board (Board) is responsible for safeguarding the well-being of New York's labor force. The Board reviews claims for workers' compensation benefits, reports of injuries filed and medical reports from physicians and other health care providers. The Board also investigates fraudulent claims and assists in resolving disputed claims.

Budget Summary

The Executive recommends All Funds appropriations of \$203.23 million, an increase of \$6.43 million or 3.3 percent over the State Fiscal Year (SFY) 2012-13 level.

State Operations Appropriations

(A. 3000)

The Executive recommends All Funds appropriations of \$203.23 million, an increase of \$6.43 million or 3.3 percent from the SFY 2012-13 level. The Executive recommends funding support for 1,220 full-time equivalent positions, an increase of 25 FTEs.

Agency Operations

The Executive proposes a net increase of \$6.43 million related to increases in collective bargaining costs, higher fringe and indirect rates, and non-personal service increases. These increases are offset by a \$200,000 decrease of non-recurring moving costs due to the relocation of the office from Albany to Schenectady.

Consolidation of Service Centers

The Executive budget proposes a reduction of \$500,000 related to the consolidation of 11 service centers into regional service centers to address a decrease in the number of people utilizing the centers in person.

ALL FUNDS PERSONNEL			
BUDGETED FILL LEVELS			
Fund	Current 2012-13	Requested 2013-14	Change
General Fund:	1,195	1,220	25
TOTAL:	1,195	1,220	25

Article VII Proposals

The Executive proposes Article VII legislation that would modify various provisions of the Workers' Compensation Law to:

- increase the minimum weekly benefit from \$100 per week to \$150 per week;
- establish a single pass-through assessment to be levied by carriers against employers;
- close the reopened case fund to future claims;
- eliminate certain statutorily mandate deposits to the Aggregate Trust Fund;
- eliminate assessments reserves currently required to be held by the State Insurance Fund (SIF) and transfer \$2 billion in SIF reserves to the Board;
- modify the investment authority of the State Insurance Fund;
- remove the statutory requirement that the Workers' Compensation Board's principal office be located in the City of Albany; and
- establish a new program for the reassignment of liability for current and future claims against defaulted group self-insured trusts.

GENERAL STATE CHARGES

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	2,756,534,000	3,018,117,000	261,583,000	9.5%
Total for AGENCY SUMMARY:	2,756,534,000	3,018,117,000	261,583,000	9.5%

Agency Mission

General State Charges are primarily the costs of providing fringe benefits to state employees. These benefits are authorized in collective bargaining agreements and various statutes. The General State Charges budget also includes miscellaneous fixed costs, such as litigation settlements and taxes on state-owned lands.

Budget Summary

The Executive recommends All Funds appropriations of \$3.02 billion, an increase of \$261.58 million or 9.5 percent over the State Fiscal Year (SFY) 2012-13 level.

State Operations Appropriation

(A. 3000)

The Executive recommends All Funds appropriations of \$3.02 billion, an increase of \$261.58 million or 9.5 percent over the SFY 2012-13 level.

Pensions

The Executive proposes a net increase of \$242.5 million in pension funding due to the rising pension costs associated with an increase in the employer contribution rate. This amount will be offset by interest savings made from monthly payments rather than one yearly payment.

Health Insurance Contributions

The Executive proposes a \$56.08 million increase in health insurance contributions for state employees. The increase reflects a 4.4 percent increase in premium rates for health insurance plan year 2013.

Workers' Compensation Benefits

The Executive proposes an increase of \$73.55 million for Workers' Compensation benefits for injured state employees, which is largely attributable to scheduled increases in benefits to injured workers.

Other Fringe Benefits

The Executive proposes a net increase of \$24.38 million for other fringe benefits, which is attributable to an increase in Social Security payments due to a higher wage base and is offset by savings in dental, vision, and other benefits due to a smaller state workforce.

Taxes on State Owned Land

The Executive proposes an increase of \$19.99 million for payment of taxes on state-owned lands due to the tax reassessment of the Aqueduct Racetrack.

Litigation Spending

The Executive proposes a \$51 million increase in appropriation authority for litigation purposes, based on higher than normal litigation spending in SFY 2012-13.

Payment in Lieu of Taxes (PILOT) for the City of Albany

The Executive proposes a \$7.85 million decrease in appropriations related to the elimination of a PILOT remitted to the City of Albany for the Empire State Plaza.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- provide local governments and school districts with a plan which offers a long-term pension contribution option.
 - Under this proposal, local governments and school districts would be given the option to “lock in” pension contributions for a period to be determined by the Comptroller and the Teachers' Retirement System. The initial rates would be as follows:
 - 12 percent for the New York State and Local Employees' Retirement System (NYSLERS);
 - 12.5 percent for the New York State Teachers' Retirement System (NYSTRS); and
 - 18.5 percent for the New York State and Local Police and Fire Retirement System (NYSLPFRS).

- By comparison, the long term rates would be less than the scheduled contribution rates of 20.9 percent for the NYSLERS, 16.5 percent for NYSTRS and 28.9 percent for the NYSLPFRS.
- After five years, the Comptroller and the Teachers' Retirement system could elect to increase the rate by up to two percentage points. After ten years, the rates could again be increased or decreased by up to two percentage points.
- amend the Civil Service Law to cease reimbursement of additional Income Related Medicare Adjustment Amount premiums paid by higher-income retirees retroactive to January 1, 2013.

MISCELLANEOUS: PUBLIC PROTECTION & GENERAL GOVERNMENT

	Adjusted Appropriation 2012-13	Executive Request 2013-14	Change	Percent Change
AGENCY SUMMARY				
STATE OPERATIONS	6,720,360,000	14,969,012,000	8,248,652,000	122.7%
AID TO LOCALITIES	1,022,084,300	1,025,044,300	2,960,000	0.3%
Total for AGENCY SUMMARY:	7,742,444,300	15,994,056,300	8,251,612,000	106.6%

State Operations Appropriations

(A. 3000)

Superstorm Sandy Recovery

The Executive proposes an appropriation of \$8 billion to cover to the expenses of recovery from Superstorm Sandy and to mitigate the impact of future natural or man-made disasters.

Aid to Localities Appropriations

(A. 3003)

Counsel at Arraignment

The Executive proposes an appropriation of \$3 million to provide grants to counties for a pilot program to improve legal services to individuals accused of crimes who are presumed indigent and unrepresented at the time of arraignment in court.

Article VII Proposals

The Executive recommends Article VII legislation that would:

- reform the Local Government Efficiency Grant Program and the Citizens Re-Organization Empowerment Grant Program to provide incentives for planning and implementation;
- eliminate all local government and school district reporting requirements as of April 1, 2014 unless the Mandate Relief Council approves continuation of the reporting requirements;

- extend the Tribal State Compact Revenue account until December 2016 and amend laws regarding the availability of funds within that account; and
- reform the arbitration panel determinations for local governments deemed fiscally distressed and limit future arbitration awards from increasing the cost of the employees' collectively bargained compensation package by more than two percent per year.