

COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES

Objective: Provides funds to public and private chemical dependence and abuse agencies for capital projects including construction, renovation and rehabilitation of residential and other facilities used in chemical dependence and abuse treatment programs. Funding is also available for minor renovations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS)

Specific Program URL: NA

NYS Object Code: 64814

Year Established: 1994

Catalog of Federal Domestic Assistance No.: NA

Legal Authority:

Law: NY Mental Hygiene Law, Articles 1, 19, 23, 25, 31, 32 and 41

Regulation: 14 NYCRR Parts 303 et seq., 810 et seq. and 1000 et seq.

Program Contact:

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Eligibility: Counties, Cities, Towns, Villages and Public and Private Non-Profit Groups. The recipient (or the entity operating the program at the aided facility) must hold or obtain an Operating Certificate (license) from OASAS.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State requirements. Tax-exempt bonding program provides low cost financing for mortgages and capital construction/renovations to qualified program operators. A limited number of "cash" grants are available for projects not eligible for financing under the tax-exempt bond program. Consult program or fiscal contact for details.

Action Required to Receive Aid: Application for aid is required and must be incorporated in the local governmental unit's annual local services plan for programs outside of the city of New York except for minor renovations which can be applied for directly to OASAS. By direct application for substance abuse programs within the City of New York.

Description of Aid:

Flow of Funds: State to Local. Up to 100 percent capital funding. Monies are provided partially as an advance and partially as a reimbursement for approved costs.

Type of Aid: Mortgage or cash grant of limited duration.

Formula: NA

Matching Requirement: NA

Maintenance of Effort: NA

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	23,288,000	NA
SFY 03-04	NA	31,560,000	NA
SFY 04-05	NA	31,560,000	NA

*There exist significant appropriations from years prior to SFY 2004-05 that have been reappropriated; especially for tax-exempt bonds. Please consult fiscal contact for details.

COMMUNITY CHEMICAL DEPENDENCE AND ABUSE FACILITIES (cont.)

Amounts Disbursed:

	<u>Federal Funds</u>	<u>State General Funds*</u>	<u>State Spec. Rev.</u>
SFY 02-03	NA	14,878,945	NA
SFY 03-04	NA	9,269,549	NA

*Amounts disbursed, in large part, reflect funds appropriated prior to SFY 2004-05.

Comments: Program created by Chapter 53, Section 1 of the Laws of 1994.

COMMUNITY TREATMENT SERVICES

Objective: Supports the establishment and maintenance of a network of community based chemical dependency treatment and rehabilitation programs, based on a demonstration of need and the availability of annual appropriations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Treatment (CSAT); and US Department of Housing and Urban Development (HUD).

Specific Program URL: NA

NYS Object Code: 62101, 62102, 64790, 64813
64814, 64890 and 69977

Year Established: 1997

Catalog of Federal Domestic Assistance No.: 93.959, 16.540 and 14.238

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 23, 25, 26, 31, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II and III and Sections 501, 509-512; Rehabilitation Act of 1973 (as amended); and Stewart B. McKinney Homeless Assistance Act, Title IV, Subtitle F, 42 USC 11301

Regulation: 14 NYCRR Parts 303 et seq., 800 et seq. and 1000 et seq.; PHS, Title XIX Part B, Subpart II; PL #106-310; and 45 CFR 96

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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit an annual local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed or certified by the NYS OASAS and, where appropriate, has a license under Article 28 of the NYS Public Health Law; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and, with the exception of substance abuse treatment and rehabilitation programs in the City of New York, must be incorporated by the LGU's annual services plan. For substance abuse programs in the City of New York, a direct application for aid is required. Provision of aid is dependent on the availability of funds. Continued aid for existing programs is subject to the availability of funds, an annual budget submission, demonstrating the need for continuing aid, and, with the exception of substance abuse programs in the City of New York, program inclusion in the LGU local services plan. Federal Shelter Plus Care grants (CFDA No. 14.238) are made available on the basis of competitive application process administered by the Federal Department of Housing and Urban Development (HUD).

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from the local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases, the flow of funds goes directly from the State to the provider. Monies are provided on an advance basis and then reconciled to actual reported expenditures.

COMMUNITY TREATMENT SERVICES (cont.)

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance. Federal grant awards, other than the Substance Abuse Prevention and Treatment Block Grant, are for competitive project grants or cooperative agreements, which are of limited duration and awarded through application processes administered by the Federal administering agency.

Formula: Varies. Formulae are found in the NYS Mental Hygiene Law, Articles 25, 26 and 41.

Matching Requirement: Varies, based on formulae found in NYS Mental Hygiene Law, Articles 25, 26 and 41.

Maintenance of Effort: As specified in NYS Mental Hygiene Law, Articles 25, 26 and 41.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	91,552,000	203,489,000	5,700,000
SFY 03-04	98,890,000	195,839,000	NA
SFY 04-05	102,750,000	196,800,000	NA

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	83,728,407	197,701,501	NA
SFY 03-04	85,440,506	194,383,658	NA

*Includes "carry-out" disbursements from prior year's appropriations.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1997.

PREVENTION AND PROGRAM SUPPORT

Objective: Supports the establishment and maintenance of a network of community based chemical dependence and abuse prevention, intervention and education programs, and related support services, dependent on a demonstration of need and the availability of annual appropriations.

Administering Agency: NYS Office of Alcoholism and Substance Abuse Services (OASAS); US Substance Abuse and Mental Health Services Administration (SAMHSA) - Center for Substance Abuse Prevention (CSAP); and US Department of Education.

Specific Program URL: NA

NYS Object Code: 64813, 64805, & 69977

Year Established: 1995

Catalog of Federal Domestic Assistance No.: 93.959, 84.186B and 93.243

Legal Authority:

Law: NY Mental Hygiene Law, Articles 19, 23, 25, 26, 32 and 41; US Public Health Service Act, Title XIX, Part C, Subparts II & III; and Elementary & Secondary Education Act of 1965 (as amended).

Regulation: 14 NYCRR Part 303 et seq., 800 et seq., and 1000 et seq.; 42 CFR 54, 54A; 45 CFR 96; 46 CFR; PL #105-277 and #106-310; PHS Title XIX, Part B, Subpart II; PL #106-310, 45 CFR 96. Elementary and Secondary Act, Title IV, Part A, Subpart 1 - EDGAR 34 CFR 76, 77, 79, 80, 81 and 85 and 34 CFR 98 and 99; PHS, Title V, Section 509; 42 USC 290 bb and Section 516; Children's Health Act of 2000; PL 106-310; PL 108-199; and 45 CFR Parts 74 and 92.

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Eligibility: State Governments, Counties, Cities, Towns, Villages, School Districts, Public Authorities and Public and Private Non-Profit Groups. Counties must establish a local governmental unit (LGU) which must create a community services board and submit a local services or unified services plan. Every entity identified is eligible if: (1) the proposal is included in the local services plan; (2) the program, if required, is licensed by the NYS OASAS; and (3) the agency agrees to comply with all applicable State and Federal rules and regulations. **NOTE:** The City of New York LGU is not charged with responsibility for substance abuse services program funding. Those programs are eligible if they meet Items 2 and 3 above.

Type of Program and Special Restrictions: Optional, but once chosen subject to mandated State and Federal requirements.

Action Required to Receive Aid: For new or expansion proposals, application for aid is required and must be incorporated in the LGU's annual services plan, except for substance abuse prevention and education programs in the City of New York where direct application for aid is required. Provision of aid is dependent on the availability of funds. For existing programs, inclusion in the local services plan is required (except substance abuse providers in the City of New York), along with submission of a budget form (all programs), with continued provision of aid subject to the availability of funds and demonstration of need.

Description of Aid:

Flow of Funds: Federal to State to Local (Pass-through) and State to Local; then from local governmental unit to the provider. In some cases, NYS OASAS enters into direct contract arrangements with the provider of services. In those cases the flow of funds goes directly from the State to the provider. Monies are provided partially as an advance and partially as a reimbursement for approved operating costs.

Type of Aid: Mostly ongoing program funding that is subject to the continuing availability of funds and program performance.

Formula: Varies. Formulae are found in the NY Mental Hygiene Law, Articles 25, 26 and 41.

PREVENTION AND PROGRAM SUPPORT (cont.)

Matching Requirement: Varies, based on formulae found in NY Mental Hygiene Law, Articles 25, 26 and 41 and annual appropriation language.

Maintenance of Effort: Varies, as specified in the NY Mental Hygiene Law, Articles 25, 26 and 41.

Amounts Appropriated:

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	43,452,000	50,454,000	8,000,000
SFY 03-04	44,194,000	48,954,000	6,500,000
SFY 04-05	44,461,000	47,400,000	6,540,000

Amounts Disbursed:*

	<u>Federal Funds</u>	<u>State General Funds</u>	<u>State Spec. Rev.</u>
SFY 02-03	26,368,871	55,302,348	5,562,355
SFY 03-04	41,055,380	55,085,360	5,182,052

*Includes "carry-out" disbursements from prior year's appropriations.

Comments: Program created by Chapter 54, Section 1 of the Laws of 1995.

